

4단계 BK21 2차년도 연구발표회

$\Xi_c^{0,+}$  production with ALICE  
and  $\Xi_{cc}^{++}$  production with ALICE 3



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Inha University

2022. 02. 08







CMS

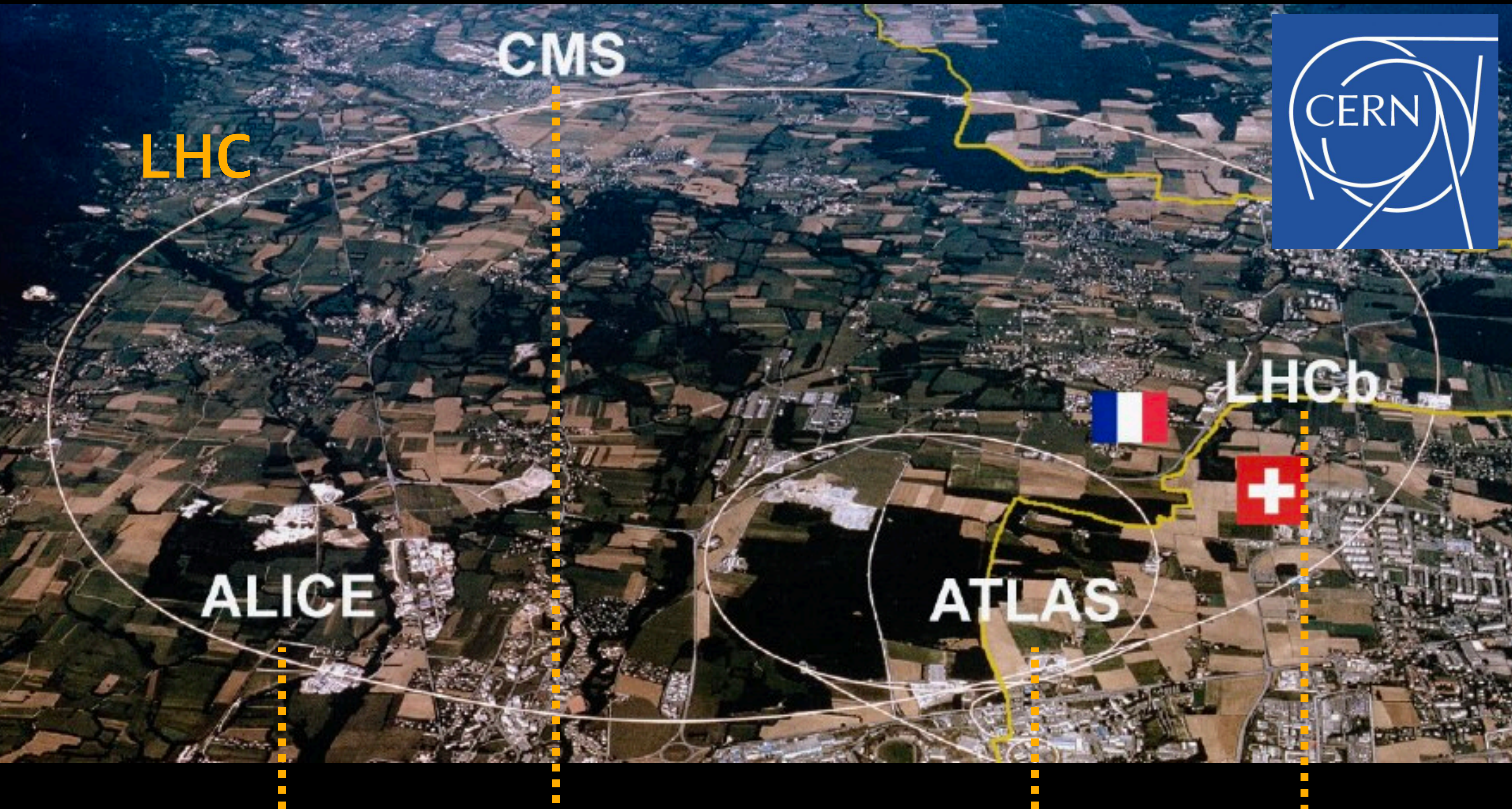
LHC

LHCb

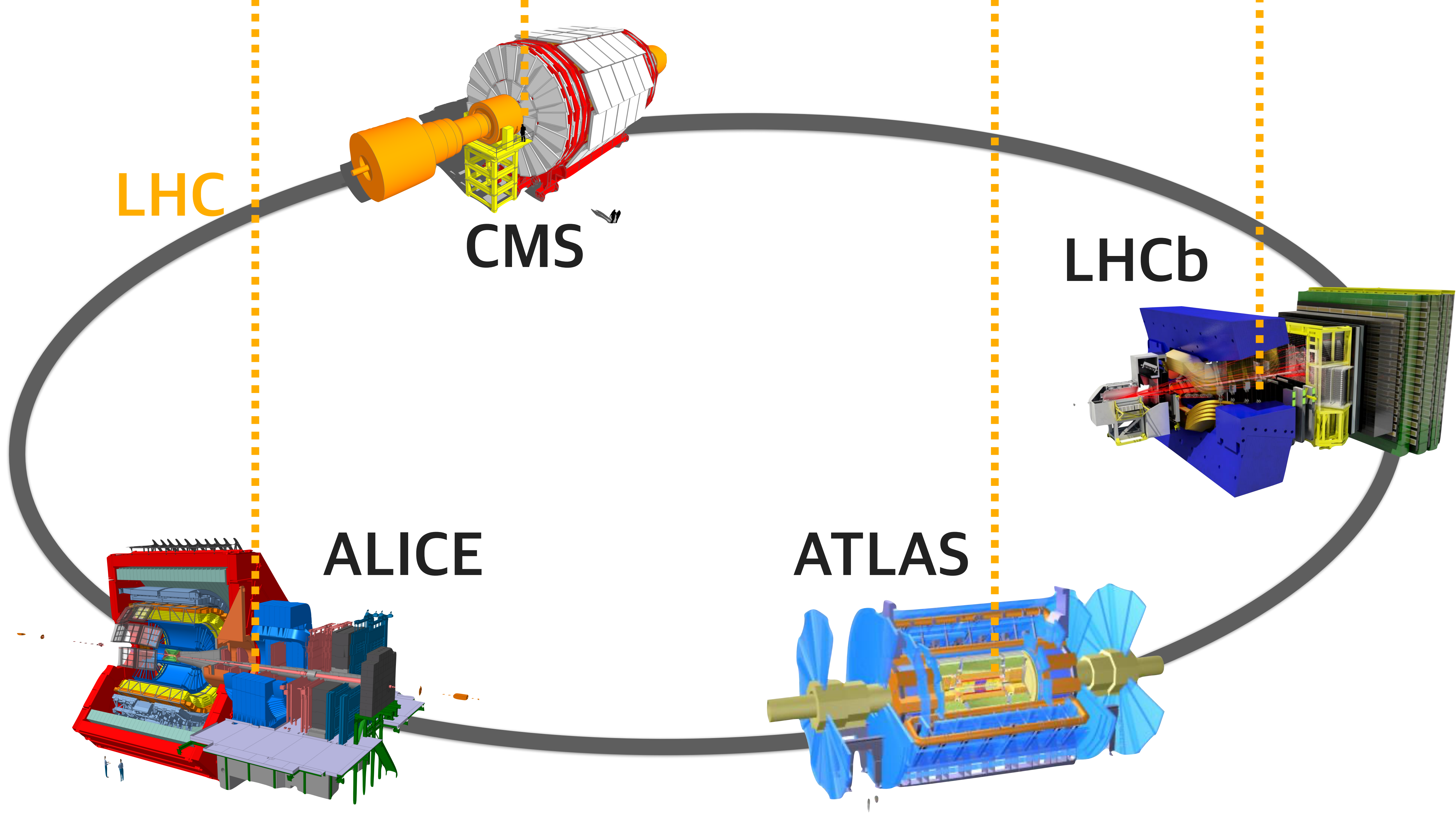


ALICE

ATLAS











# A Large Ion Collider Experiment



# Quarks

$u$ up	$c$ charm	$t$ top
$d$ down	$s$ strange	$b$ bottom

$e$ electron	$\mu$ muon	$\tau$ tau
$\nu_e$ electron neutrino	$\nu_\mu$ muon neutrino	$\nu_\tau$ tau neutrino

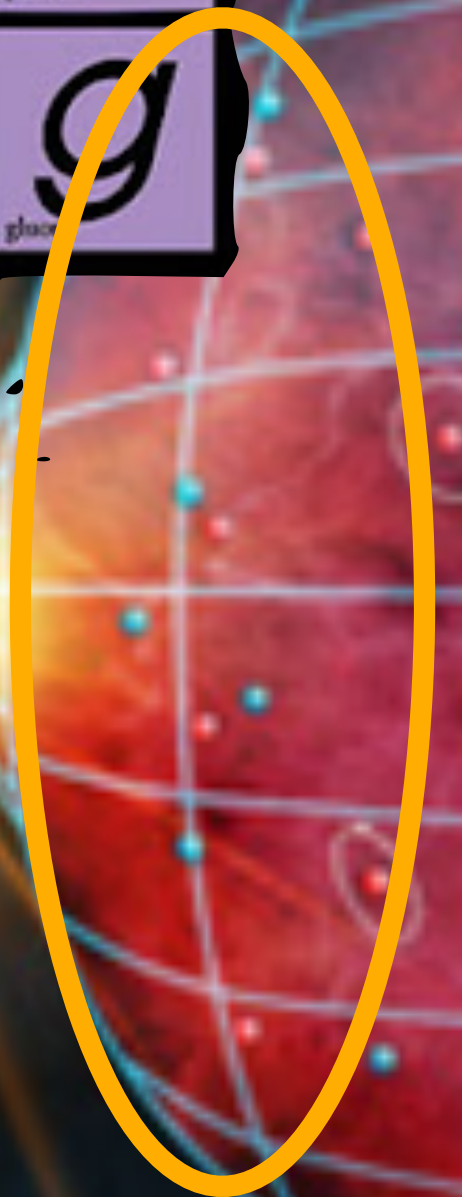
# Leptons

# Forces

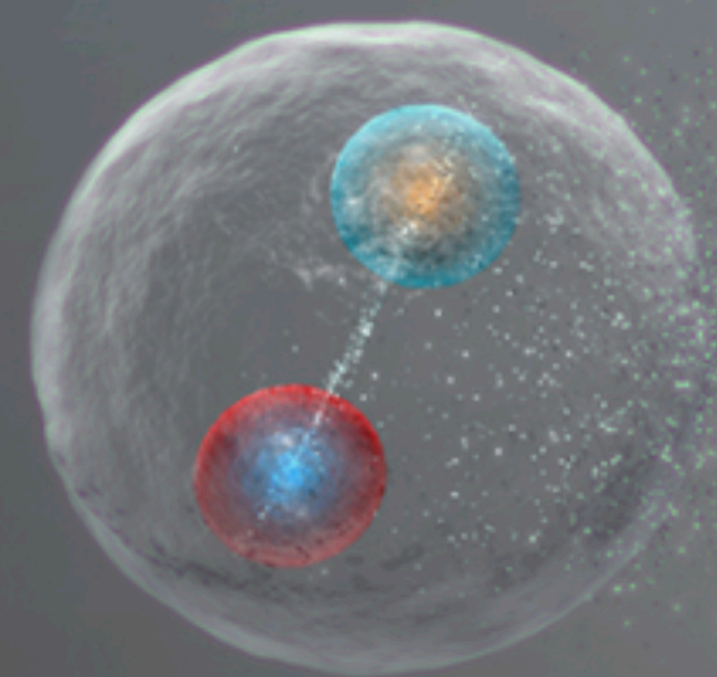
$Z$ Z boson	$\gamma$ photon
$W$ W boson	$g$ gluon



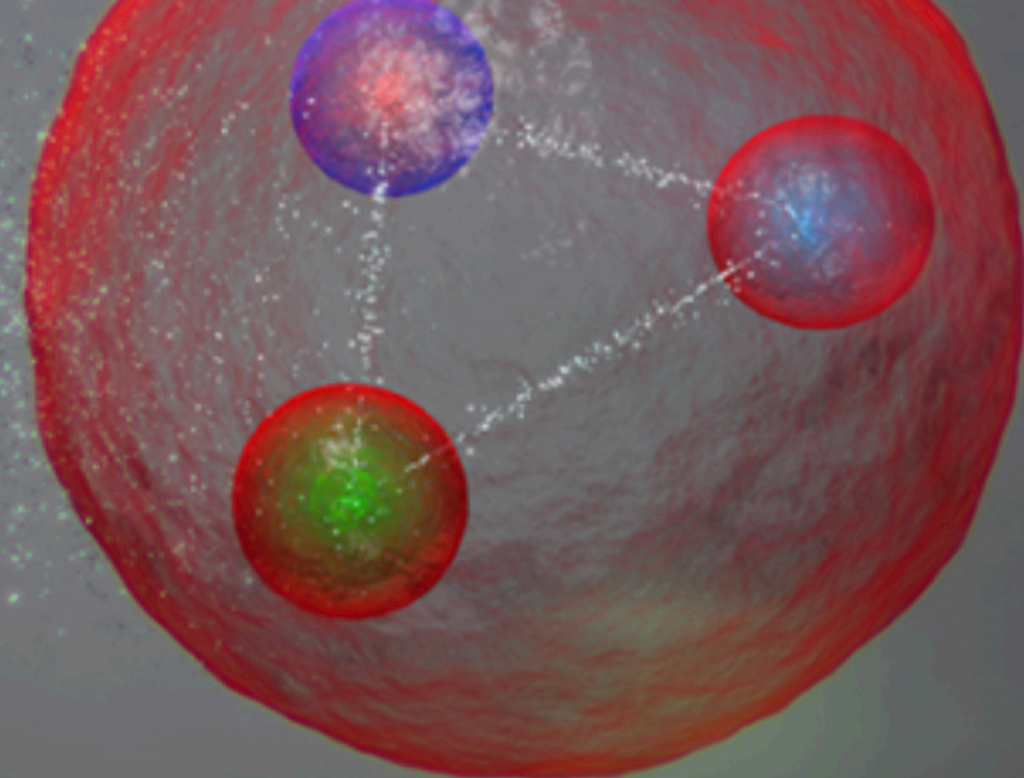
Quarks-Gluon Plasma



meson

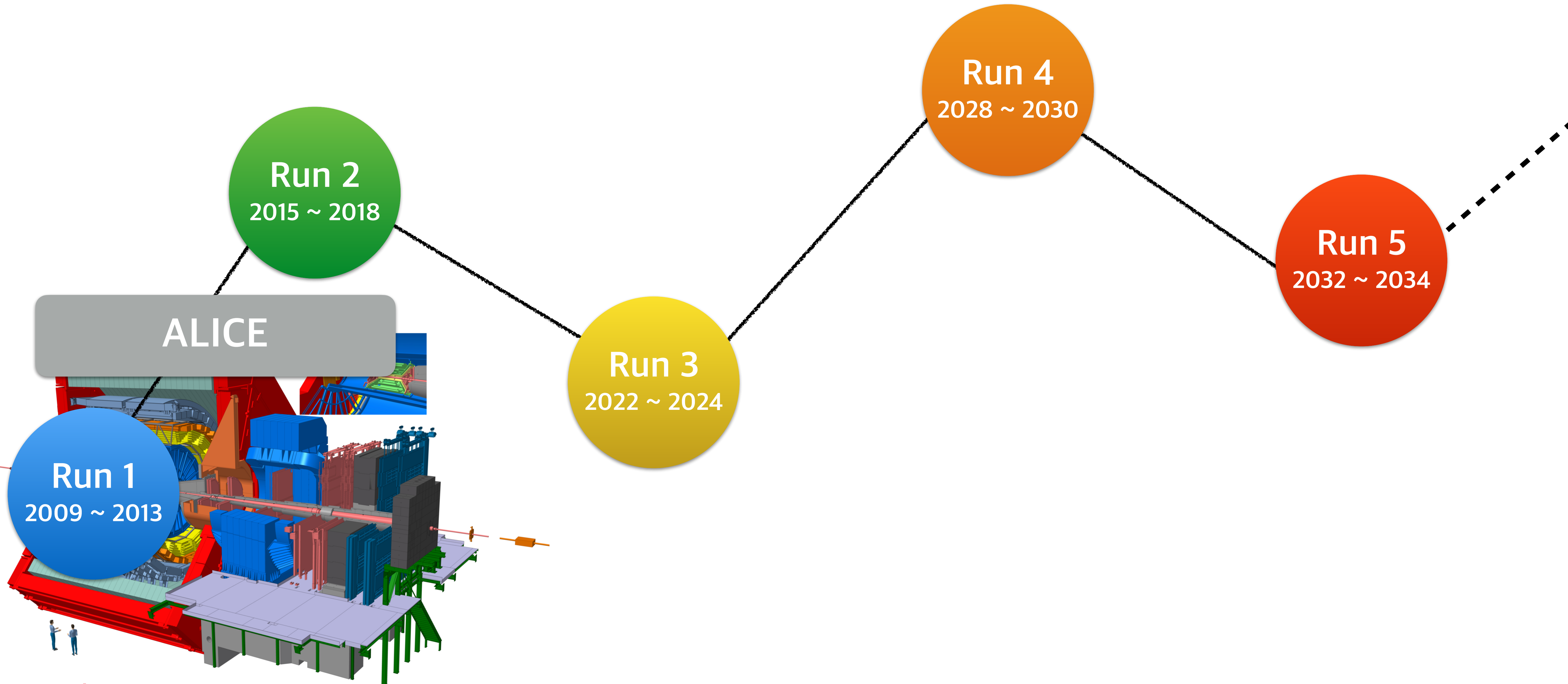


Baryon





# ALICE Overview

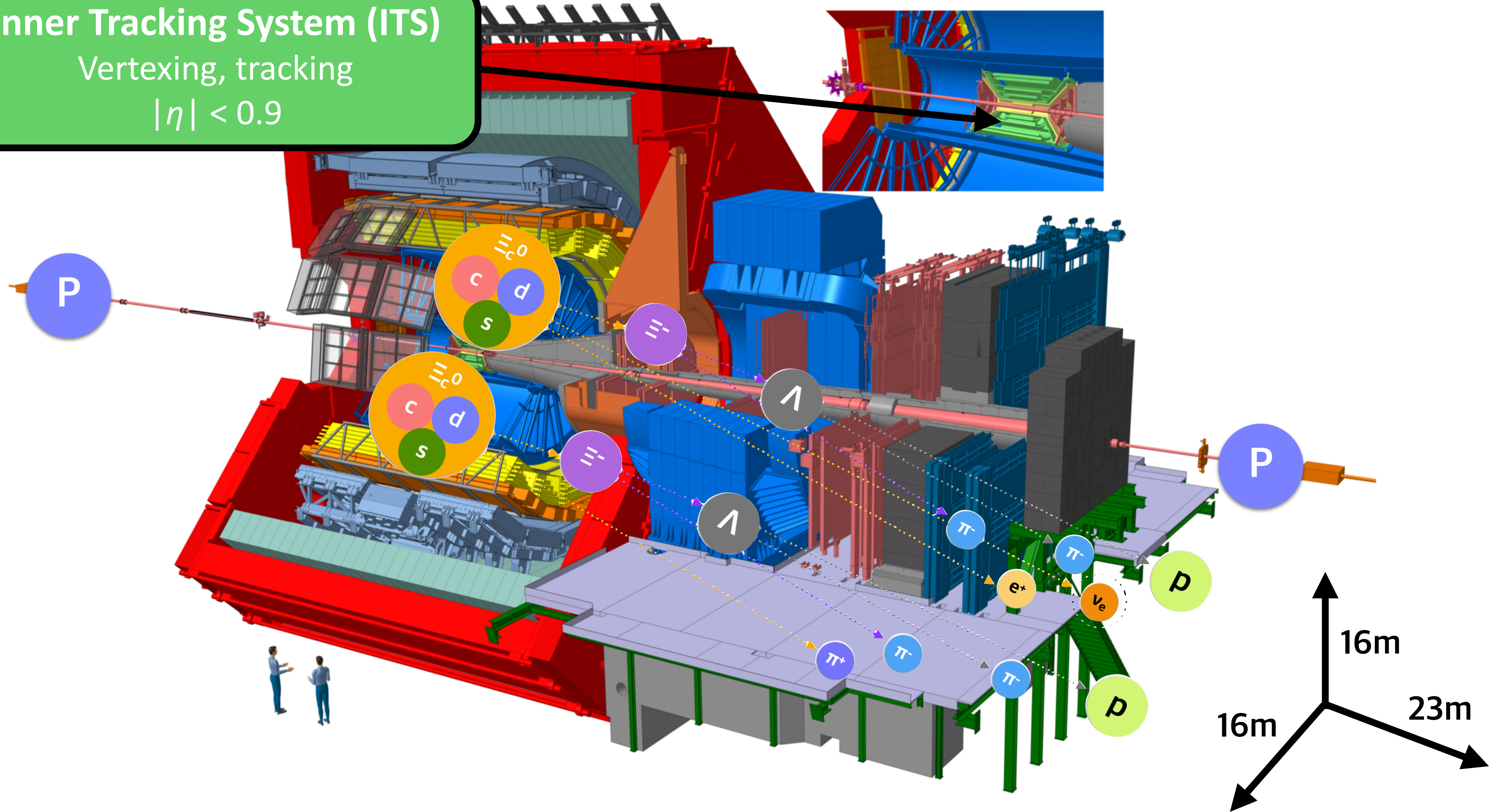




# Inner Tracking System (ITS)

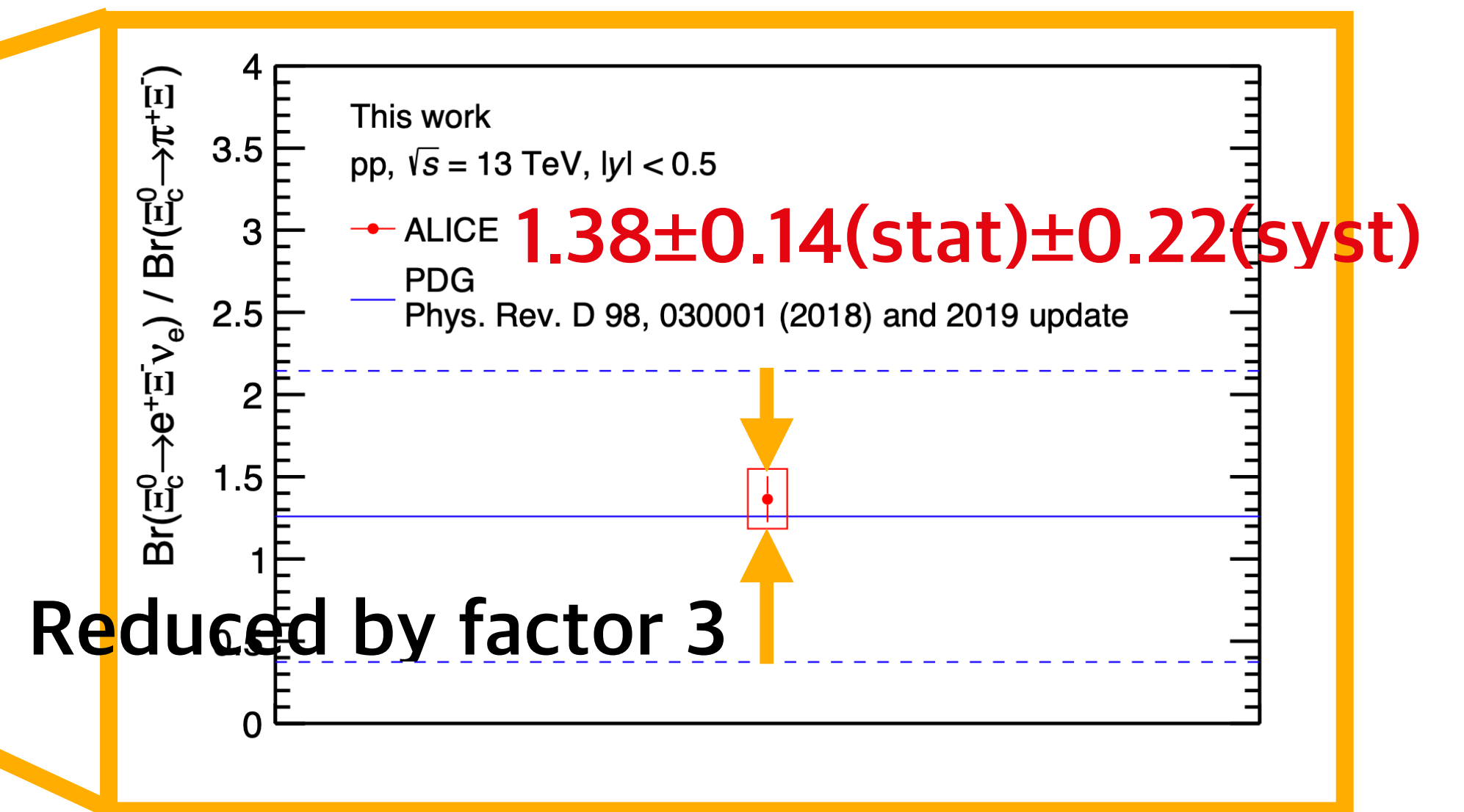
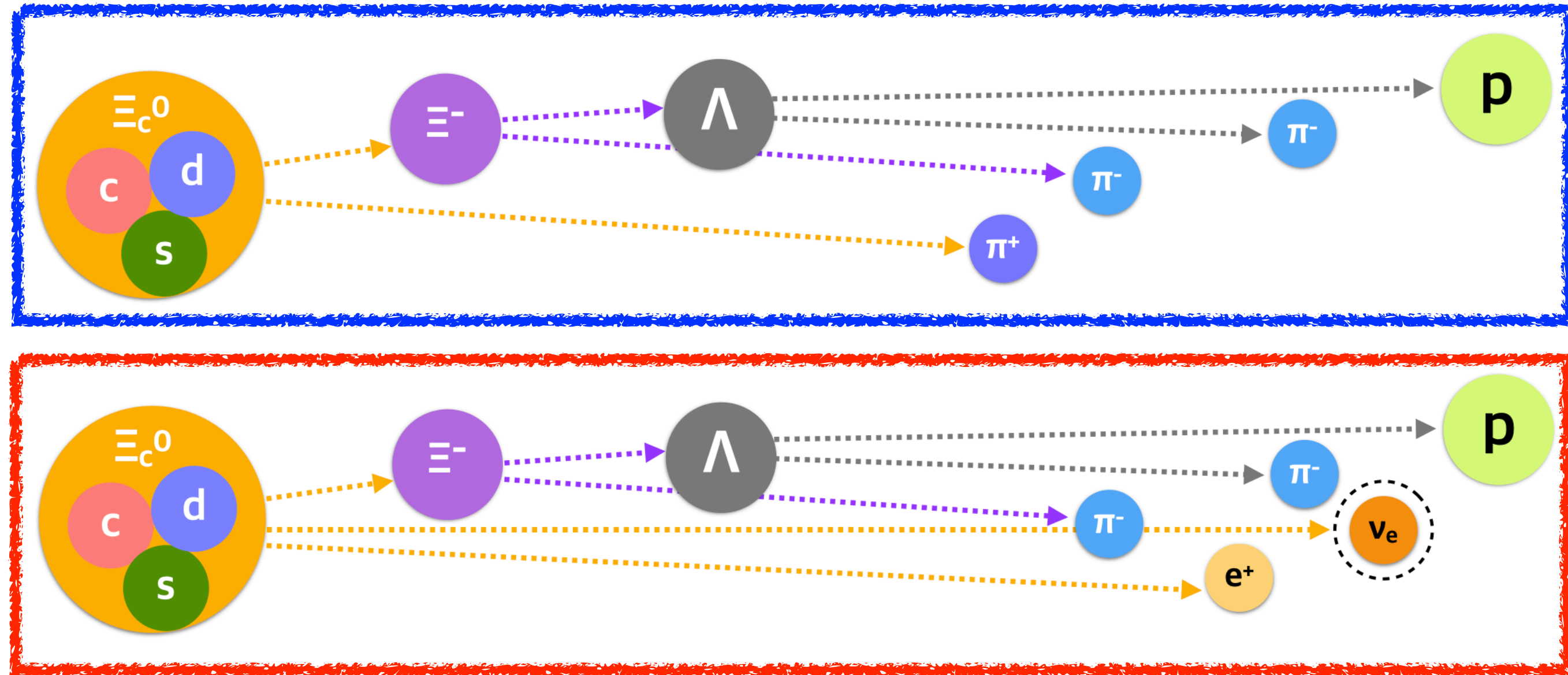
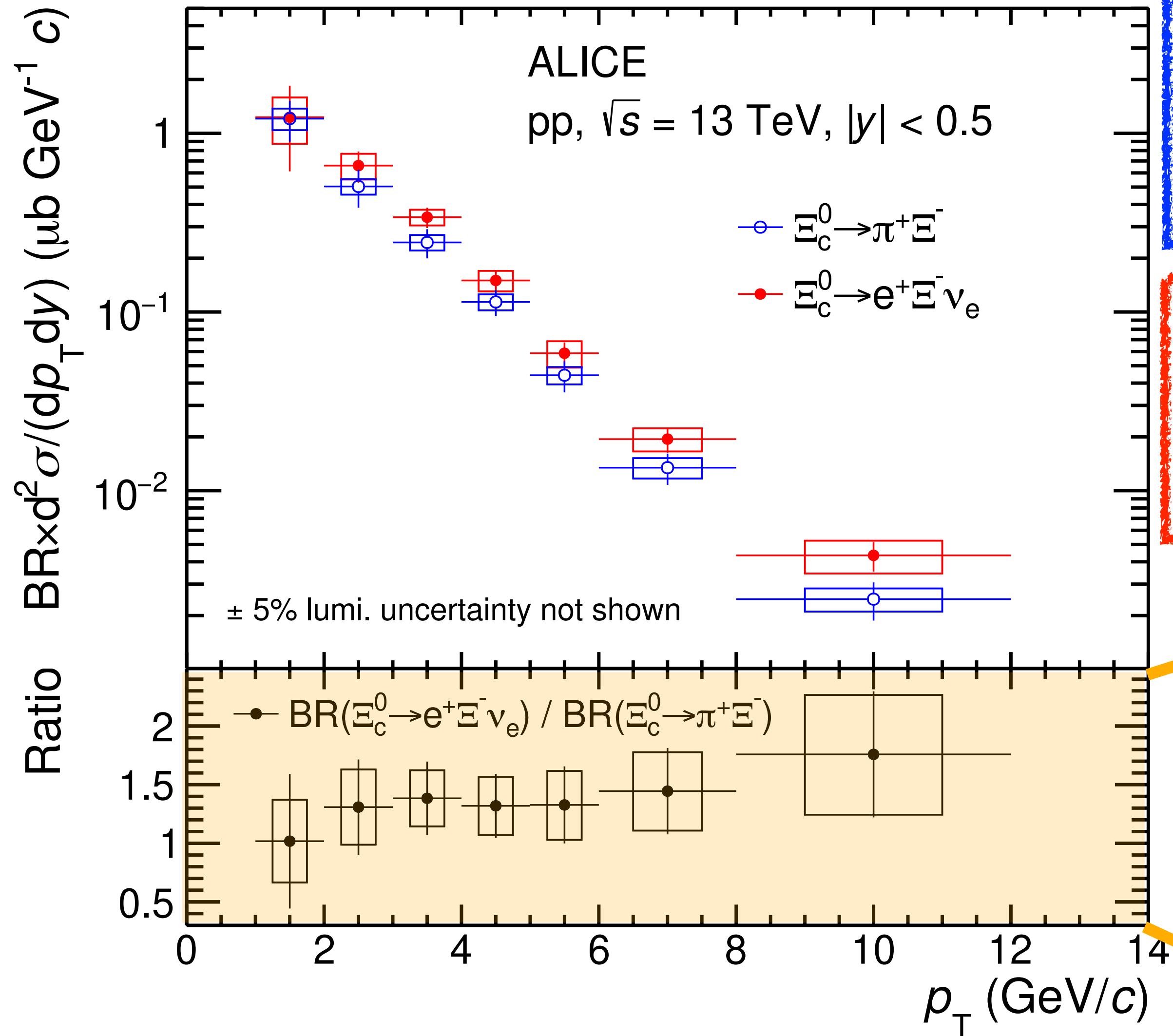
Vertexing, tracking

$$|\eta| < 0.9$$





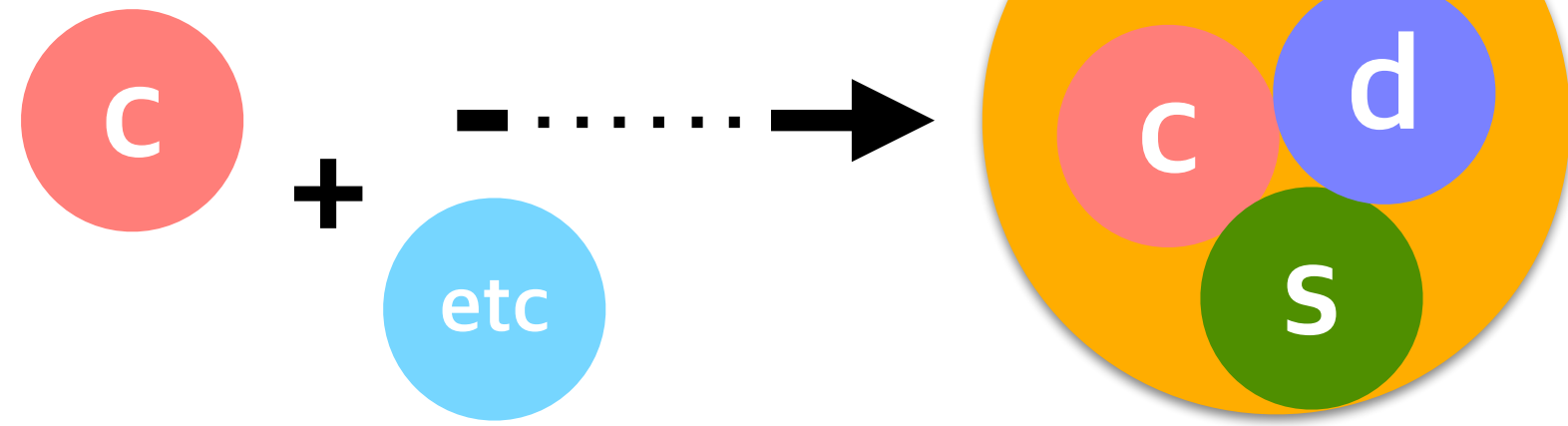
# $\Xi_c^{0,+}$ measurements in ALICE





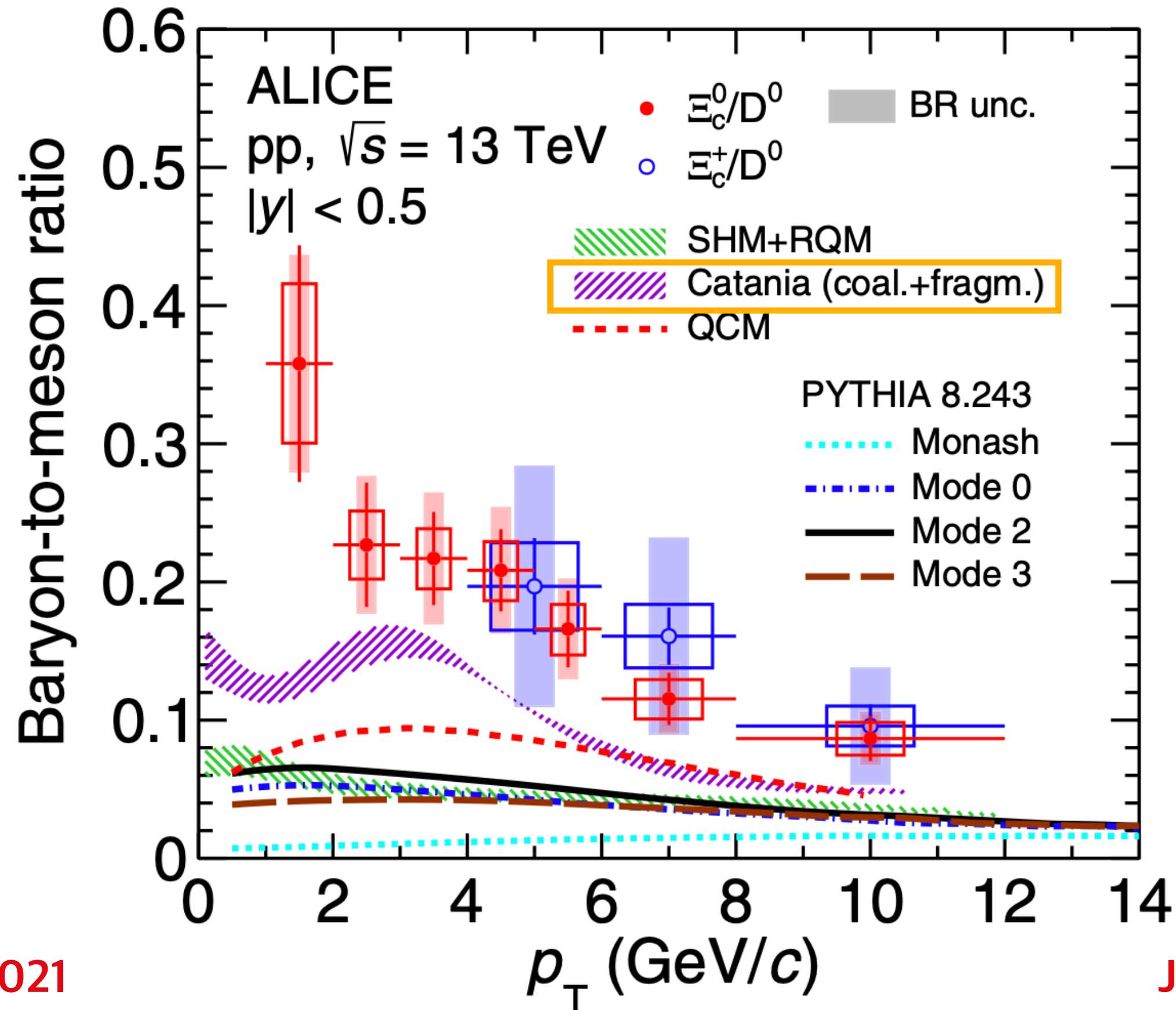
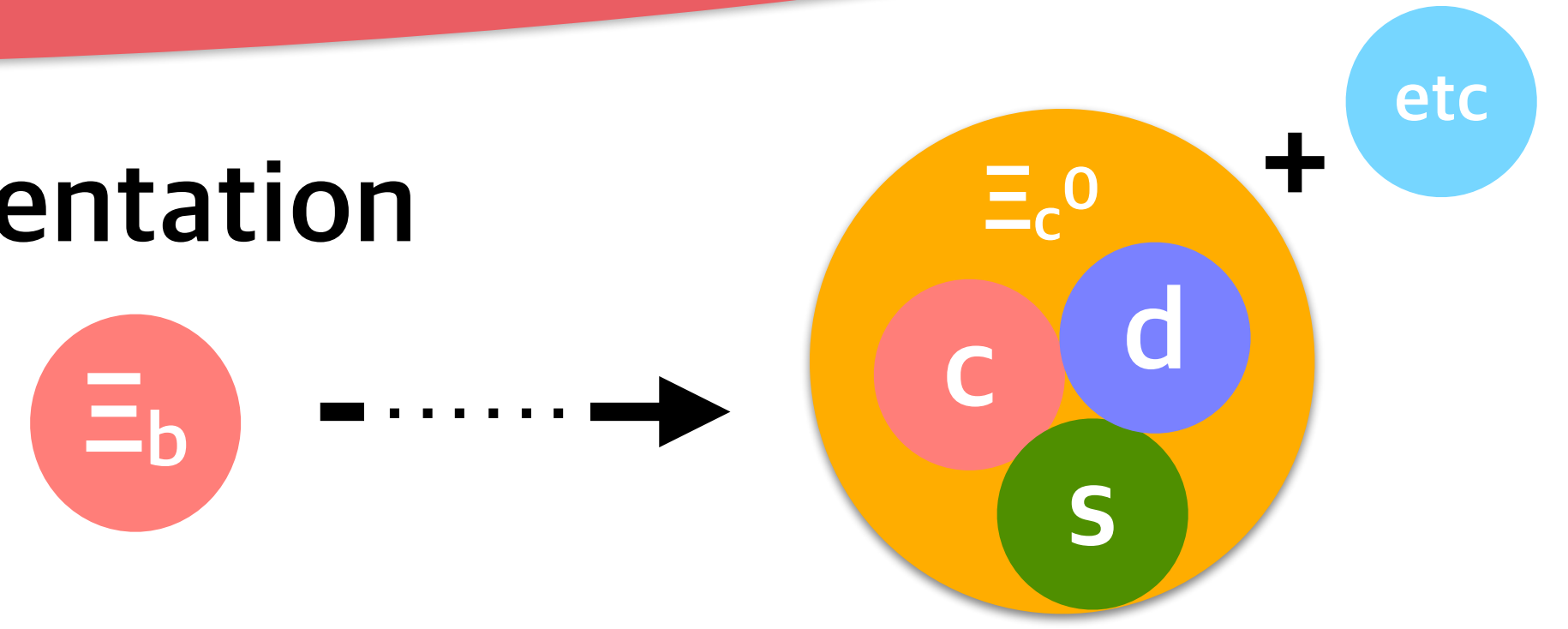
# $\Xi_c^{0,+}$ measurements in ALICE

Coalescence

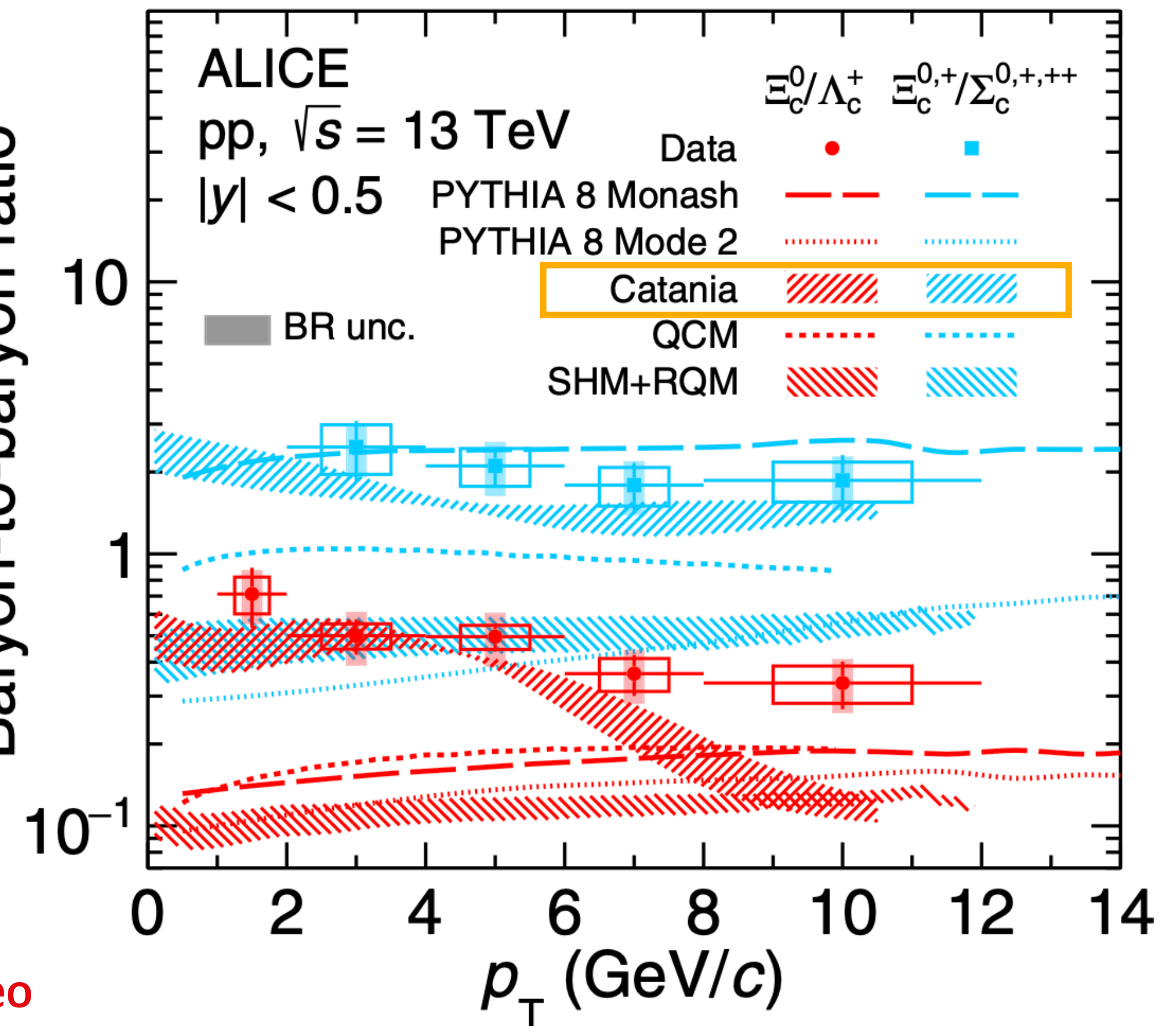


Fragmentation

&

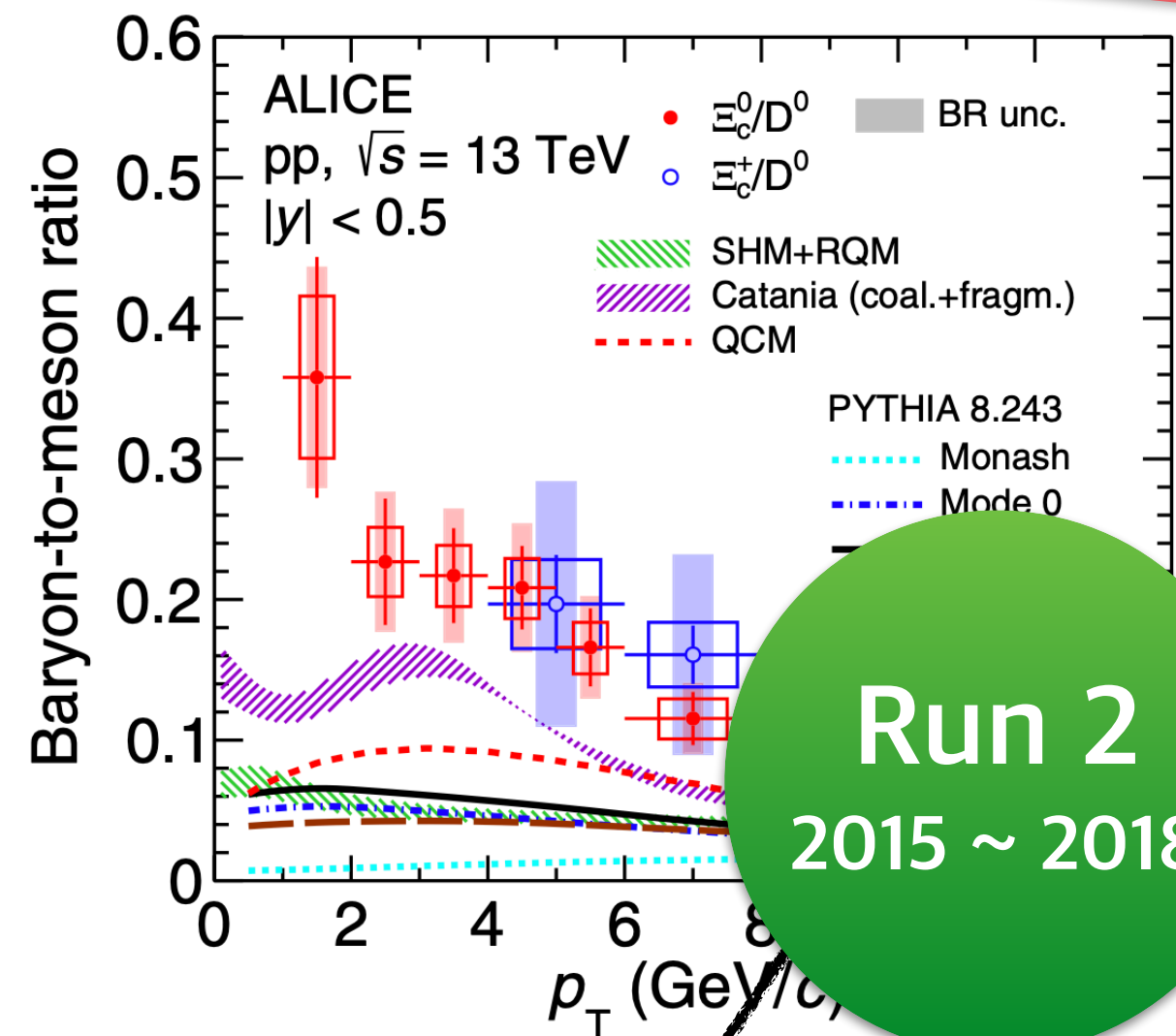


Baryon-to-baryon ratio





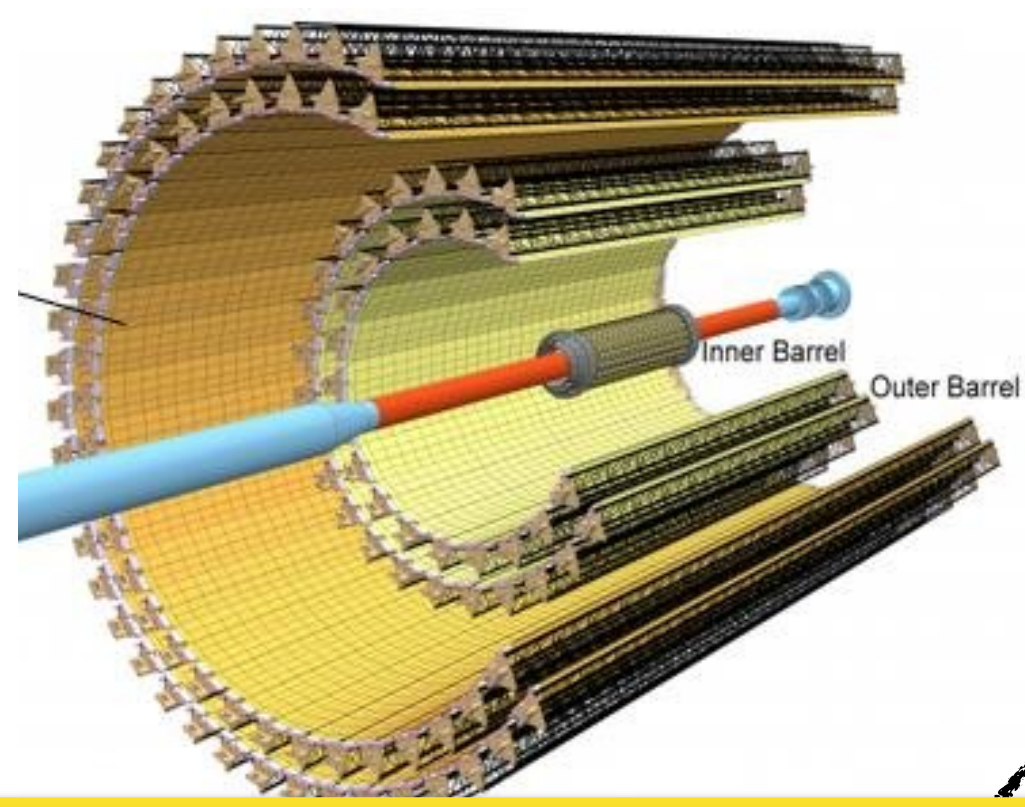
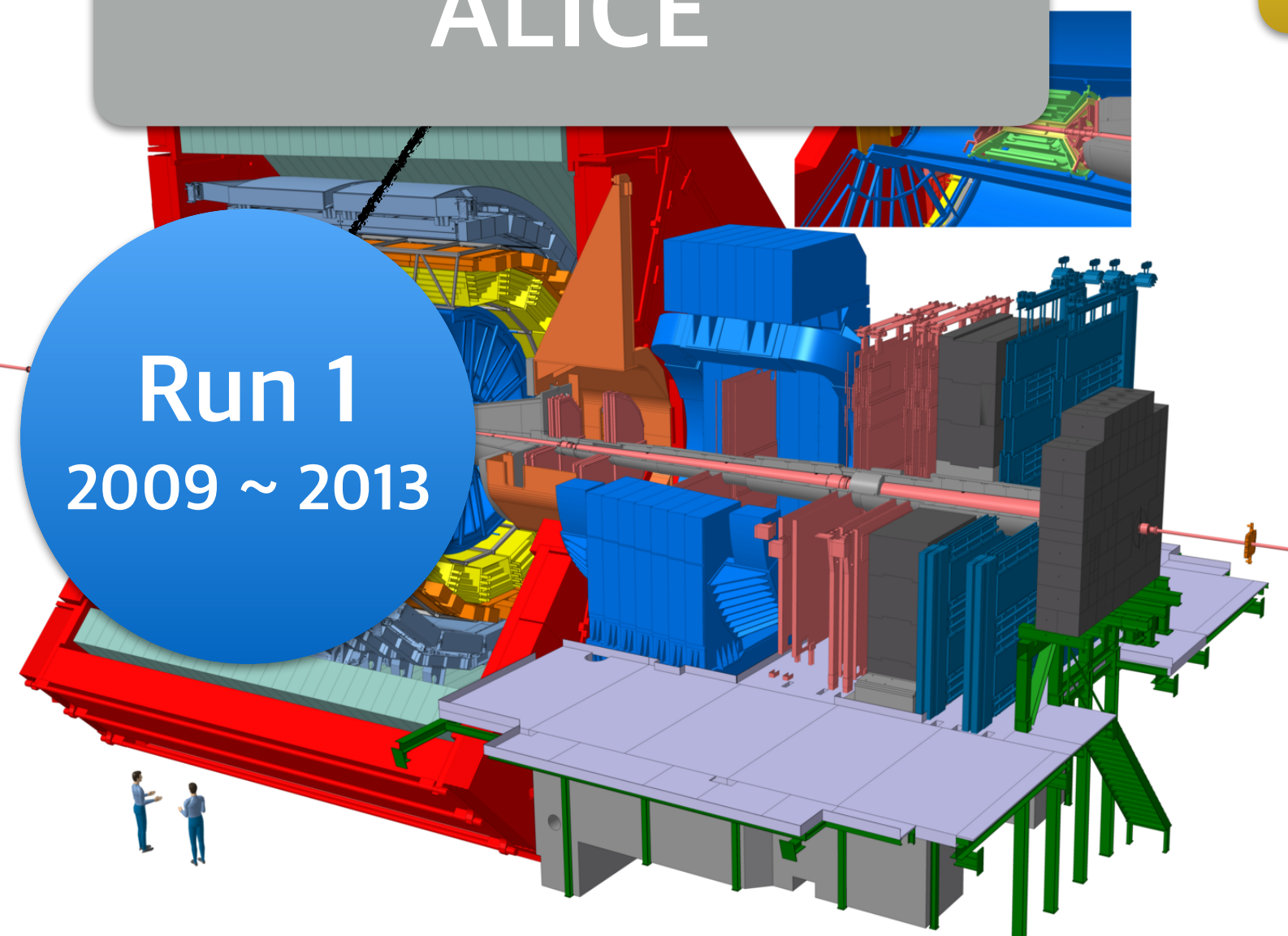
# ALICE Overview



**Run 2**  
2015 ~ 2018

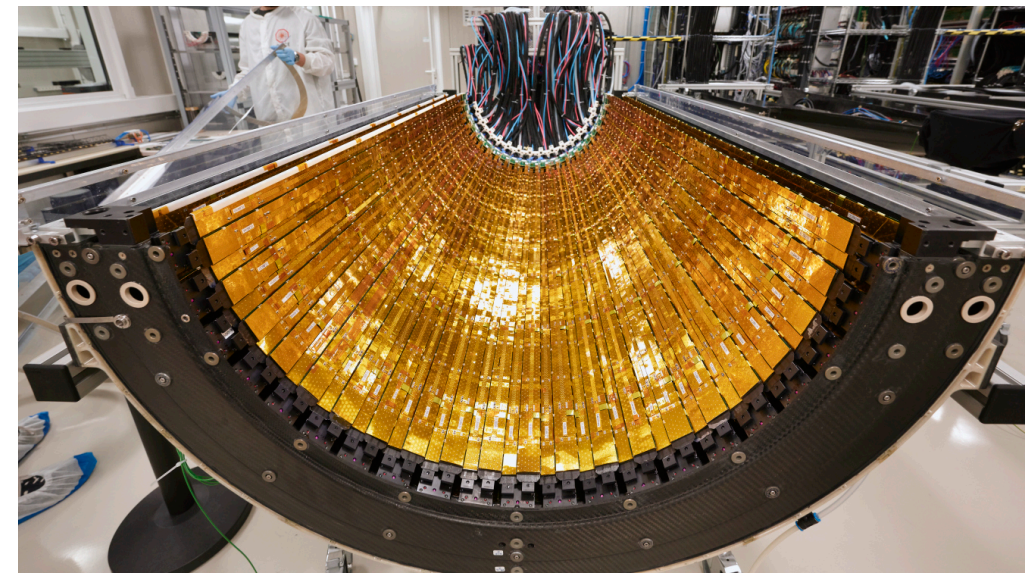
**ALICE**

**Run 1**  
2009 ~ 2013



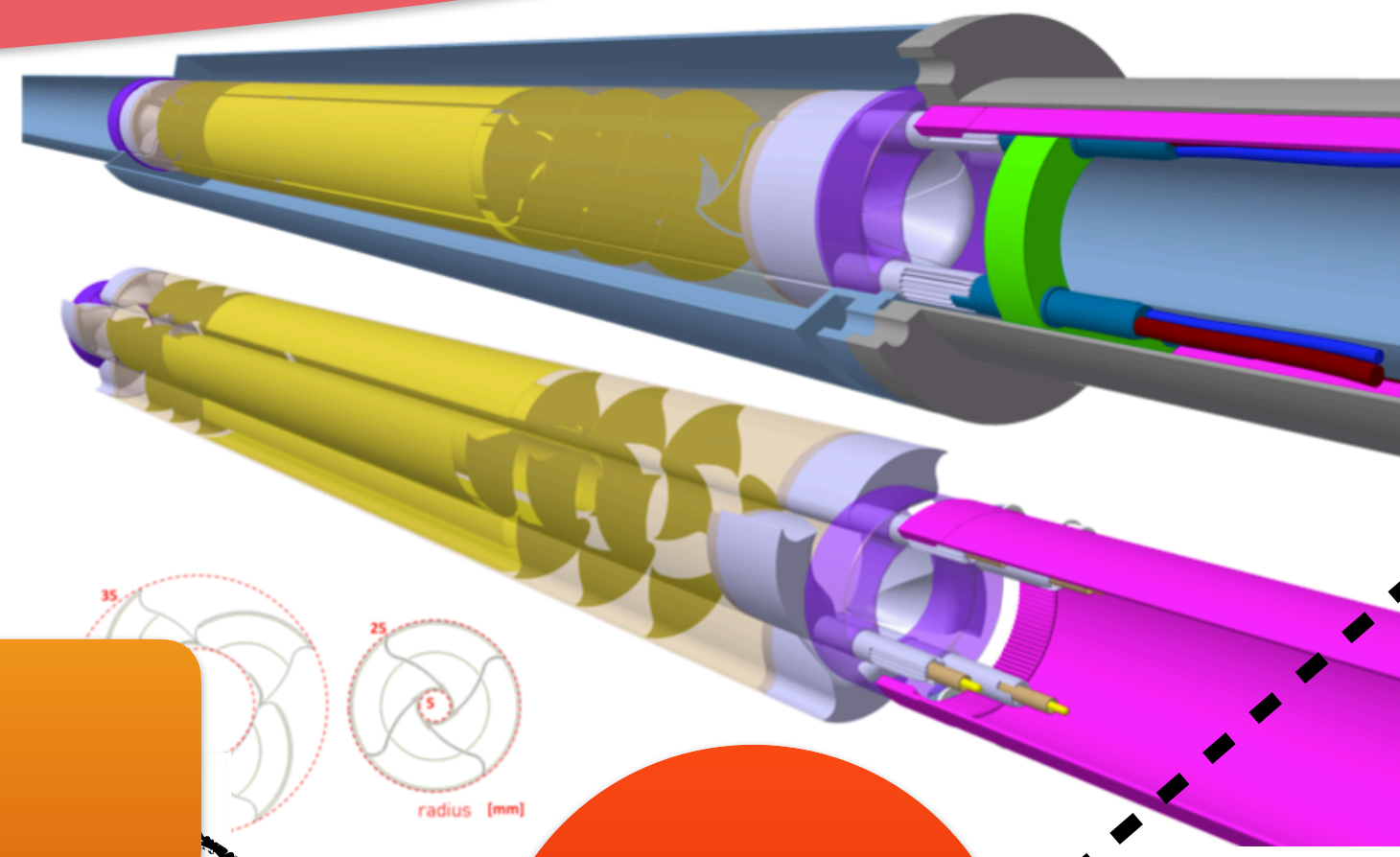
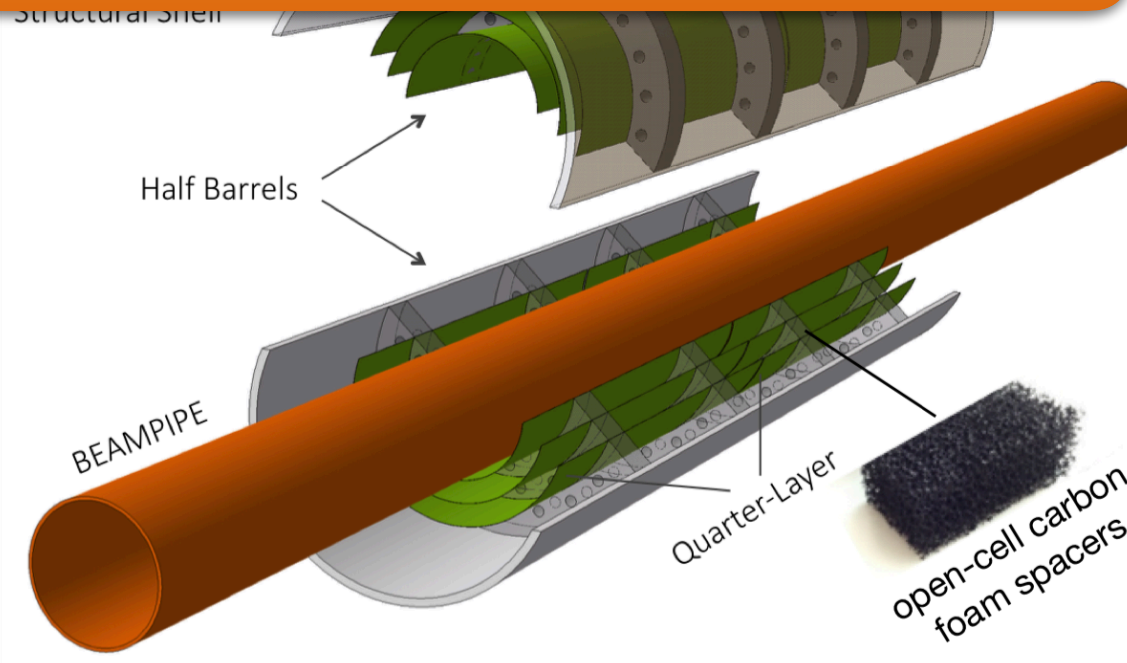
**ALICE 2**

**Run 3**  
2022 ~ 2024



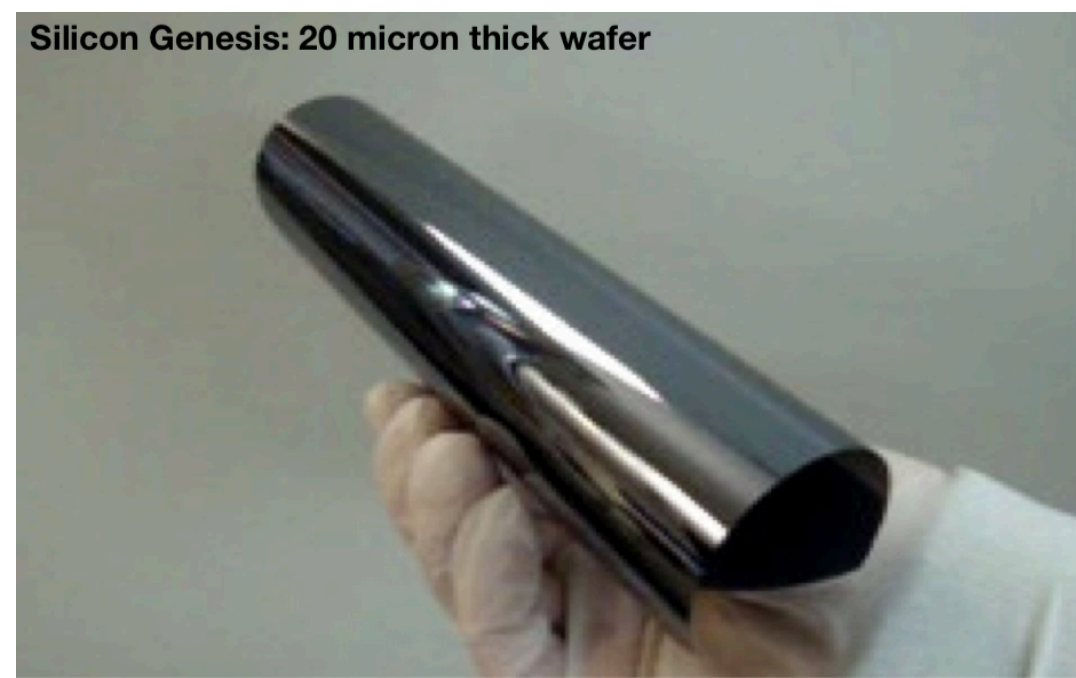
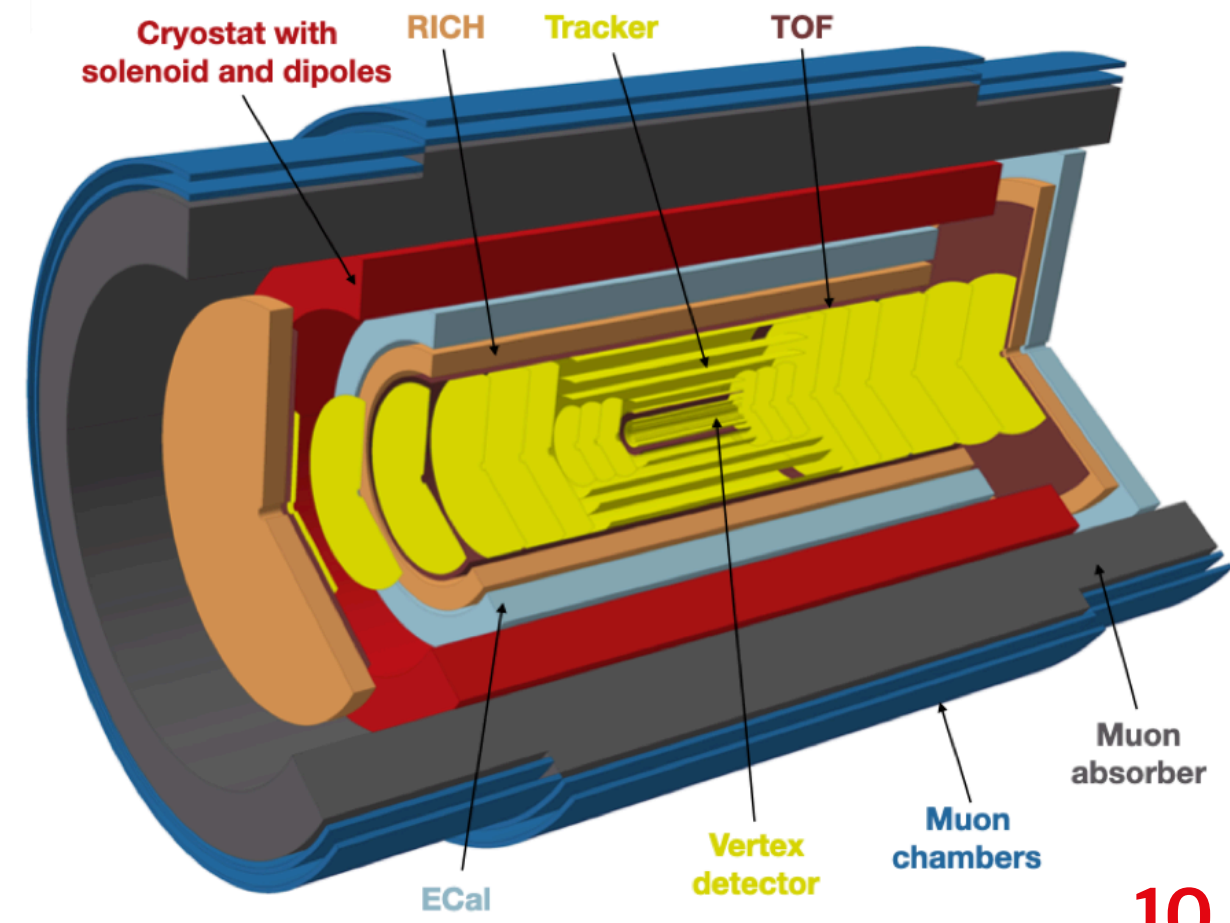
**Run 4**  
2028 ~ 2030

**ALICE 2.1**  
(+ ITS 3 & FoCal)



**Run 5**  
2032 ~ 2034

**ALICE 3**





# ALICE 3 Physics goal

Very short lifetime

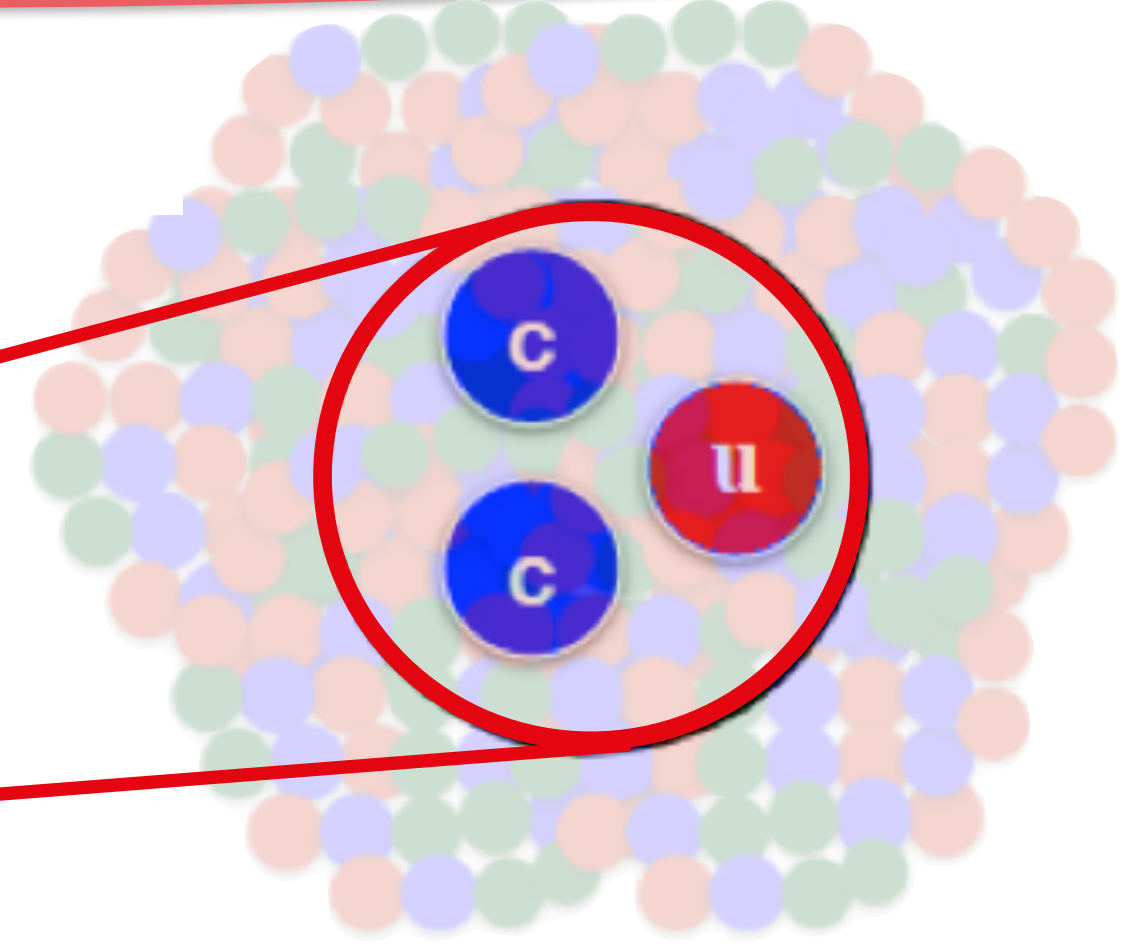
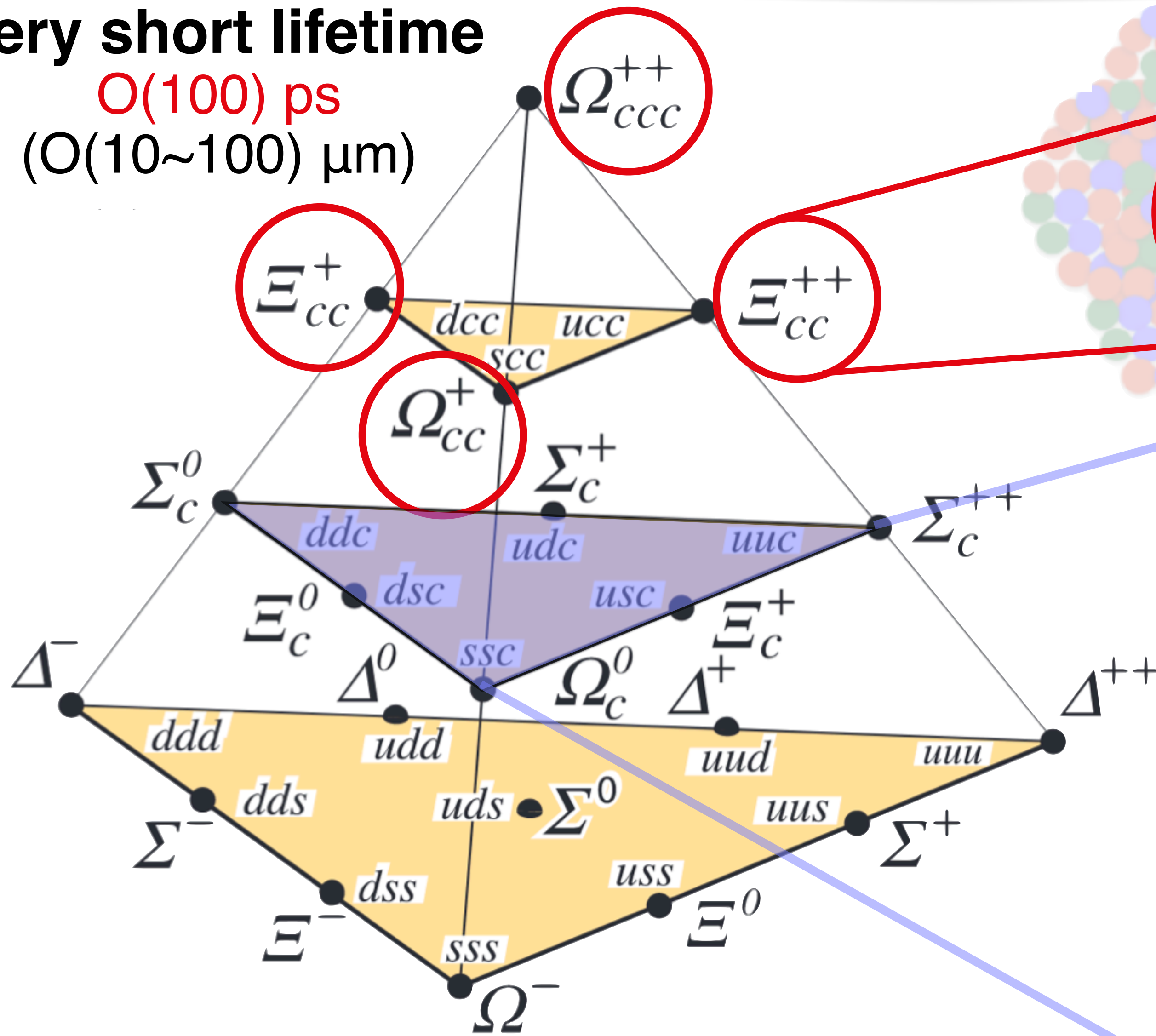
$O(100)$  ps  
( $O(10\sim 100)$   $\mu\text{m}$ )

$c=3$

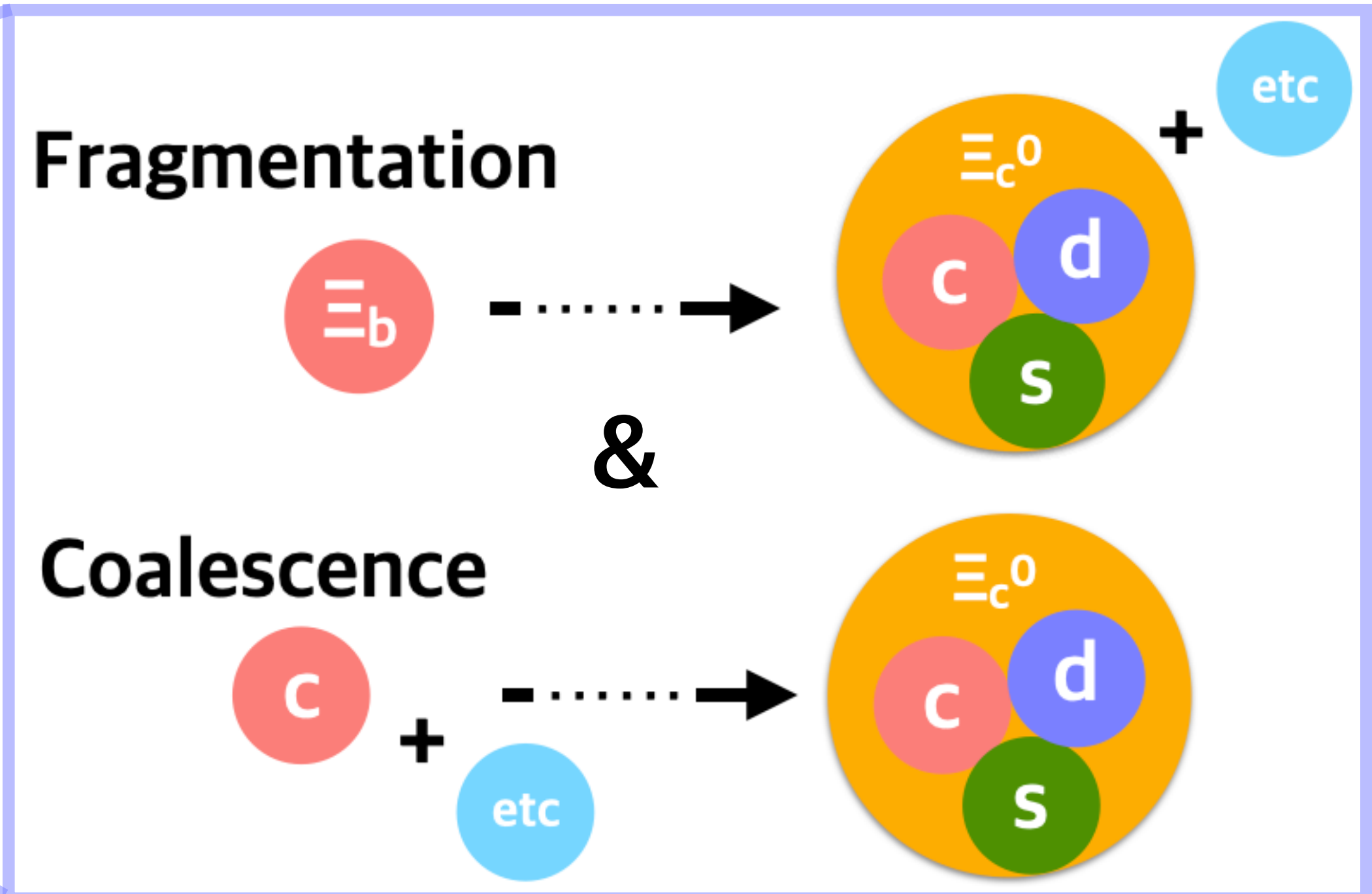
$c=2$

$c=1$

$c=0$

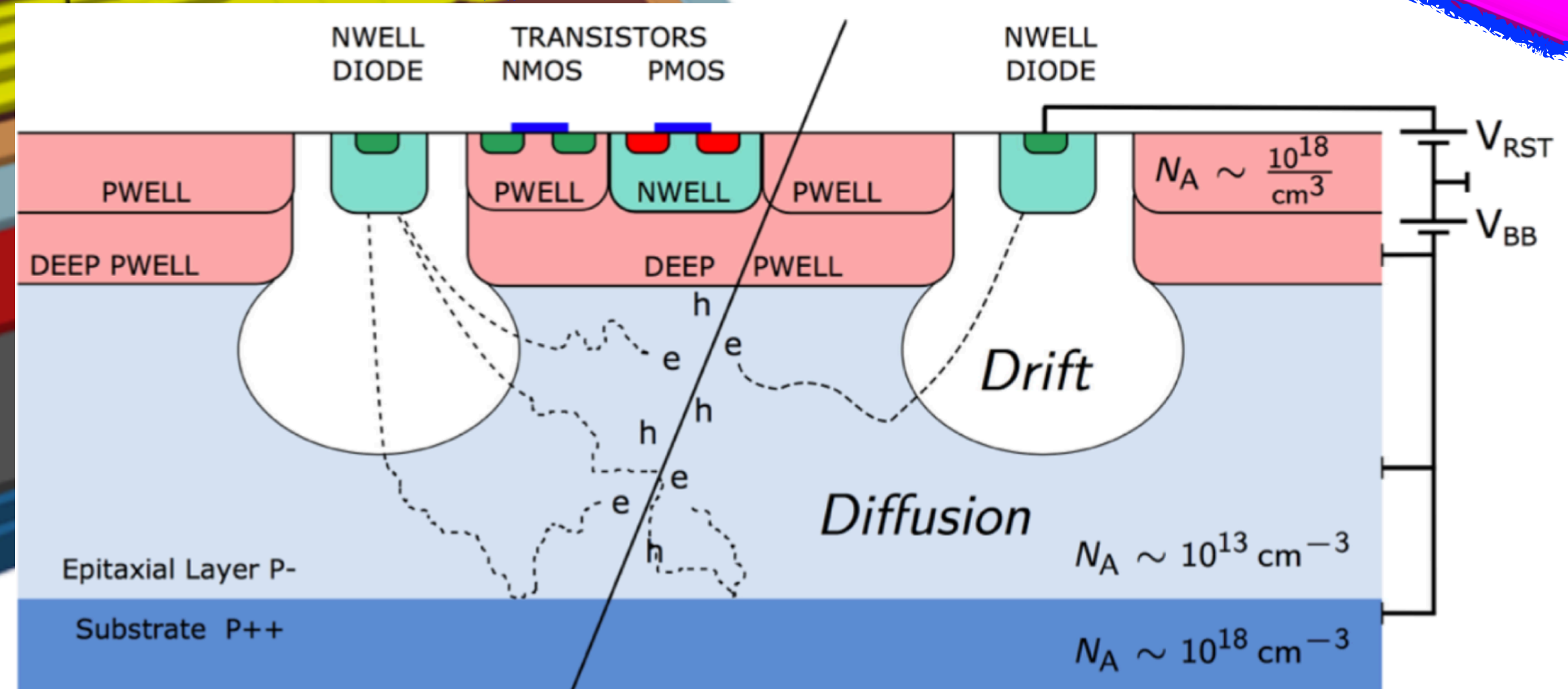
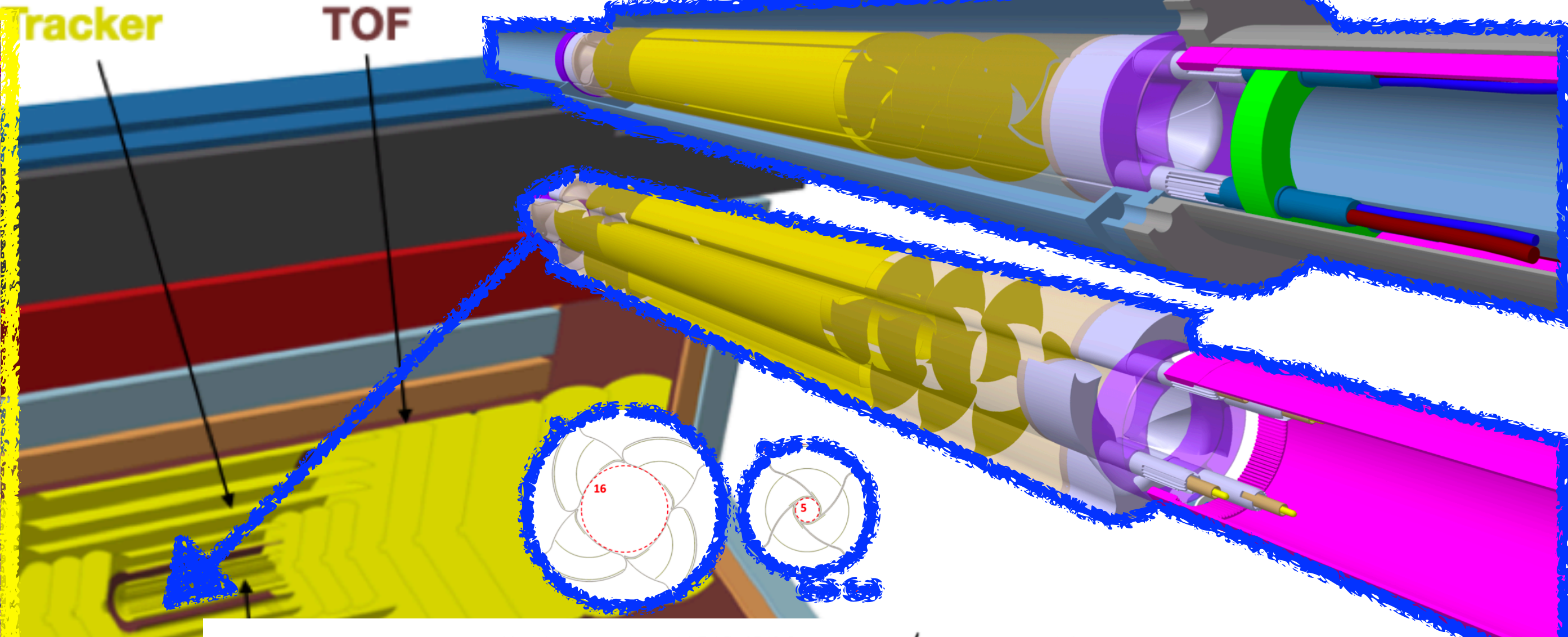
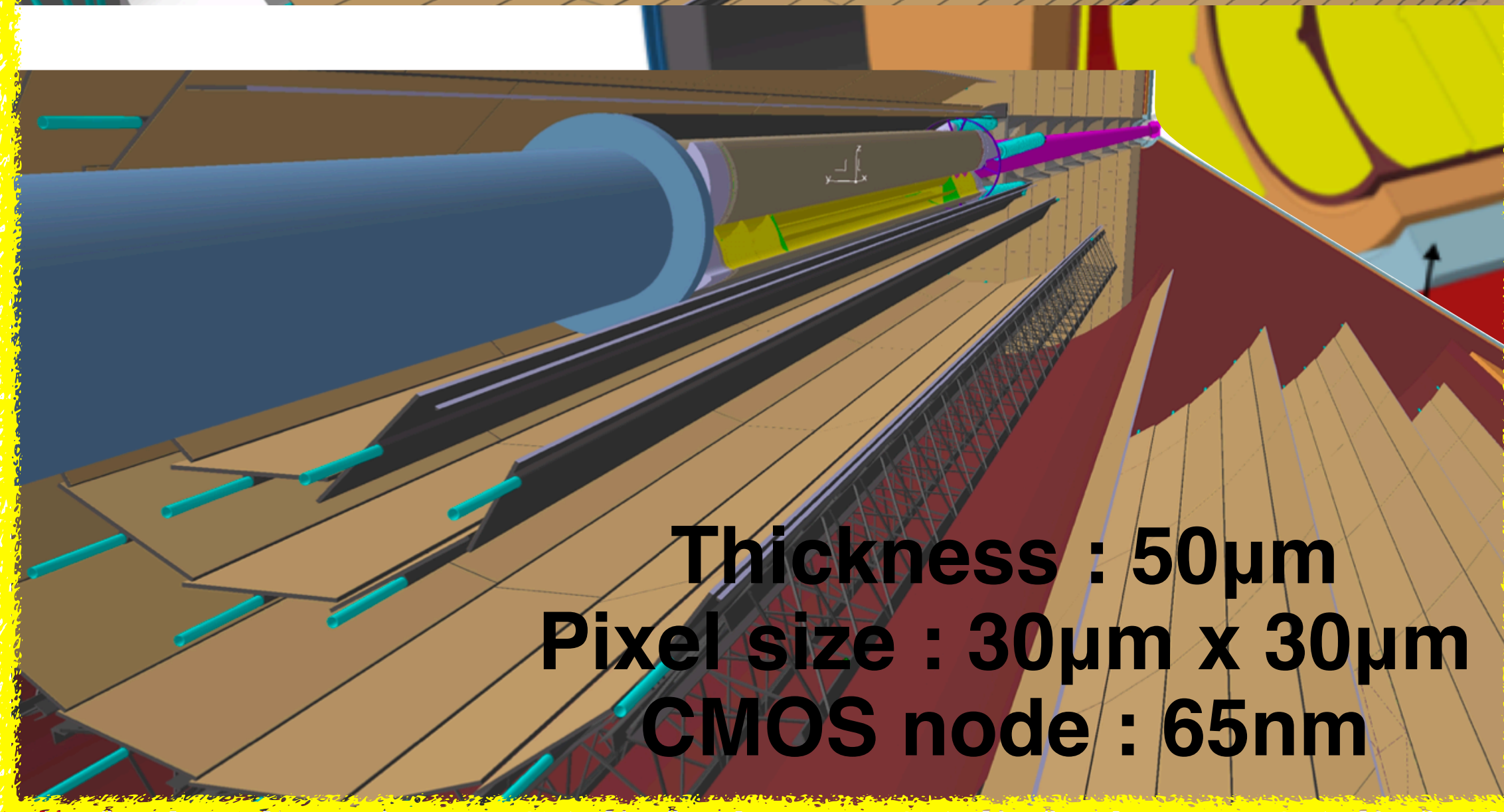
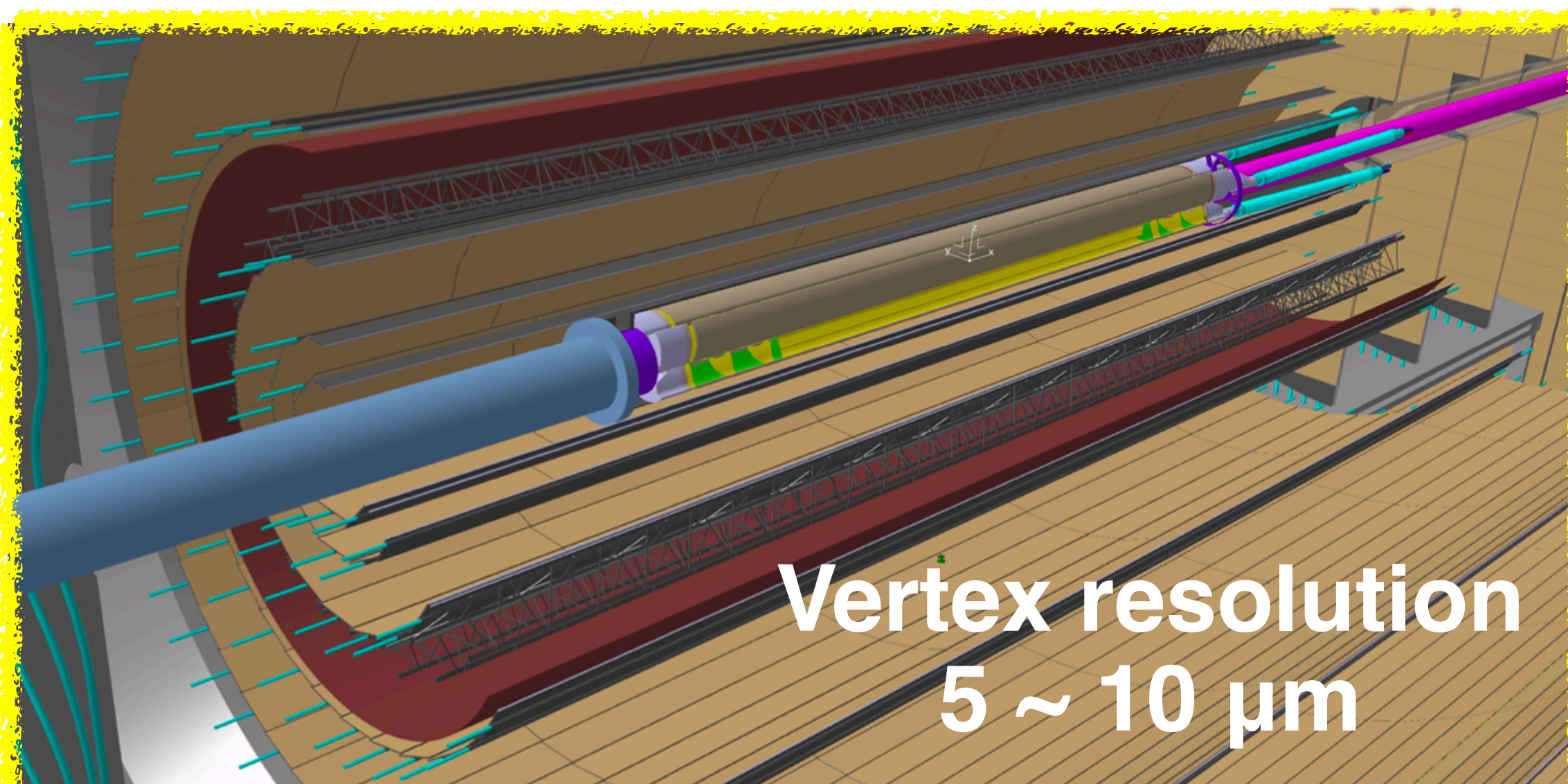


“Pure”  
coalescence  
particles





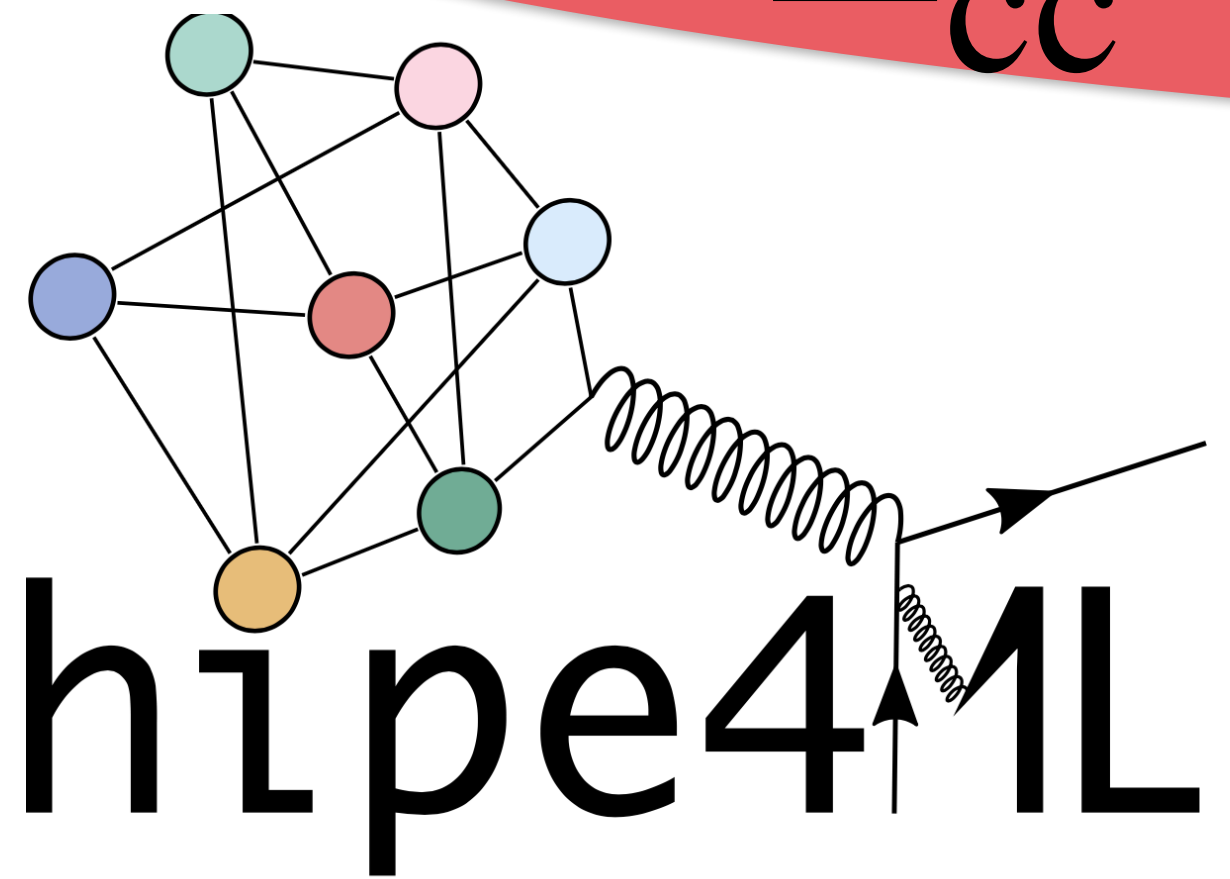
# Tracker and Vertex detector



CMOS Monolithic Active Pixel Sensors (MAPS)



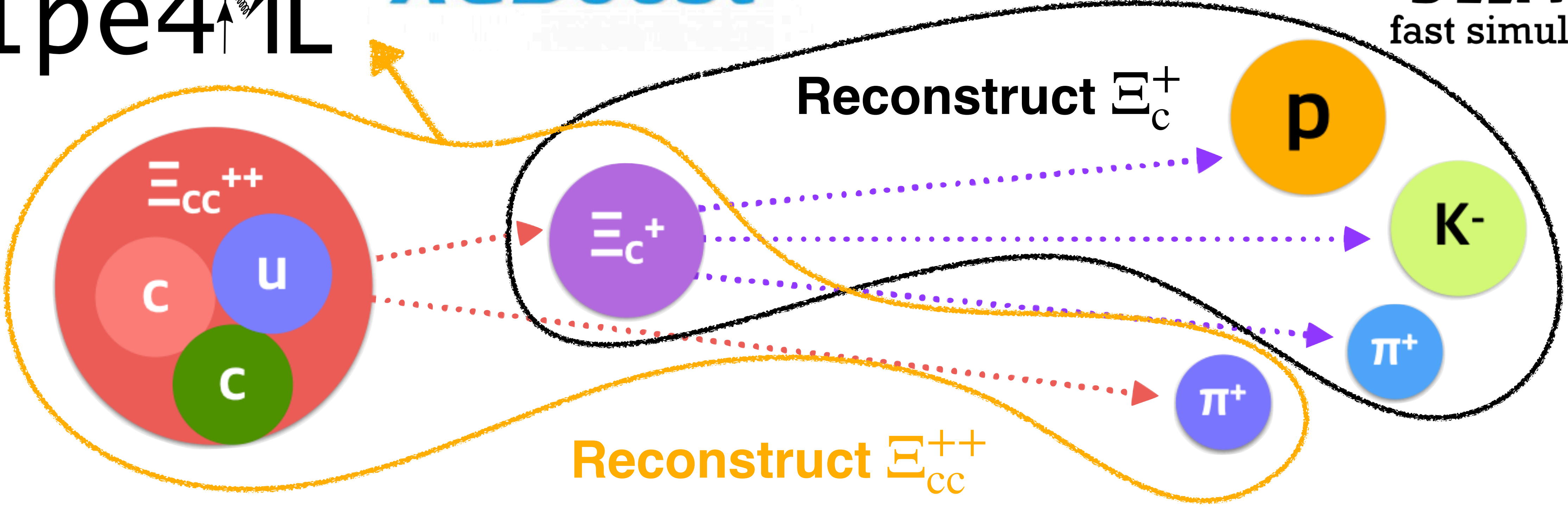
# $\Xi_{cc}^{++}$ Performance study with ML



dmlc  
**XGBoost**



**DELPHES**  
fast simulation

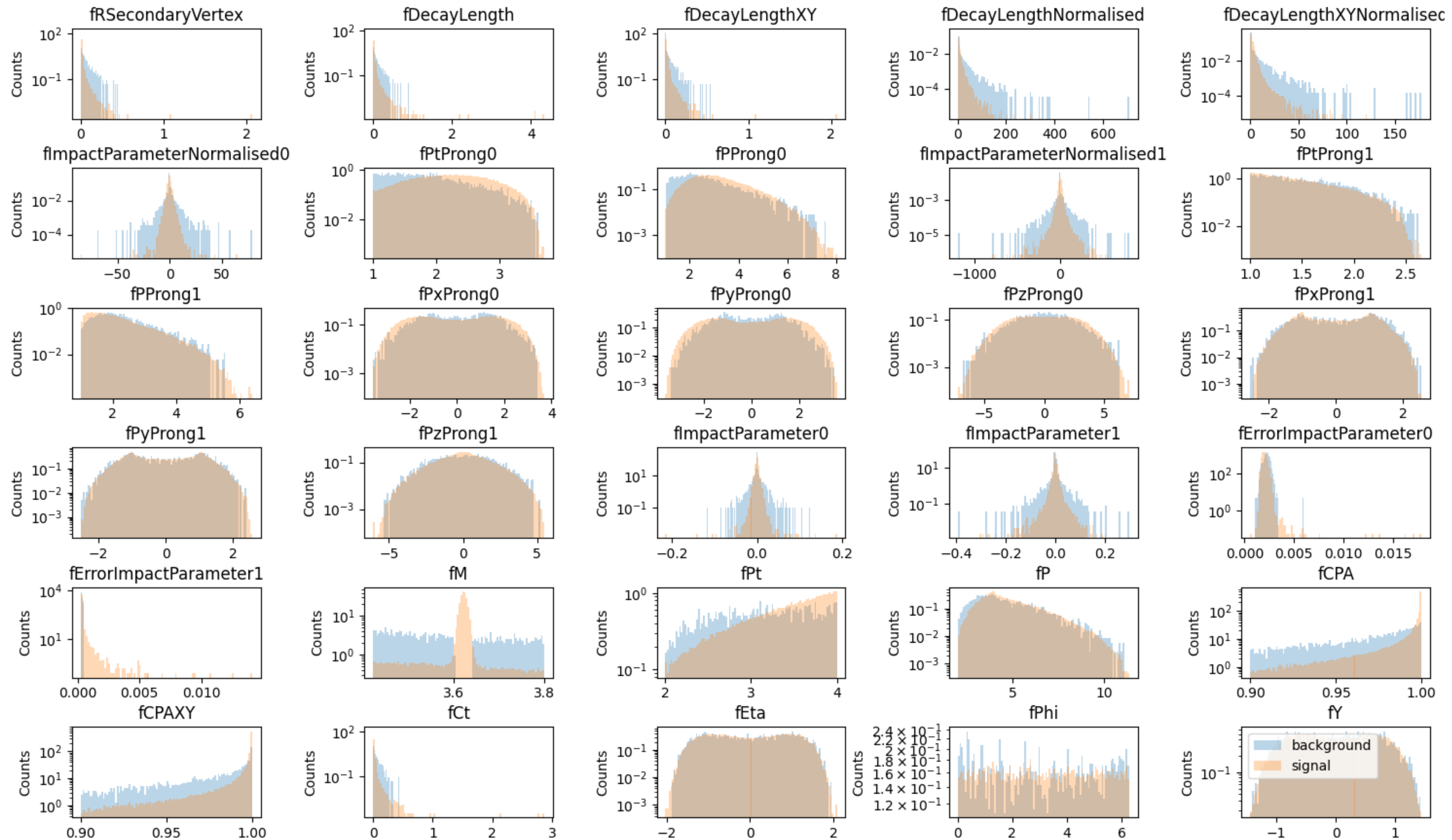


**Very Rare Signal**  
**(1 signal for 1M pp collisions)**



# Signal vs Background

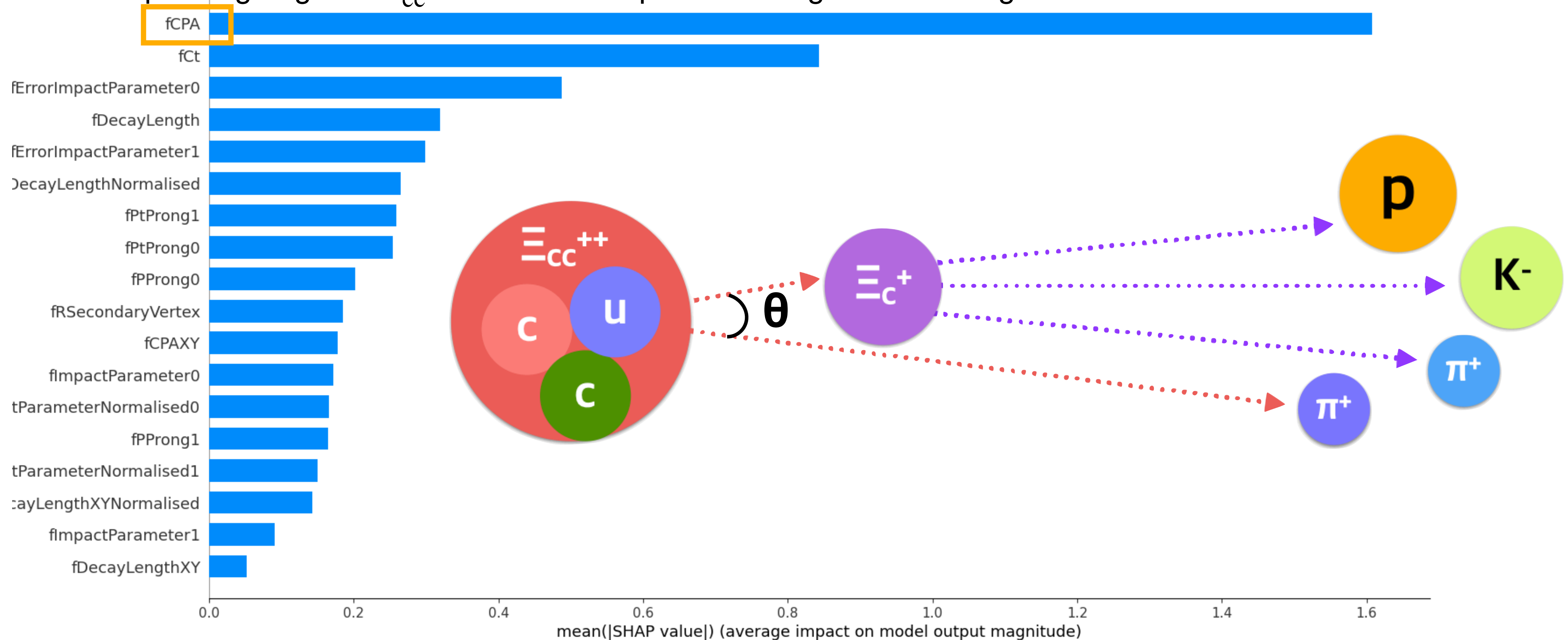
- Signal vs background distribution ( $2 \leq p_T < 4 \text{ GeV}/c$ )





# BDT model output

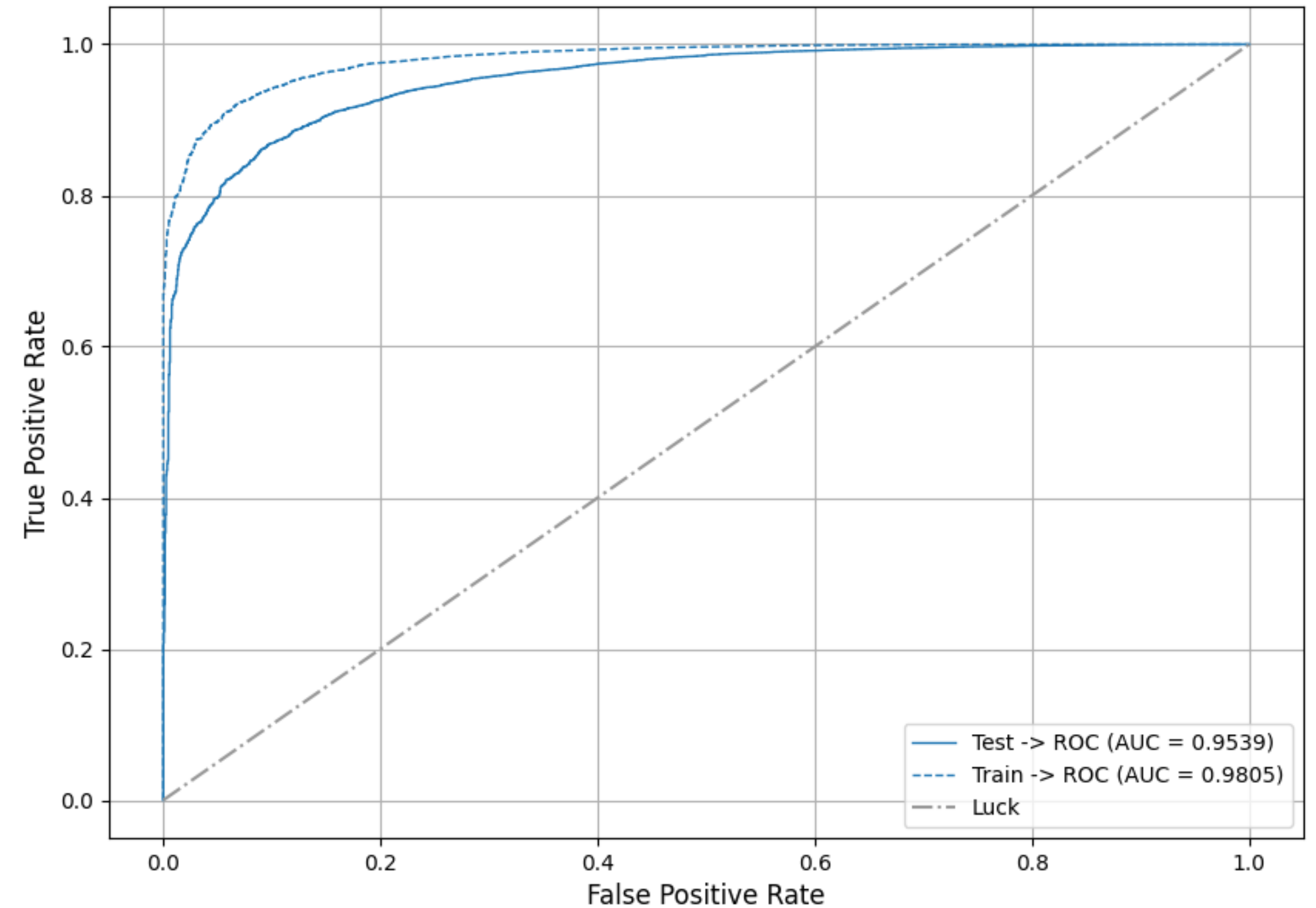
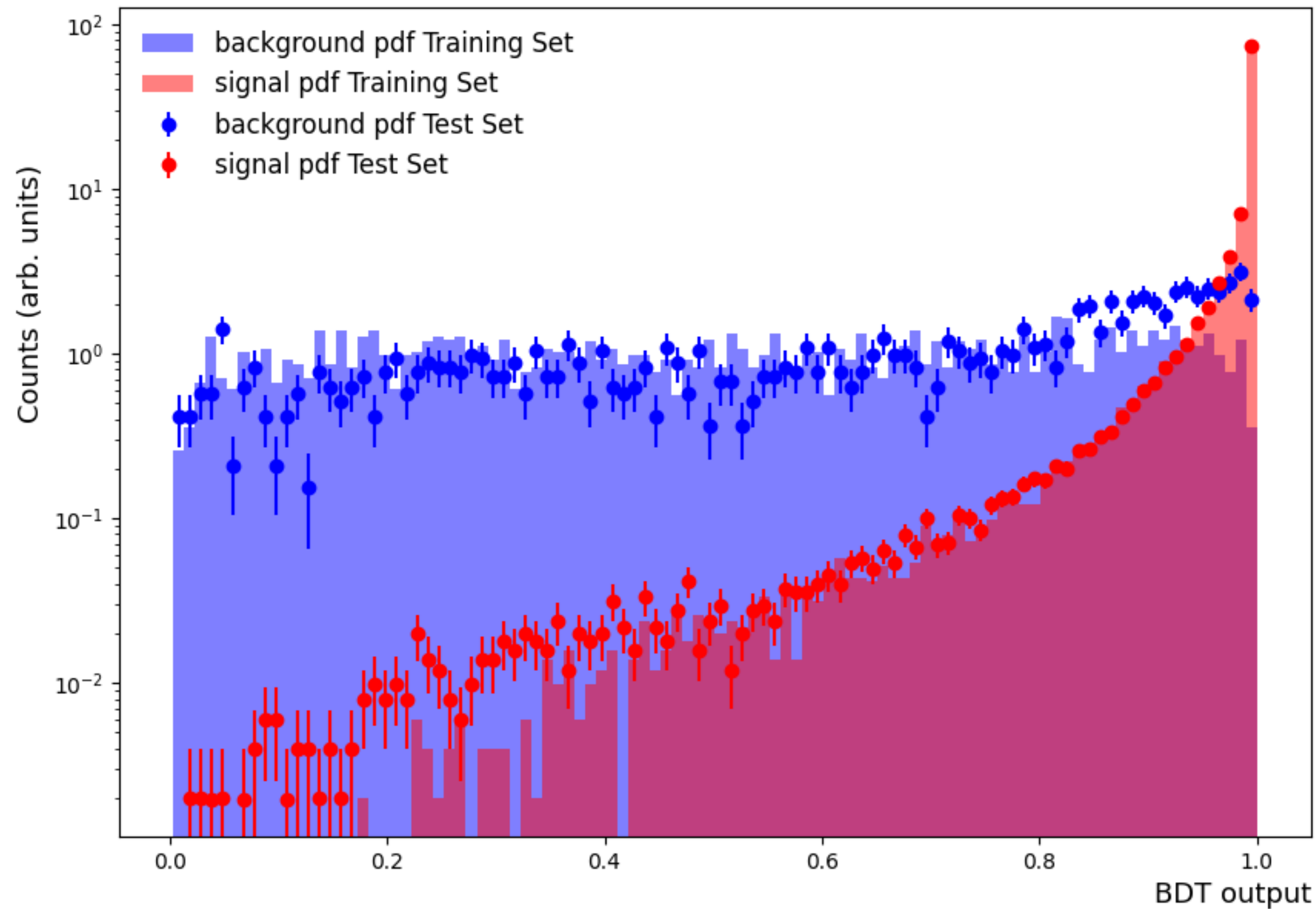
- **Feature importance** ( $2 \leq p_T < 4 \text{ GeV}/c$ )
  - Cosine of pointing angle of  $\Xi_{cc}^{++}$  is critical to separate the signal and background.





# BDT model output

- **Model output** ( $2 \leq p_T < 4 \text{ GeV}/c$ )
- **BDT output** : Kind of the probability of signal
  - 0.999 BDT output cut is applied to separate the signal and background.



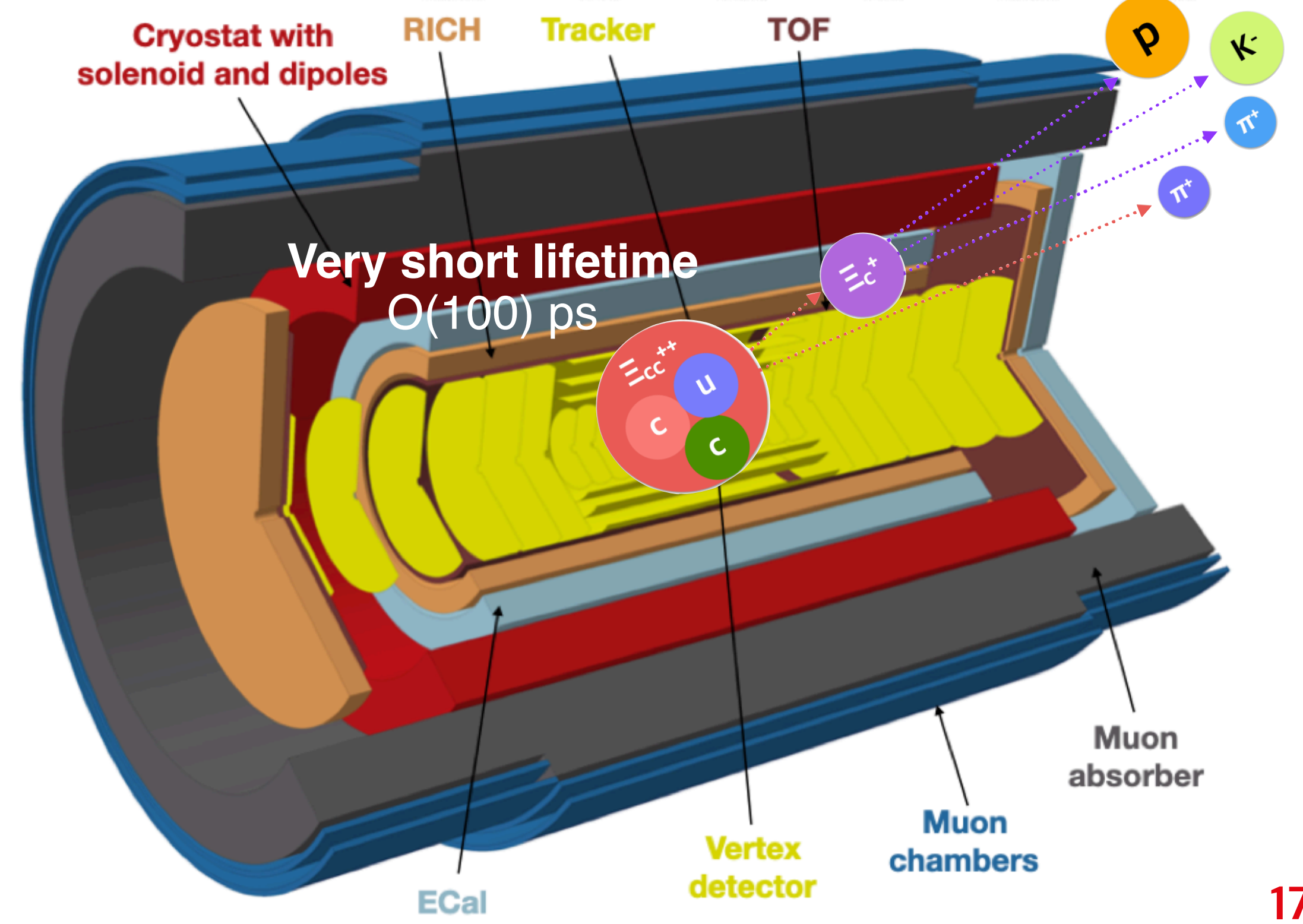
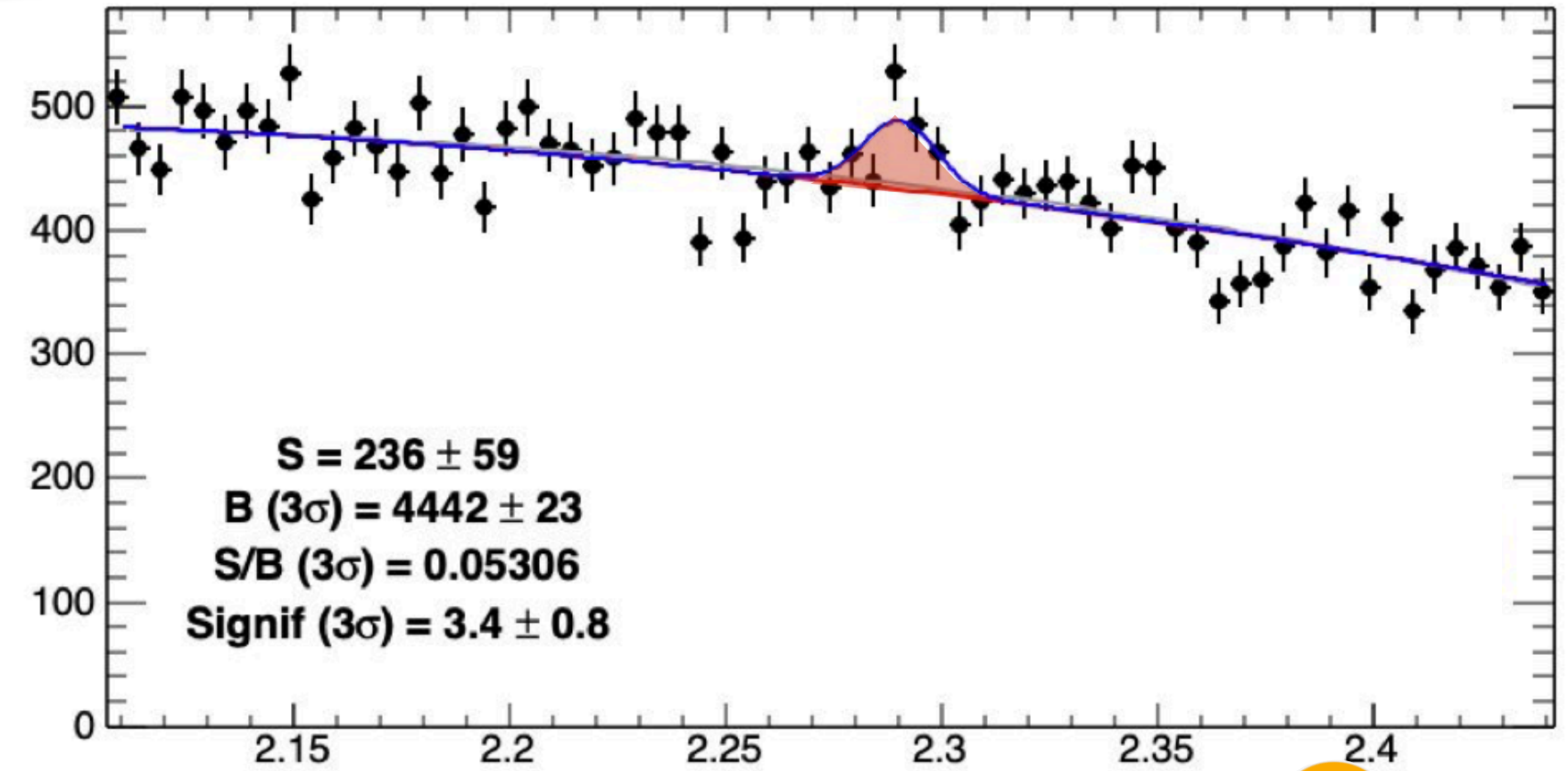
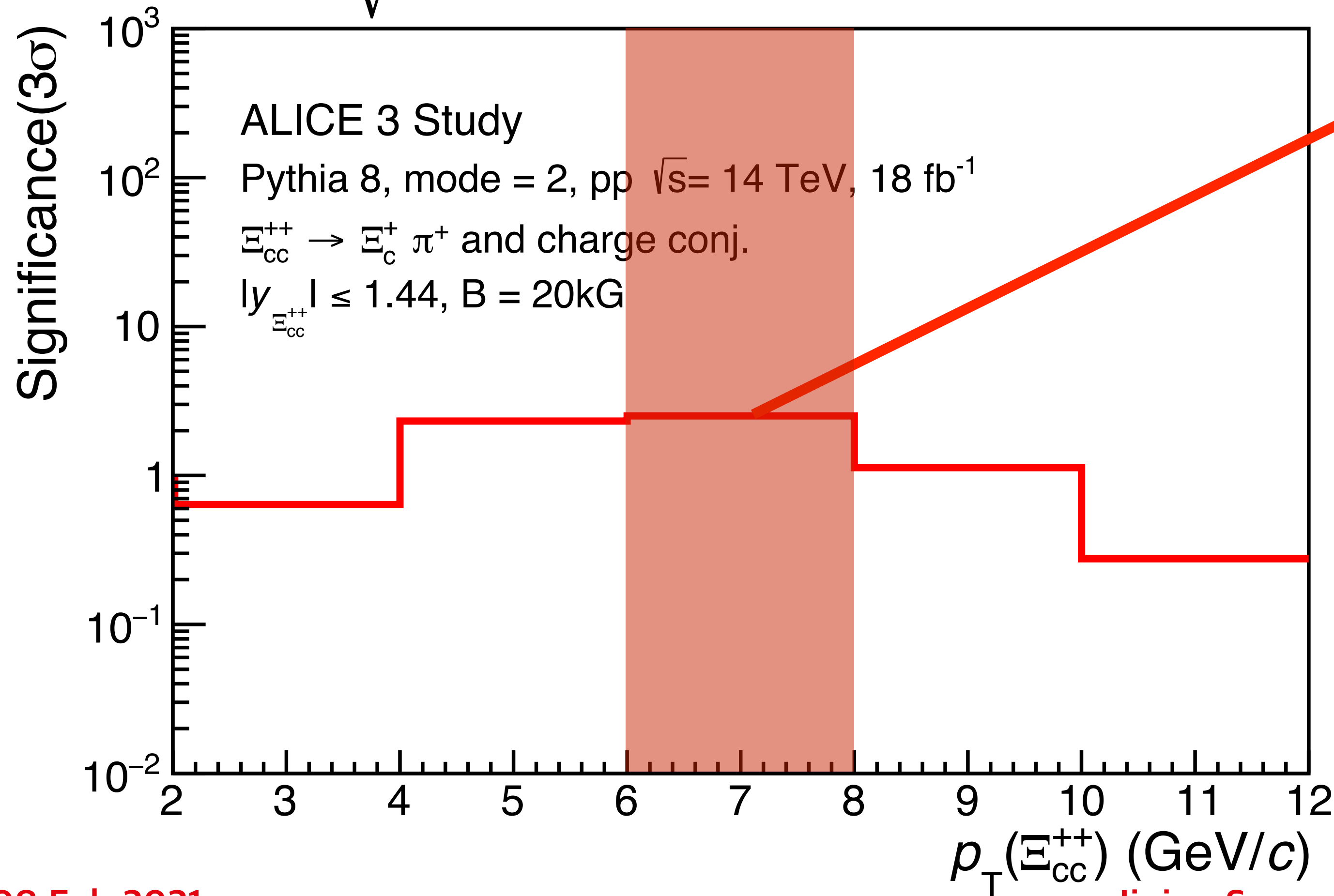


# $\Xi_{cc}^{++}$ Performance study with ML

• **Significance of  $\Xi_{cc}^{++}$**

$$Significance = \frac{N_{\Xi_{cc}^{++}}}{\sqrt{N_{\Xi_{cc}^{++}} + N_{Background \Xi_{cc}^{++}}}}$$

$N_{\Xi_{cc}^{++}}$  : Number of  $\Xi_{cc}^{++}$   
 $N_{Background \Xi_{cc}^{++}}$  : Number of  $\Xi_{cc}^{++}$  background





# Summary of 2021 Activity

- $\Xi_c^{0,+}$  production in pp collisions at 13 TeV
- Published 28 December 2021

PHYSICAL REVIEW LETTERS 127, 272001 (2021)

## Measurement of the Cross Sections of $\Xi_c^0$ and $\Xi_c^+$ Baryons and of the Branching-Fraction Ratio $\text{BR}(\Xi_c^0 \rightarrow \Xi^- e^+ \nu_e)/\text{BR}(\Xi_c^0 \rightarrow \Xi^- \pi^+)$ in $pp$ Collisions at $\sqrt{s} = 13$ TeV

S. Acharya *et al.*<sup>\*</sup>  
(A Large Ion Collider Experiment Collaboration)

(Received 2 August 2021; revised 18 October 2021; accepted 19 November 2021; published 28 December 2021)

The  $p_T$ -differential cross sections of prompt charm-strange baryons  $\Xi_c^0$  and  $\Xi_c^+$  were measured at midrapidity ( $|y| < 0.5$ ) in proton-proton ( $pp$ ) collisions at a center-of-mass energy  $\sqrt{s} = 13$  TeV with the ALICE detector at the LHC. The  $\Xi_c^0$  baryon was reconstructed via both the semileptonic decay ( $\Xi^- e^+ \nu_e$ ) and the hadronic decay ( $\Xi^- \pi^+$ ) channels. The  $\Xi_c^+$  baryon was reconstructed via the hadronic decay ( $\Xi^- \pi^+ \pi^+$ ) channel. The branching-fraction ratio  $\text{BR}(\Xi_c^0 \rightarrow \Xi^- e^+ \nu_e)/\text{BR}(\Xi_c^0 \rightarrow \Xi^- \pi^+) = 1.38 \pm 0.14(\text{stat}) \pm 0.22(\text{syst})$  was measured with a total uncertainty reduced by a factor of about 3 with respect to the current world average reported by the Particle Data Group. The transverse momentum ( $p_T$ ) dependence of the  $\Xi_c^0$ - and  $\Xi_c^+$ -baryon production relative to the  $D^0$  meson and to the  $\Sigma_c^{0,+,++}$ - and  $\Lambda_c^+$ -baryon production are reported. The baryon-to-meson ratio increases toward low  $p_T$  up to a value of approximately 0.3. The measurements are compared with various models that take different hadronization mechanisms into consideration. The results provide stringent constraints to these theoretical calculations and additional evidence that different processes are involved in charm hadronization in electron-positron ( $e^+e^-$ ) and hadronic collisions.

DOI: 10.1103/PhysRevLett.127.272001

Measurements of heavy-flavor hadron production in high-energy proton-proton ( $pp$ ) collisions provide important tests of quantum chromodynamics (QCD). The cross sections of heavy-flavor hadrons are usually computed using the factorization approach as a convolution of three factors [1]: (i) the parton distribution functions of the incoming protons, (ii) the hard-scattering cross section at partonic level, and (iii) the fragmentation function of heavy quarks into a given heavy-flavor hadron. The  $D$ - and  $B$ -meson cross sections in  $pp$  collisions at several center-of-mass energies at the LHC [2–7] are described within uncertainties by perturbative QCD calculations [8–12], which use fragmentation functions tuned on  $e^+e^-$  data, over a wide range of transverse momentum ( $p_T$ ). Measurements of  $\Lambda_c^+$ -baryon production at midrapidity in  $pp$  collisions at the center-of-mass energy  $\sqrt{s} = 5.02$  and 7 TeV were reported by the ALICE and CMS Collaborations in Refs. [13–15]. The measured  $\Lambda_c^+/D^0$  ratio is higher than previous measurements in  $e^+e^-$  [16–18] and  $e^-p$  [19,20] collisions. A similar observation was drawn from the measurement of the inclusive  $\Xi_c^0$ -baryon

production at midrapidity in  $pp$  collisions at  $\sqrt{s} = 7$  TeV [21].

PYTHIA8.2 tunes including string formation beyond the leading-color approximation [22] and a statistical hadronization model (SHM) [23] including a set of higher-mass charm-baryon states as prescribed by the relativistic quark model (RQM) and from lattice QCD [24,25] qualitatively describe the measured  $\Sigma_c^{0,+,++}/D^0$  and  $\Lambda_c^+/D^0$  cross section ratios [15,26], but underestimate the  $\Xi_c^0/D^0$  ratio [21]. The observed enhancement of the charm-baryon production can also be explained by model calculations considering hadronization of charm quarks via coalescence in addition to the fragmentation in  $pp$  collisions [27,28]. The increased yield of charm baryons makes it mandatory to include their contribution for an accurate measurement of the  $c\bar{c}$  production cross section in  $pp$  collisions at the LHC [29].

In this Letter, the measurements of the cross sections of the prompt (i.e., produced directly in the hadronization of charm quarks and in the decays of directly produced excited charm states) charm-strange baryons  $\Xi_c^0$  and  $\Xi_c^+$  at midrapidity ( $|y| < 0.5$ ) in  $pp$  collisions at  $\sqrt{s} = 13$  TeV are reported. The  $\Xi_c^0$  baryon was reconstructed via the decay channels  $\Xi^- e^+ \nu_e$ ,  $\text{BR} = (1.8 \pm 1.2)\%$  and  $\Xi^- \pi^+$ ,  $\text{BR} = (1.43 \pm 0.32)\%$  [30] together with their charge conjugates in the interval  $1 < p_T < 12$  GeV/ $c$ . The  $\Xi_c^+$  baryon was reconstructed via the decay channel  $\Xi^- \pi^+ \pi^+$ ,  $\text{BR} = (2.86 \pm 1.21 \pm 0.38)\%$  [31], together with its charge conjugate, in the interval  $4 < p_T < 12$  GeV/ $c$ .

<sup>\*</sup>Full author list given at the end of the article.

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# Summary of 2021 Activity

- $\Xi_c^{0,+}$  production in pp collisions at 13 TeV
  - Published 28 December 2021
  
- $\Xi_{cc}^{++}$  Performance study
  - Study is ongoing with machine learning

EUROPEAN ORGANIZATION FOR NUCLEAR RESEARCH



CERN-LHCC-2021-xxx  
ALICE-PUBLIC-2021-xxx

3  
4

**Letter of Intent:  
ALICE 3**

5  
6

**Draft v3**  
ALICE Collaboration\*

\*See Appendix ?? for the list of collaboration members

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**Back up**





**Inner Tracking System (ITS)**

Vertexing, tracking

$$|\eta| < 0.9$$

**V0 Trigger**

Event triggering

$$2.8 < \eta < 5.1 \text{ (VOA)}$$

$$3.7 < \eta < -1.7 \text{ (VOC)}$$

**Time Projection Chamber (TPC)**

tracking, PID via  $dE/dx$

$$|\eta| < 0.9$$

**Time Of Flight Detector (TOF)**

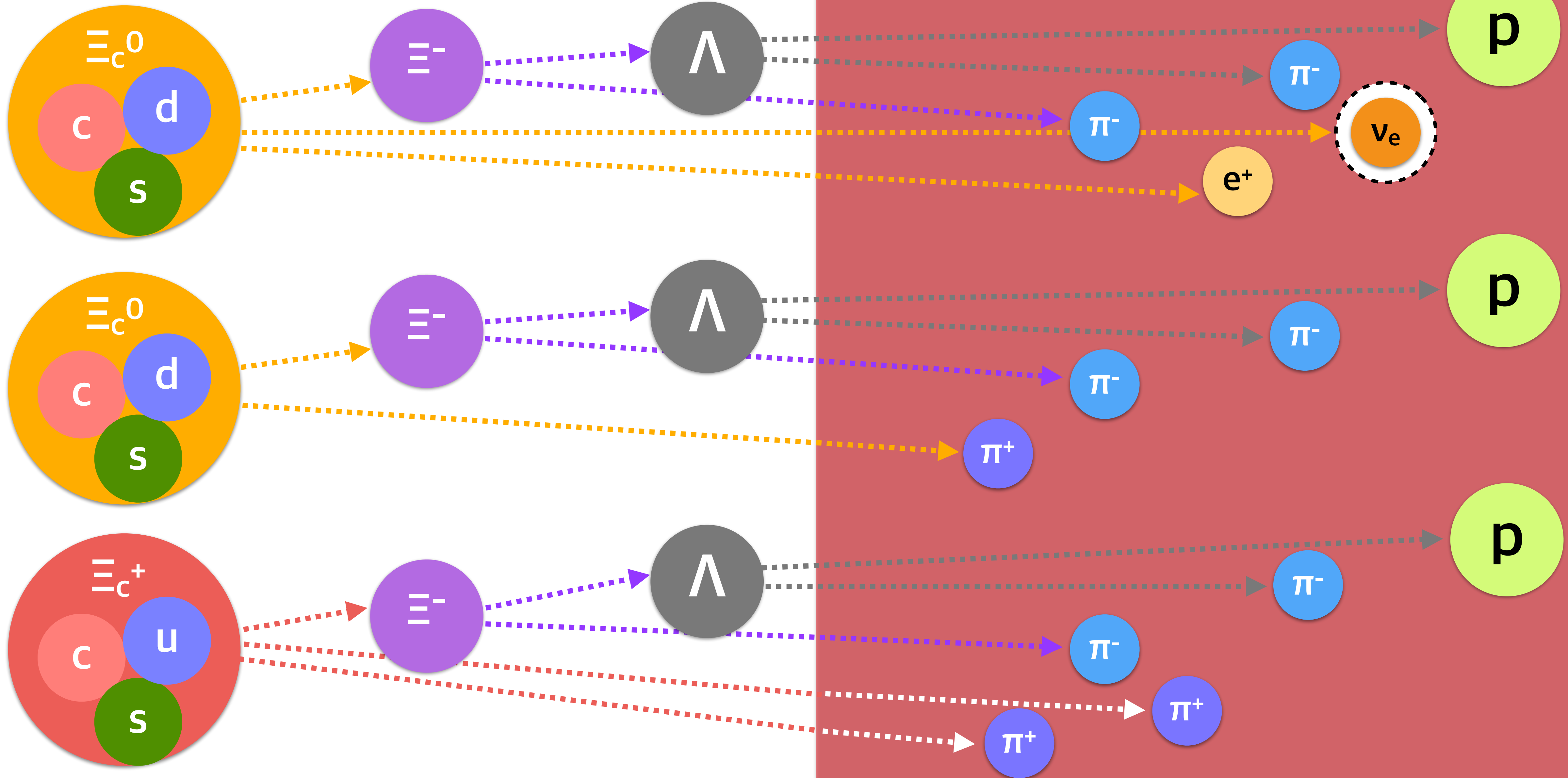
PID via time-of-flight

$$|\eta| < 0.9$$

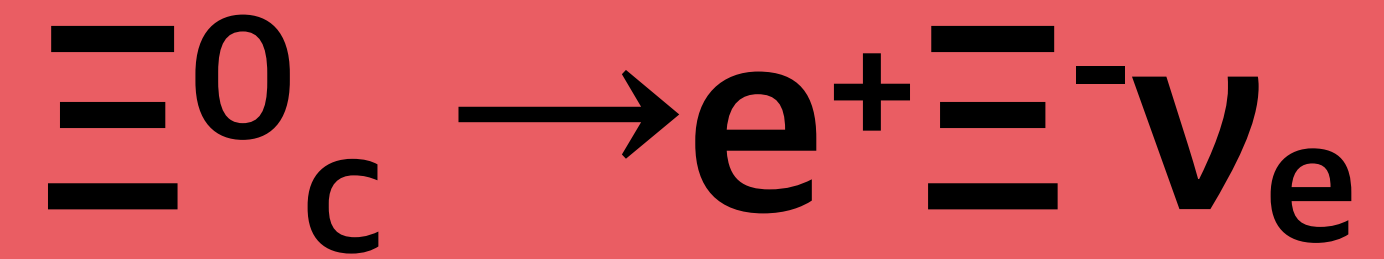


# Generated particle

# Detected particle



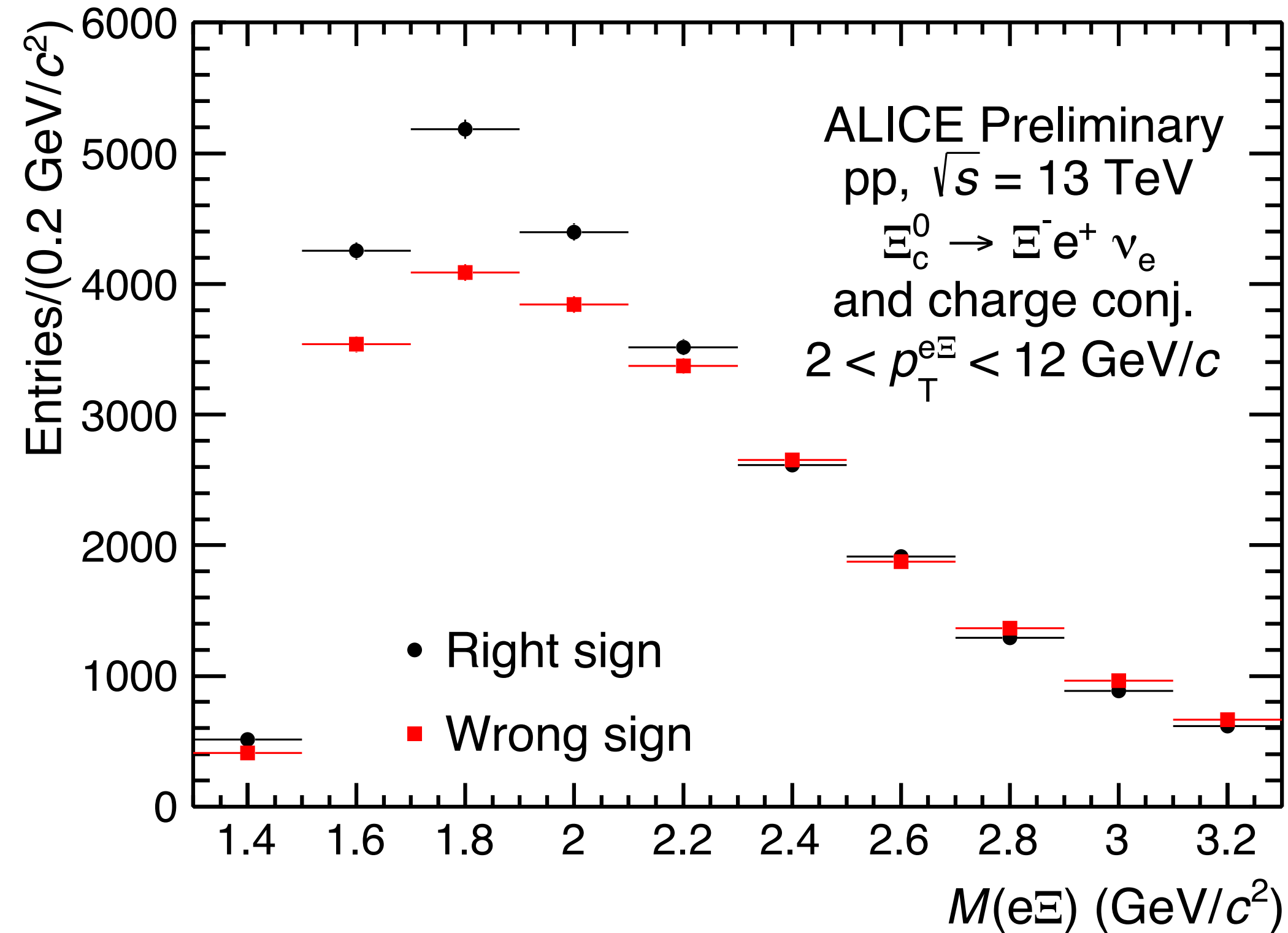




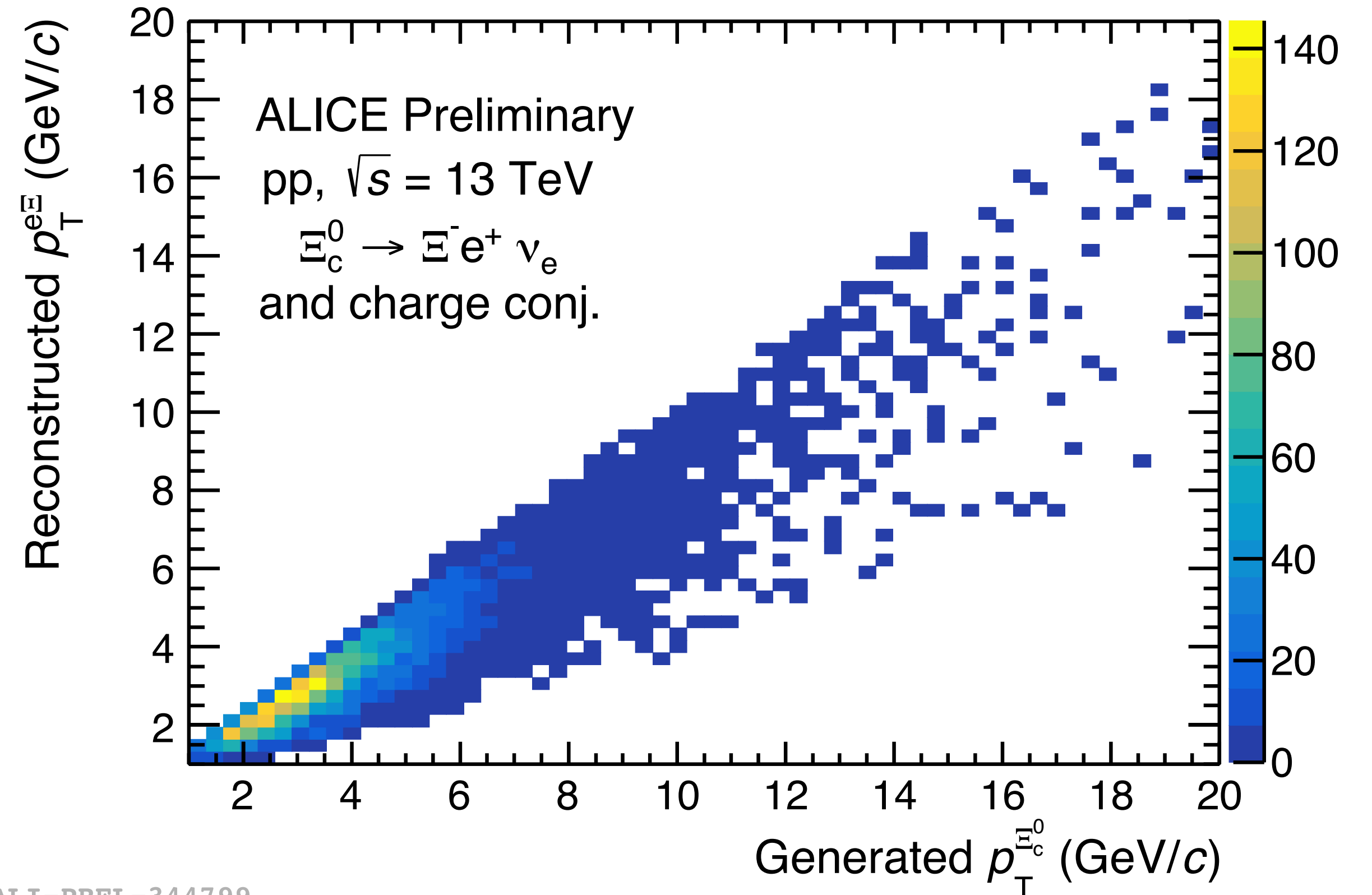
## - Unfolding

### • Unfolding

- The  $p_T$  of  $e\Xi$  pairs is corrected for the missing momentum of the neutrino using unfolding techniques.
- Convergence of the Bayesian unfolding is achieved after three iterations.



ALI-PREL-344791

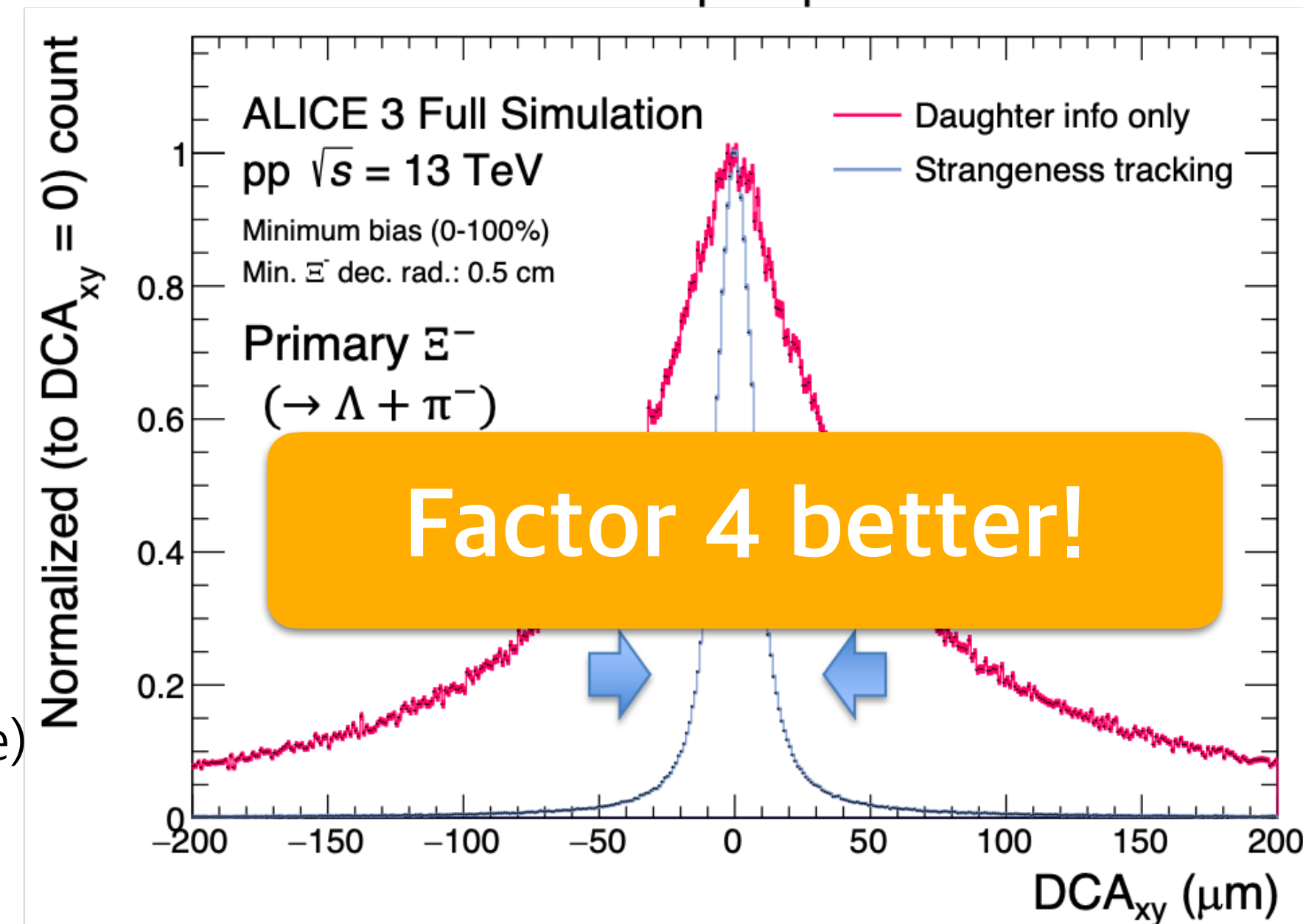
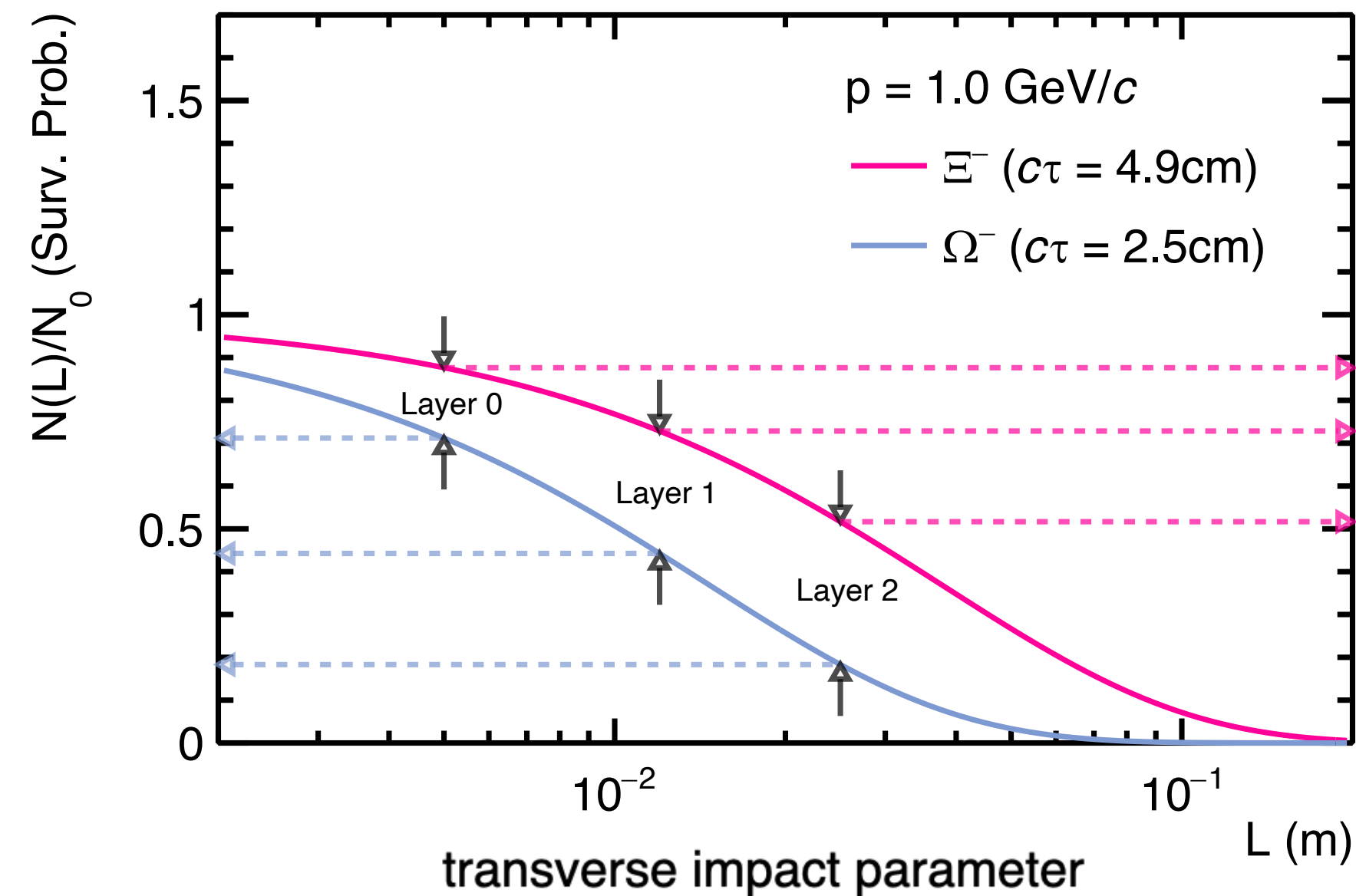
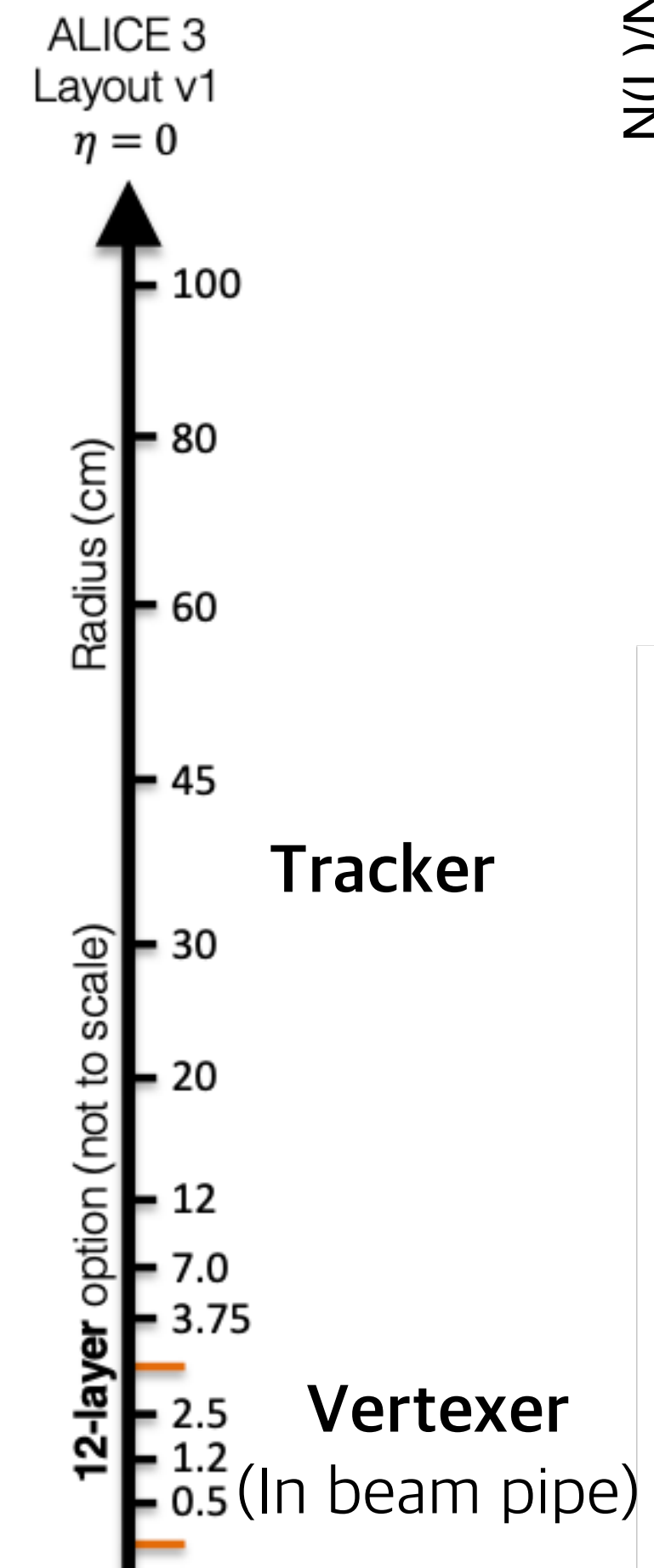
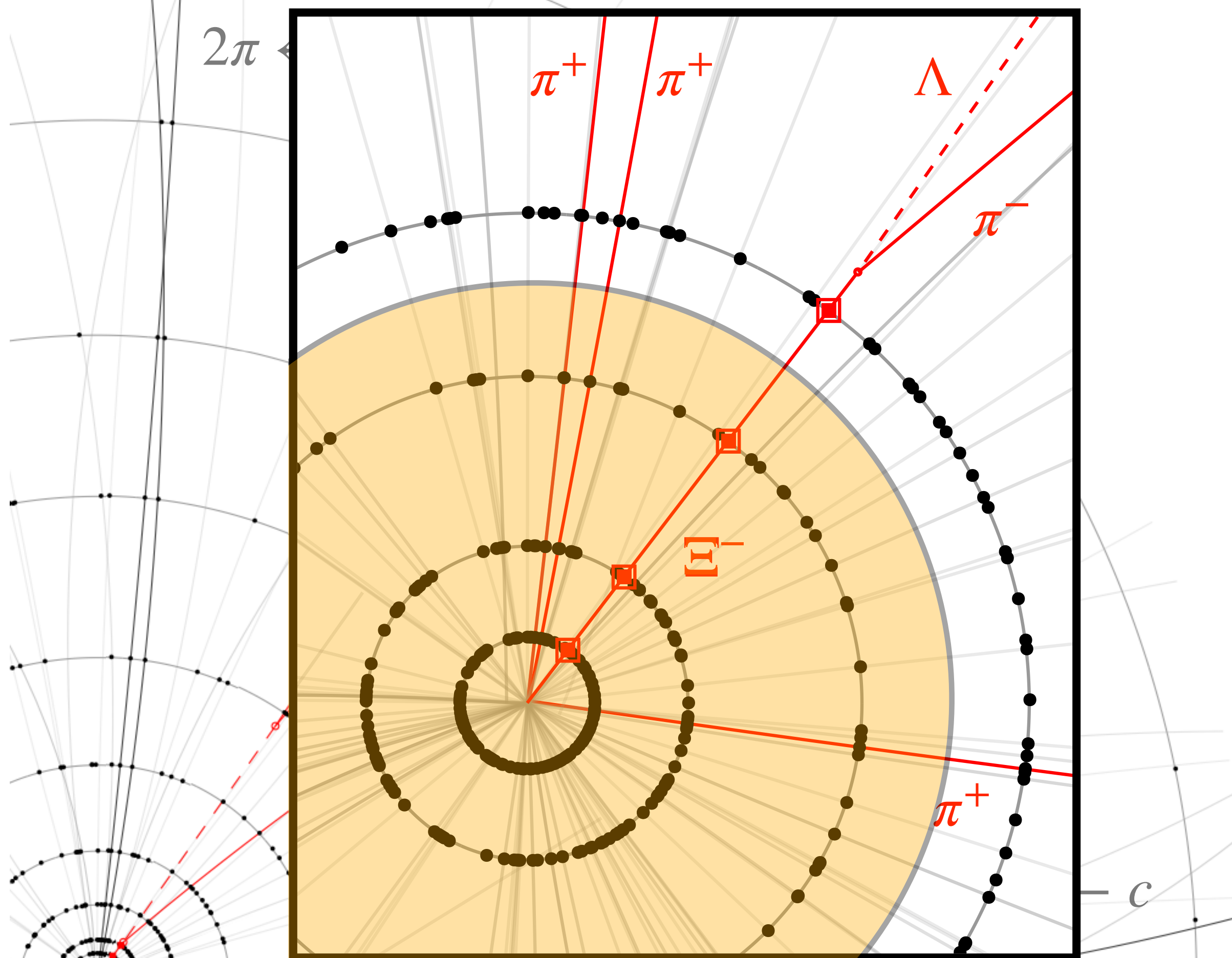
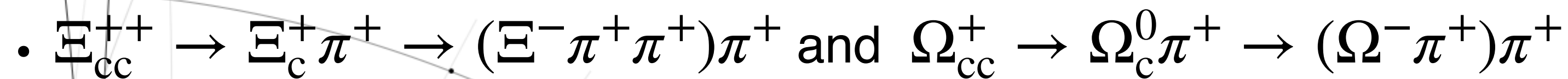


ALI-PREL-344799



# Multi-Charm baryon

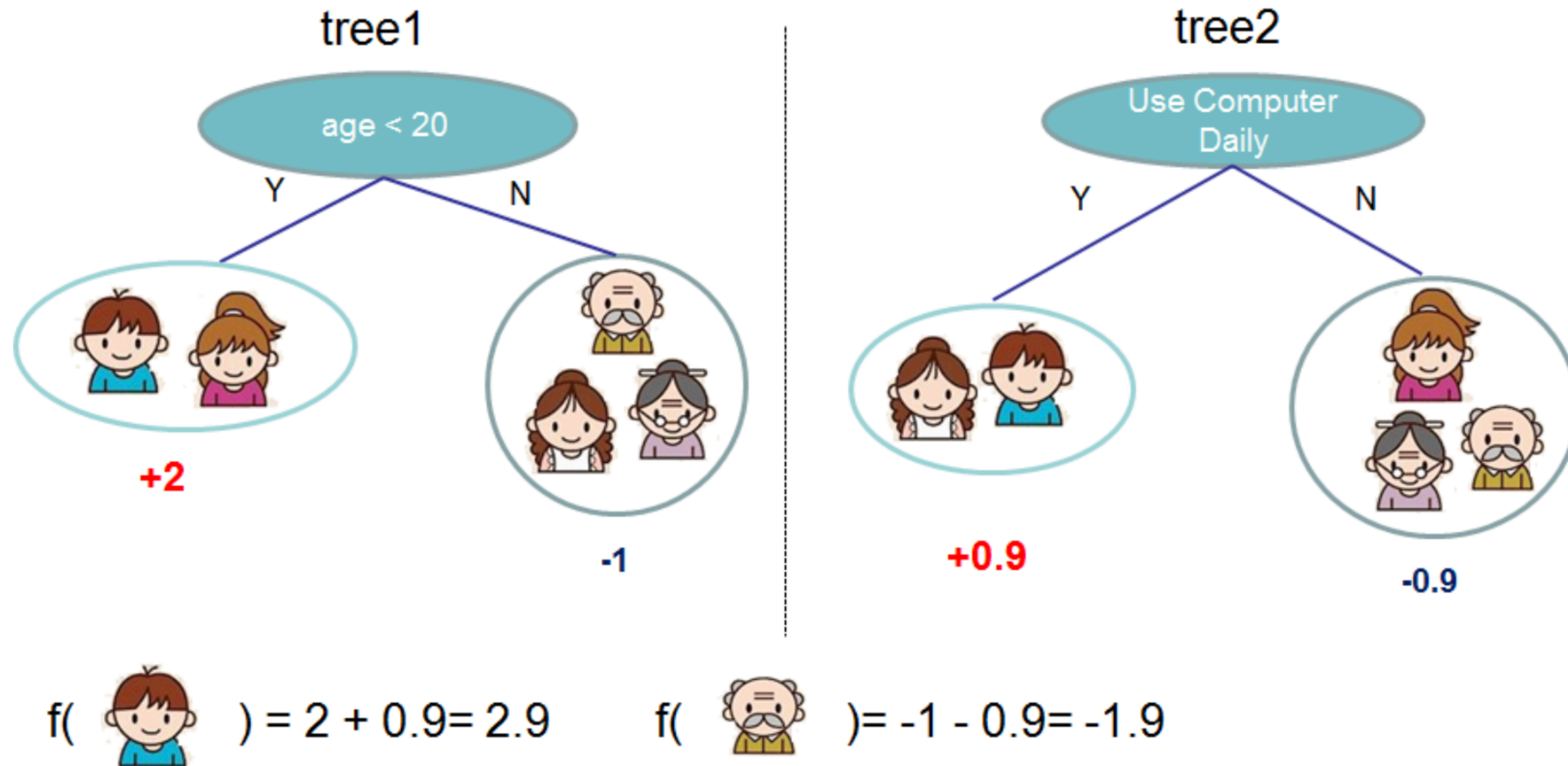
- **Performance study : strangeness-tracking**





# Boost Decision Tree

- Pre-selection and Training variable
  - Ensemble algorithm + Gradient descent algorithm





# ML Preselection & Training variable

- **Pre-selection and Training variable**

- Very loose cuts

- $\ln\sigma_{TOF} < 3$  &  $\ln\sigma_{TOF\text{Combined}} < 5$  are already applied to reduce the tree output size

- NOT considered  $n\sigma_{TOF}$  and  $n\sigma_{TOF\text{Combined}}$  as training variable -> will be added

## Preselection

```
#loose preslection
preselection = [
  '-1.44 < fY < 1.44',
  '0.00 < fDecayLength < 10',
  '-10 < fImpactParameter0 < 10',
  '-10 < fImpactParameter1 < 10',
  '0.5 < fPtProng0 < 20',
  '1 < fPtProng1 < 20',
  '0.90 < fCPA < 1',
  '0.90 < fCPAXY < 1',
  '3.4 < fM < 3.8',
  '0 < fPt < 16'
]
```

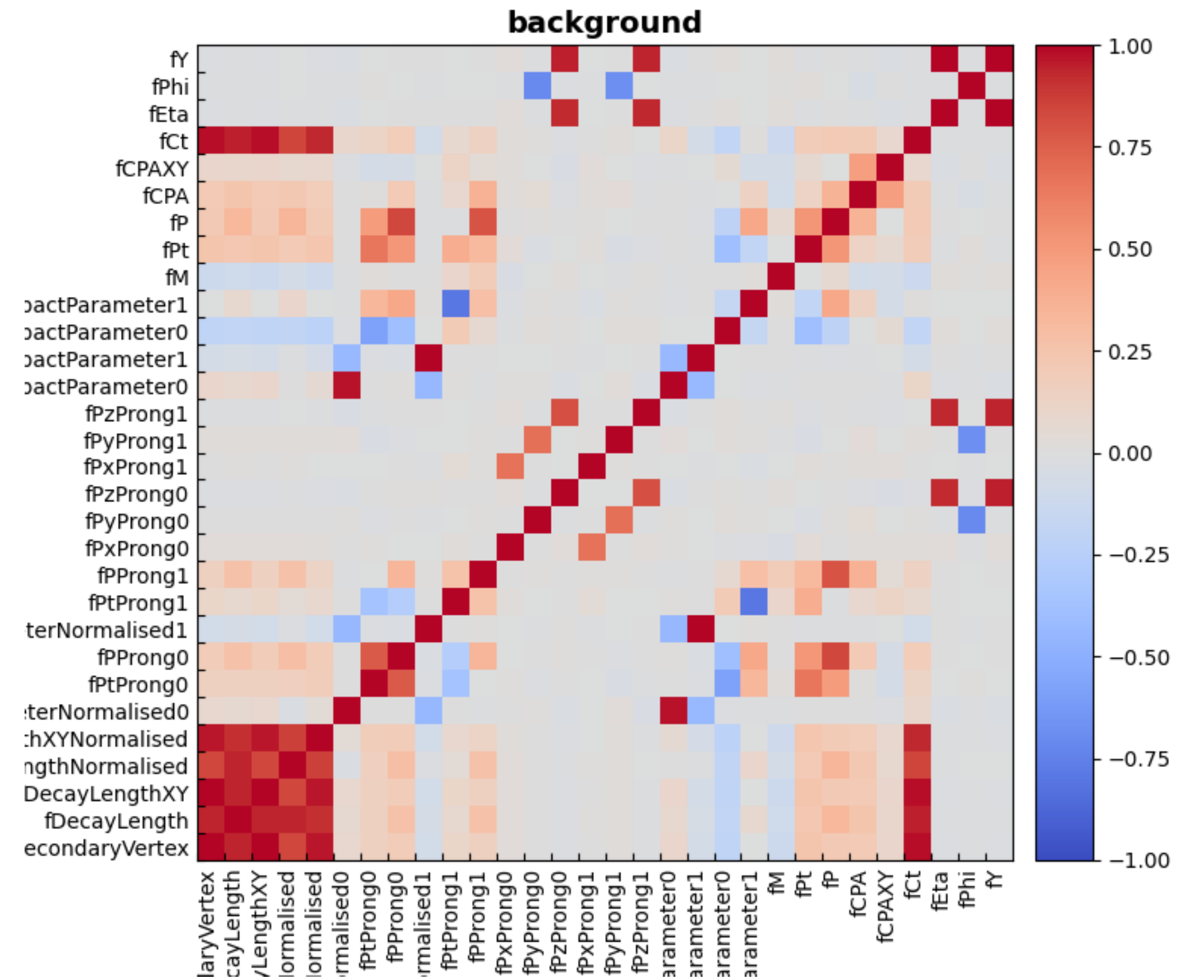
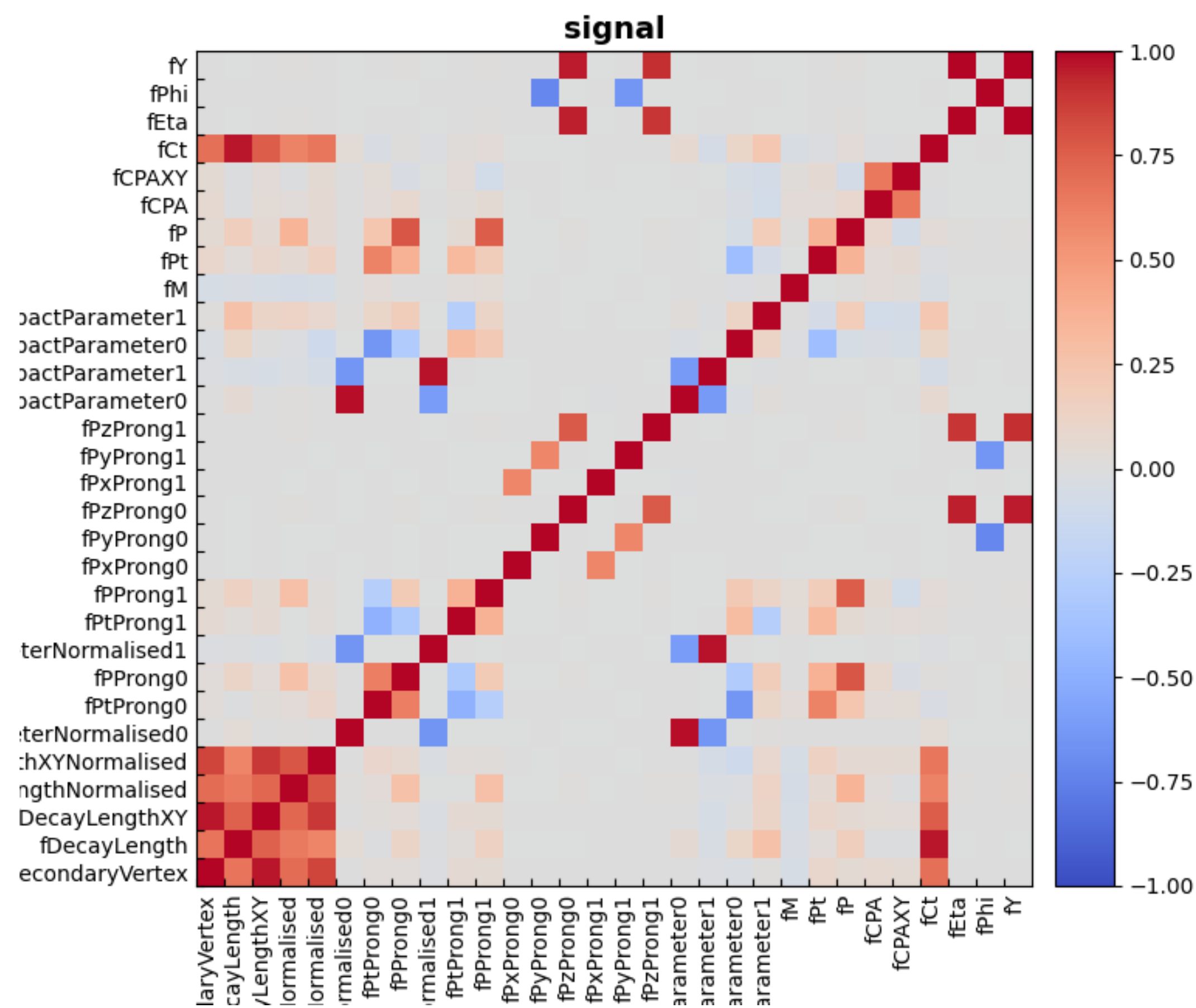
## Training variable

```
("fDecayLength", &fDecayLength);
("fDecayLengthXY", &fDecayLengthXY);
("fDecayLengthNormalised", &fDecayLengthNormalised);
("fDecayLengthXYNormalised", &fDecayLengthXYNormalised);
("fImpactParameterNormalised0", &fImpactParameterNormalised0);
("fPtProng0", &fPtProng0);
("fPProng0", &fPProng0);
("fImpactParameterNormalised1", &fImpactParameterNormalised1);
("fPtProng1", &fPtProng1);
("fPProng1", &fPProng1);
("fImpactParameter0", &fImpactParameter0);
("fImpactParameter1", &fImpactParameter1);
("fErrorImpactParameter0", &fErrorImpactParameter0);
("fErrorImpactParameter1", &fErrorImpactParameter1);
("fCPA", &fCPA);
("fCPAXY", &fCPAXY);
```



# Signal vs Background

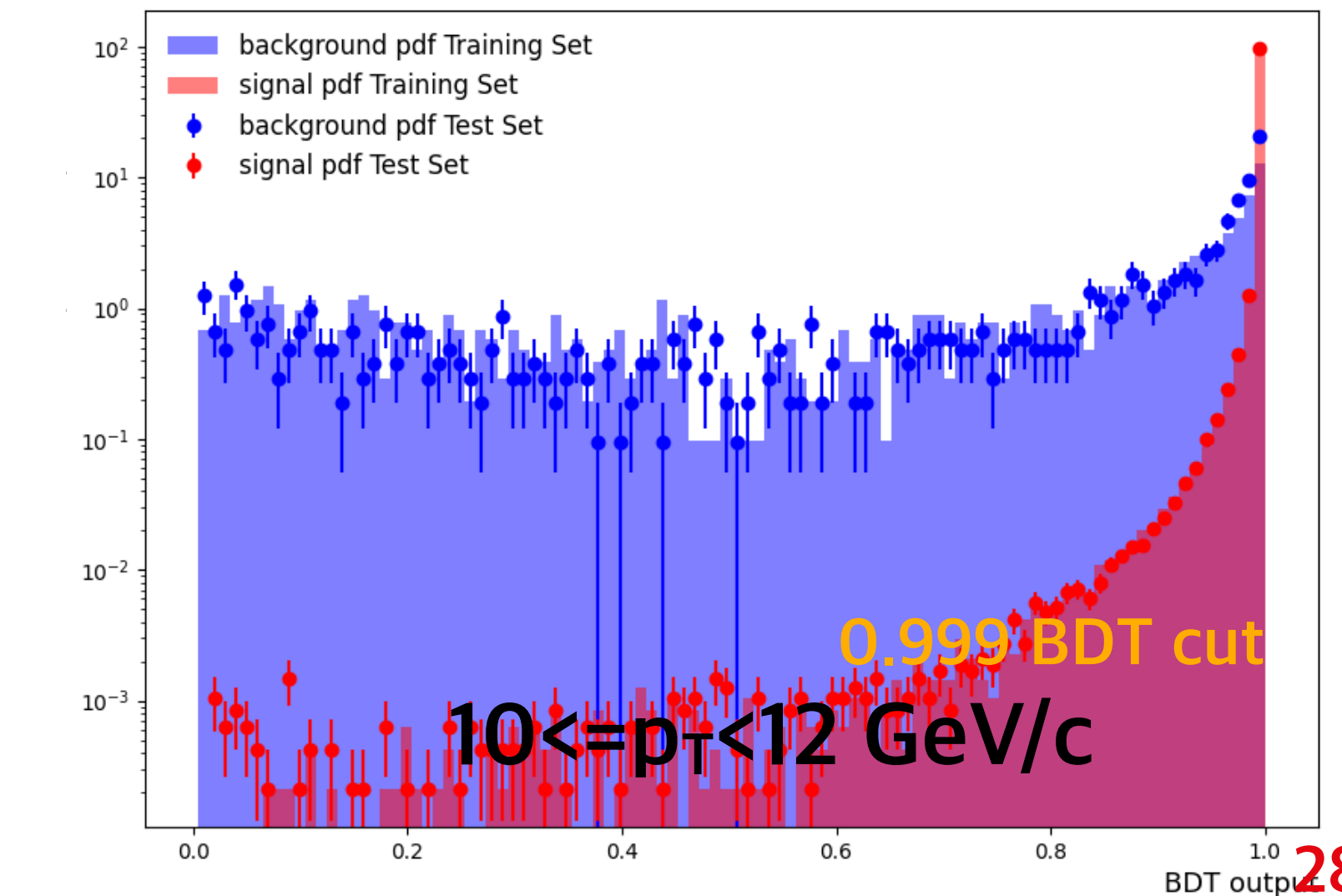
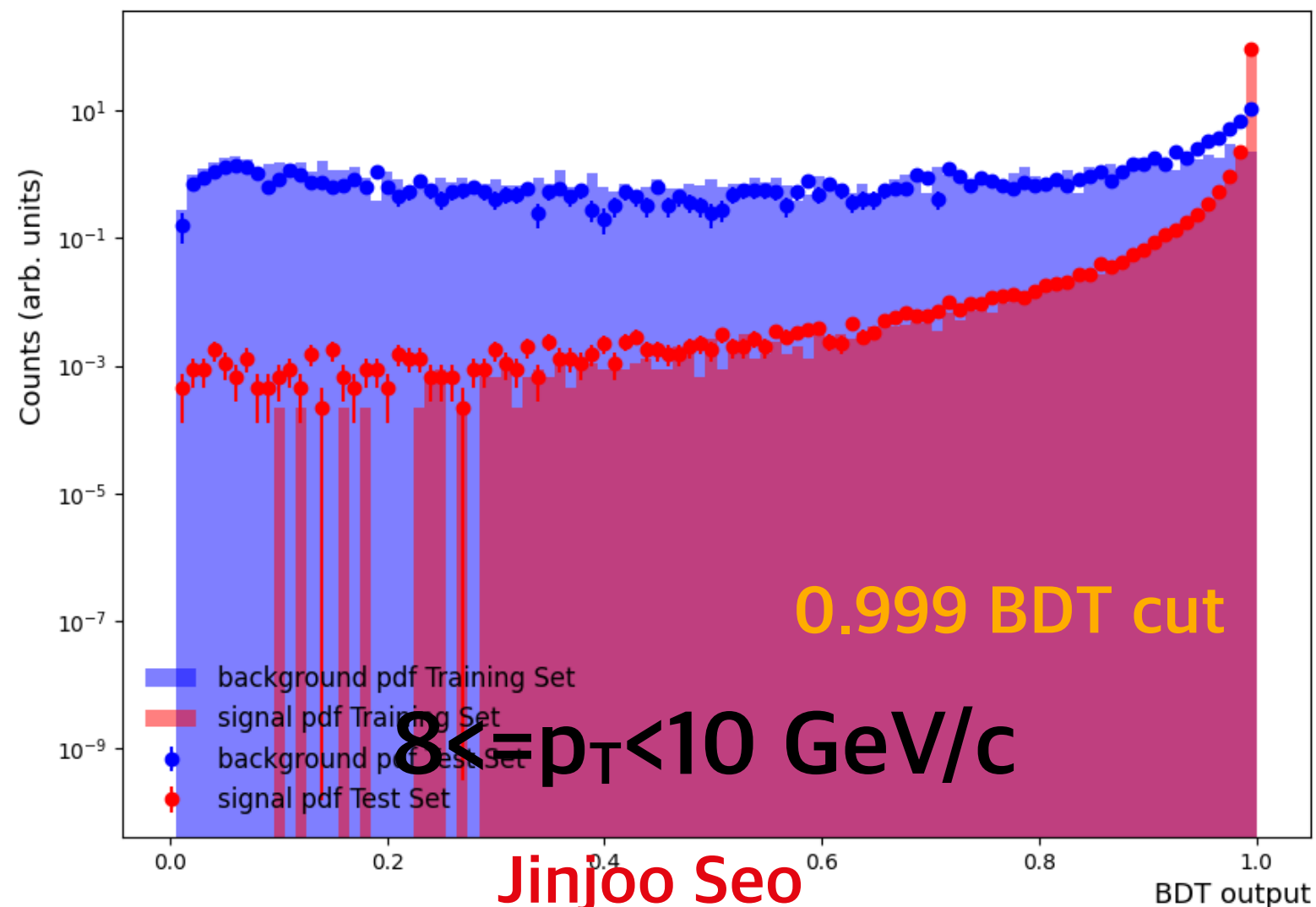
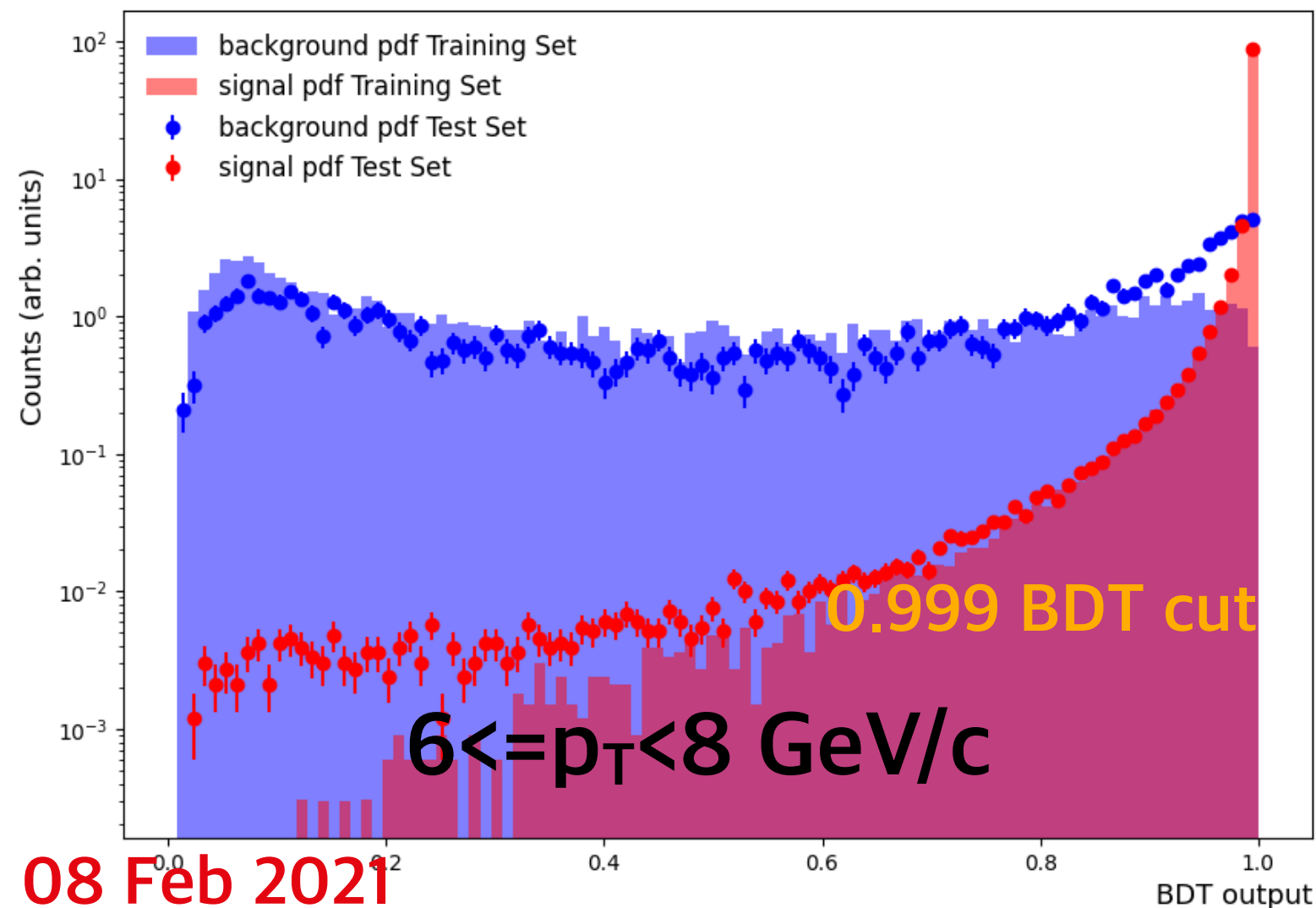
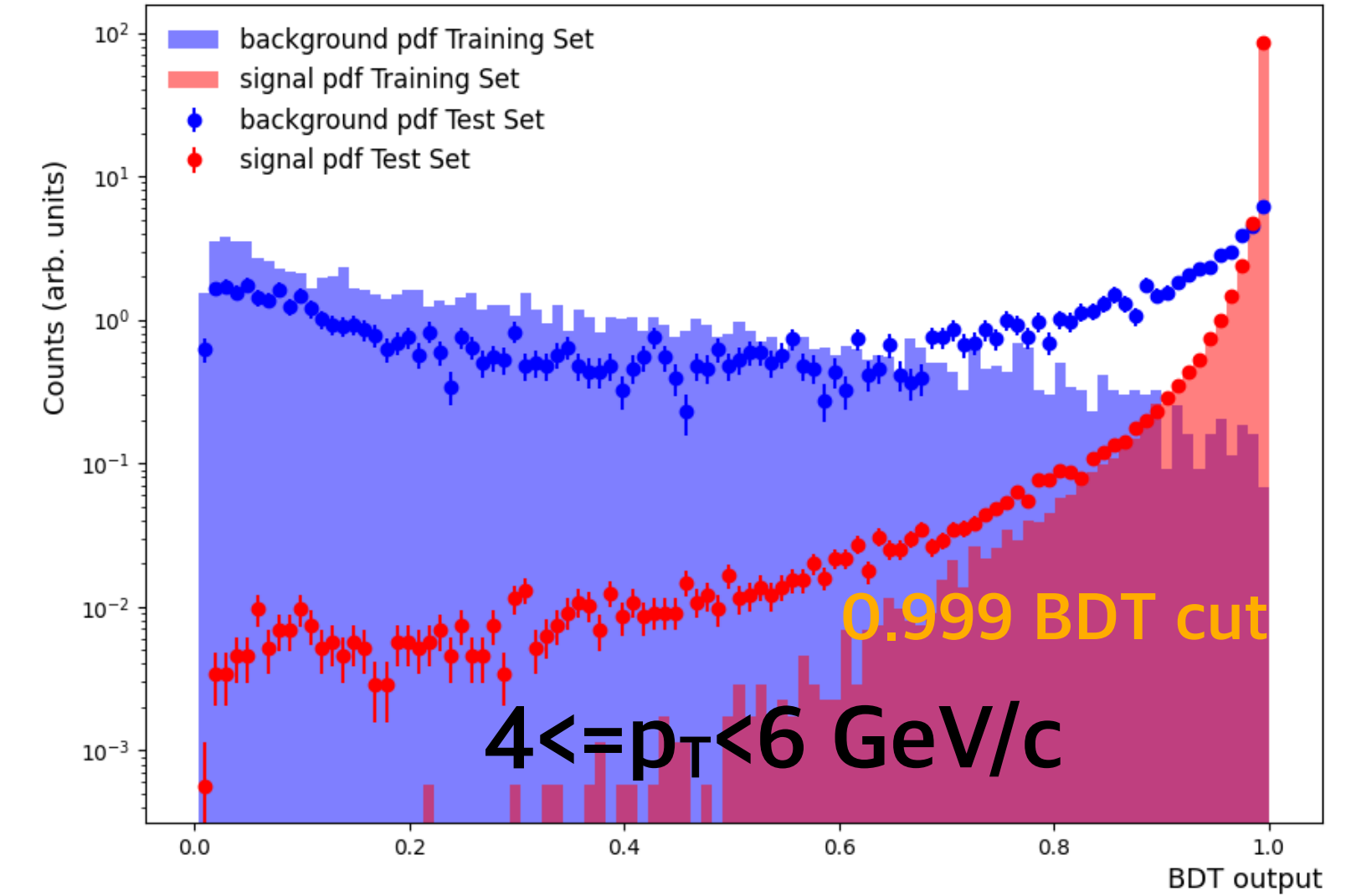
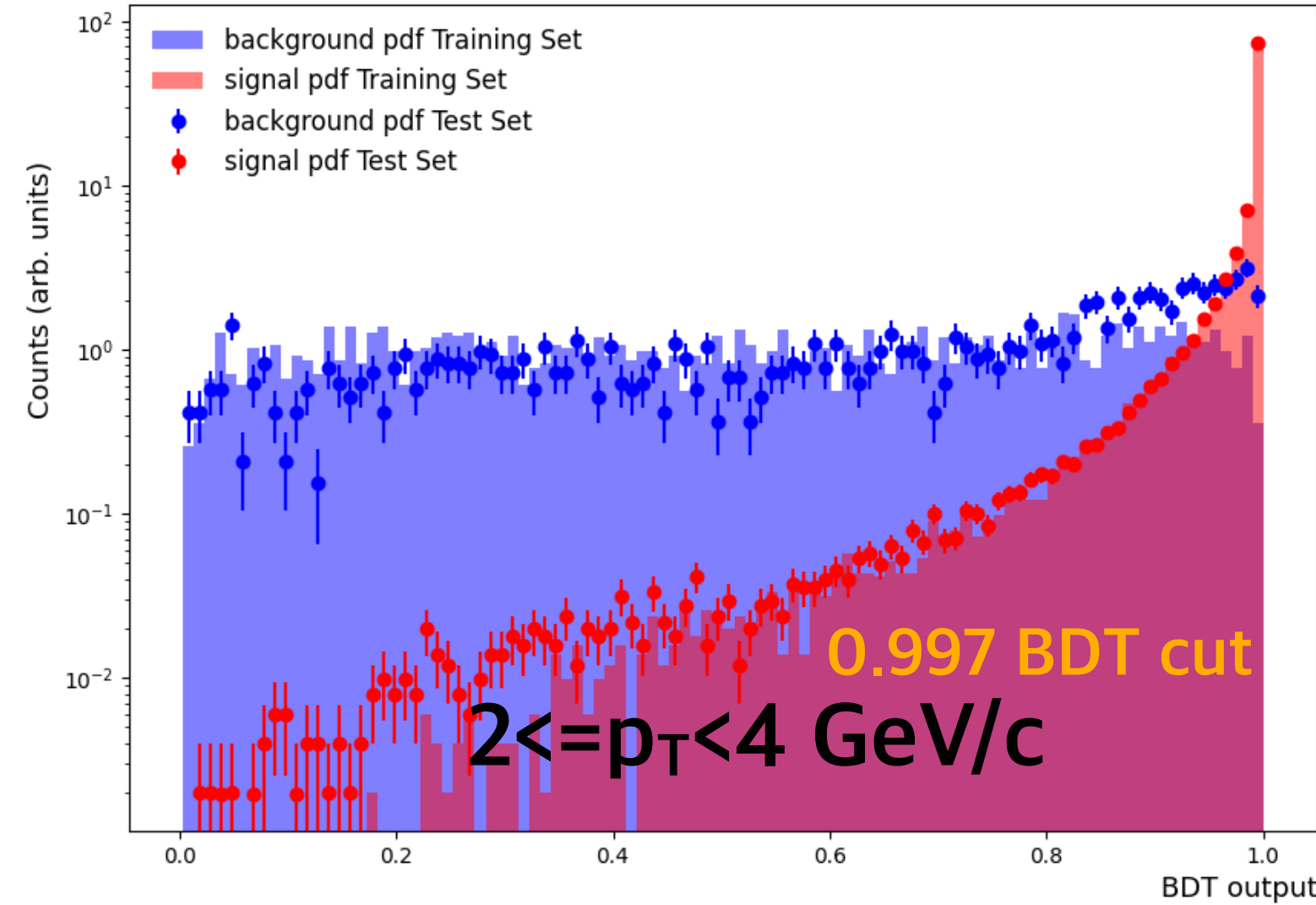
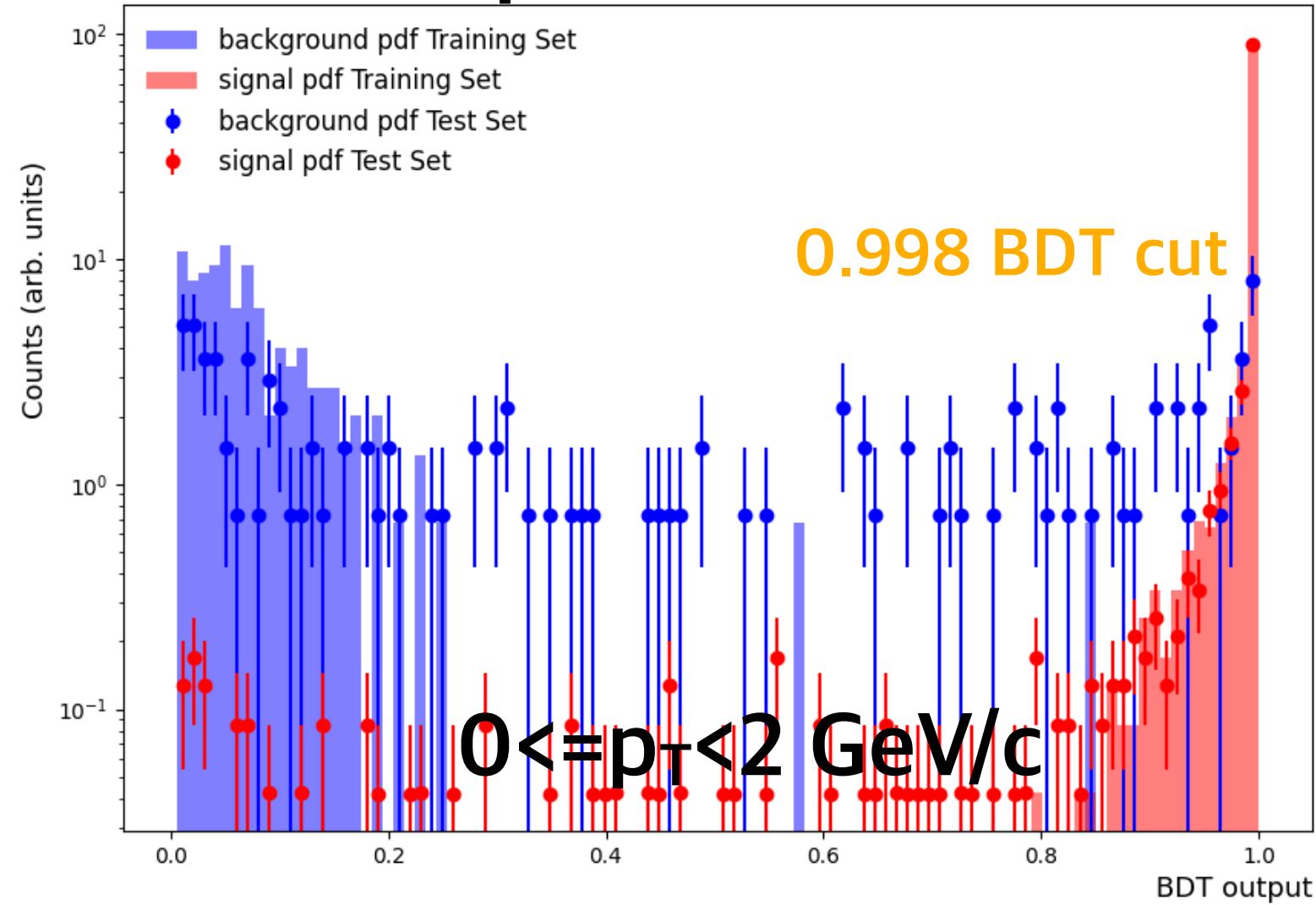
- Signal vs background distribution ( $2 \leq p_T < 4 \text{ GeV}/c$ )





# BDT model output

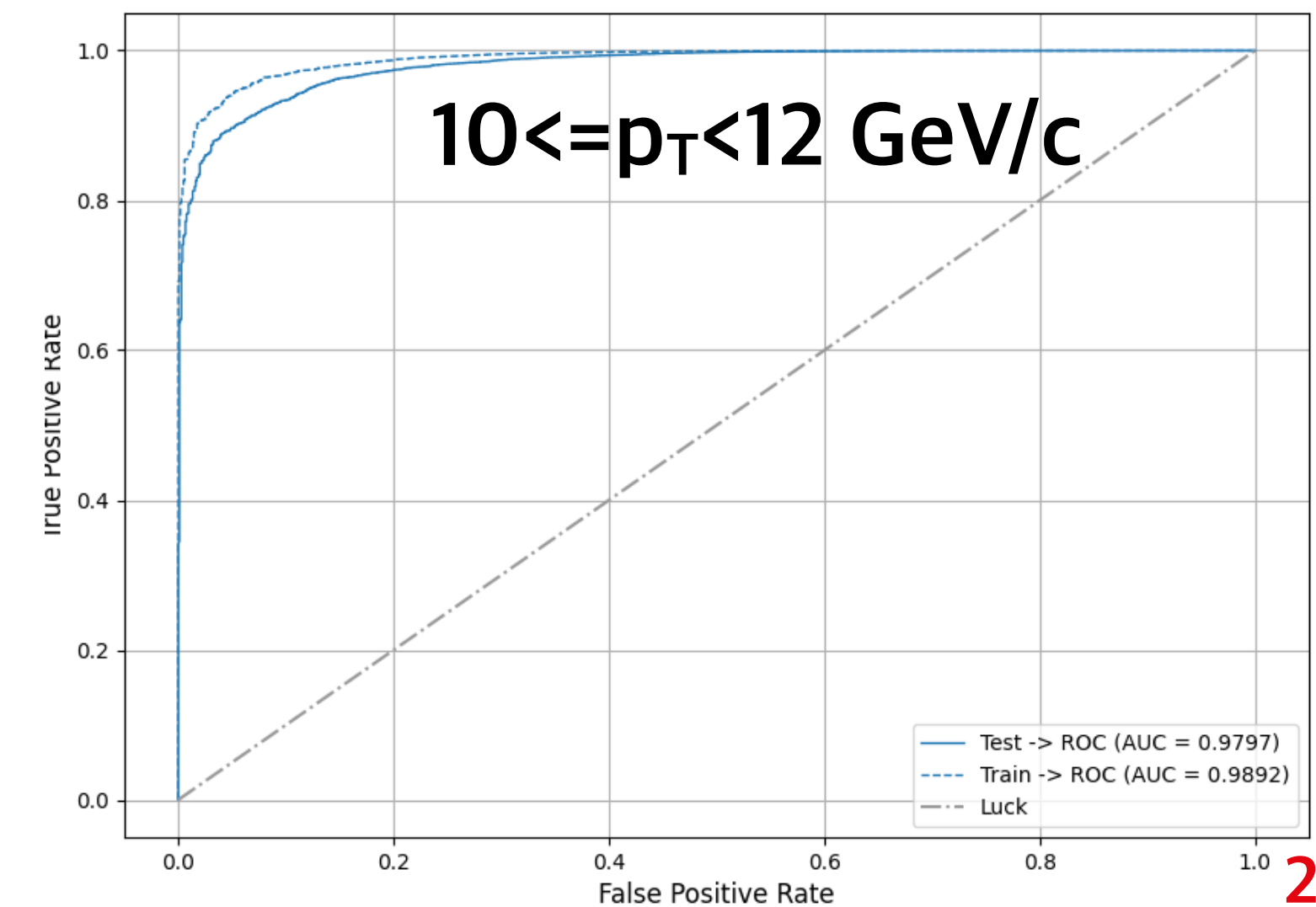
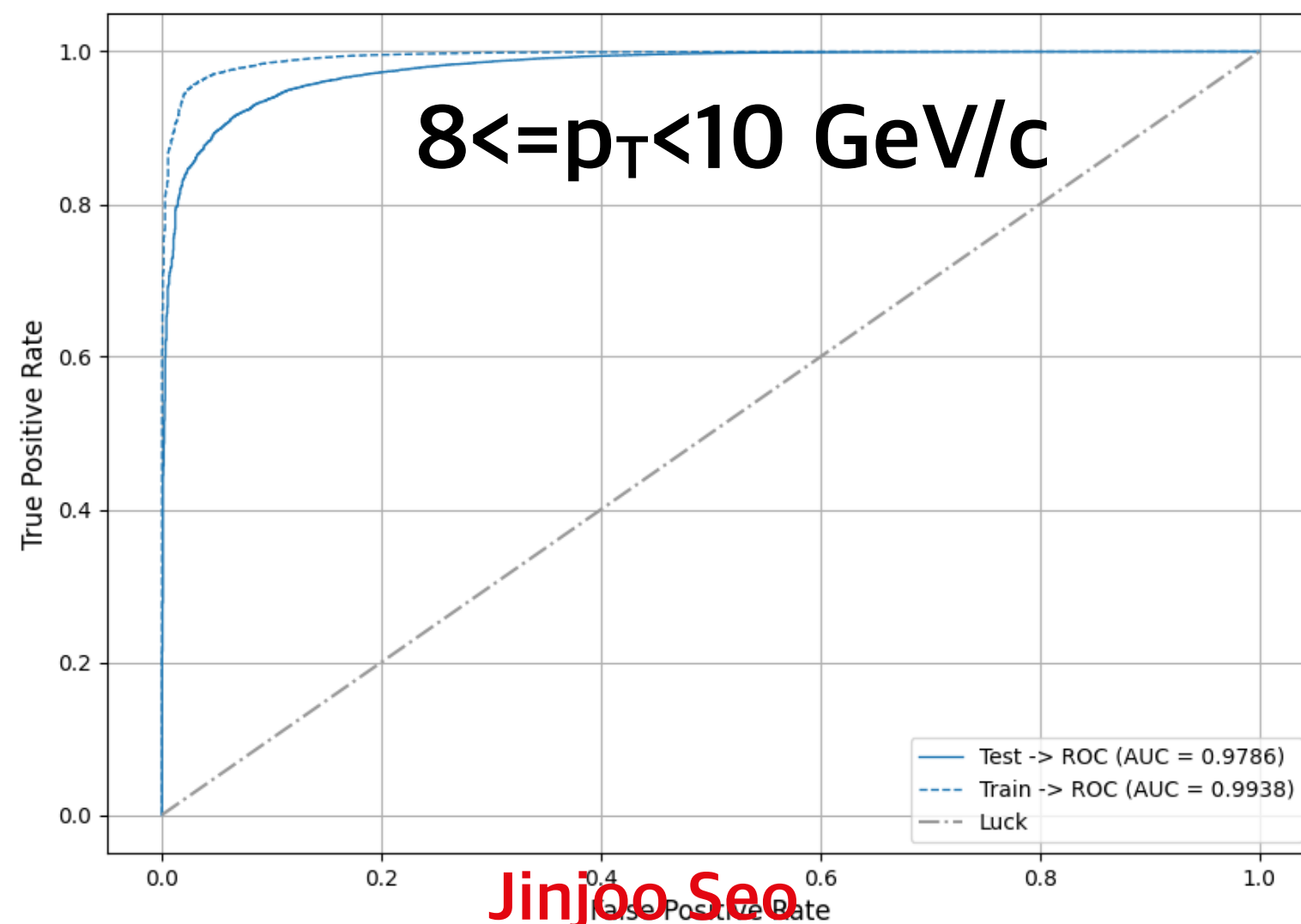
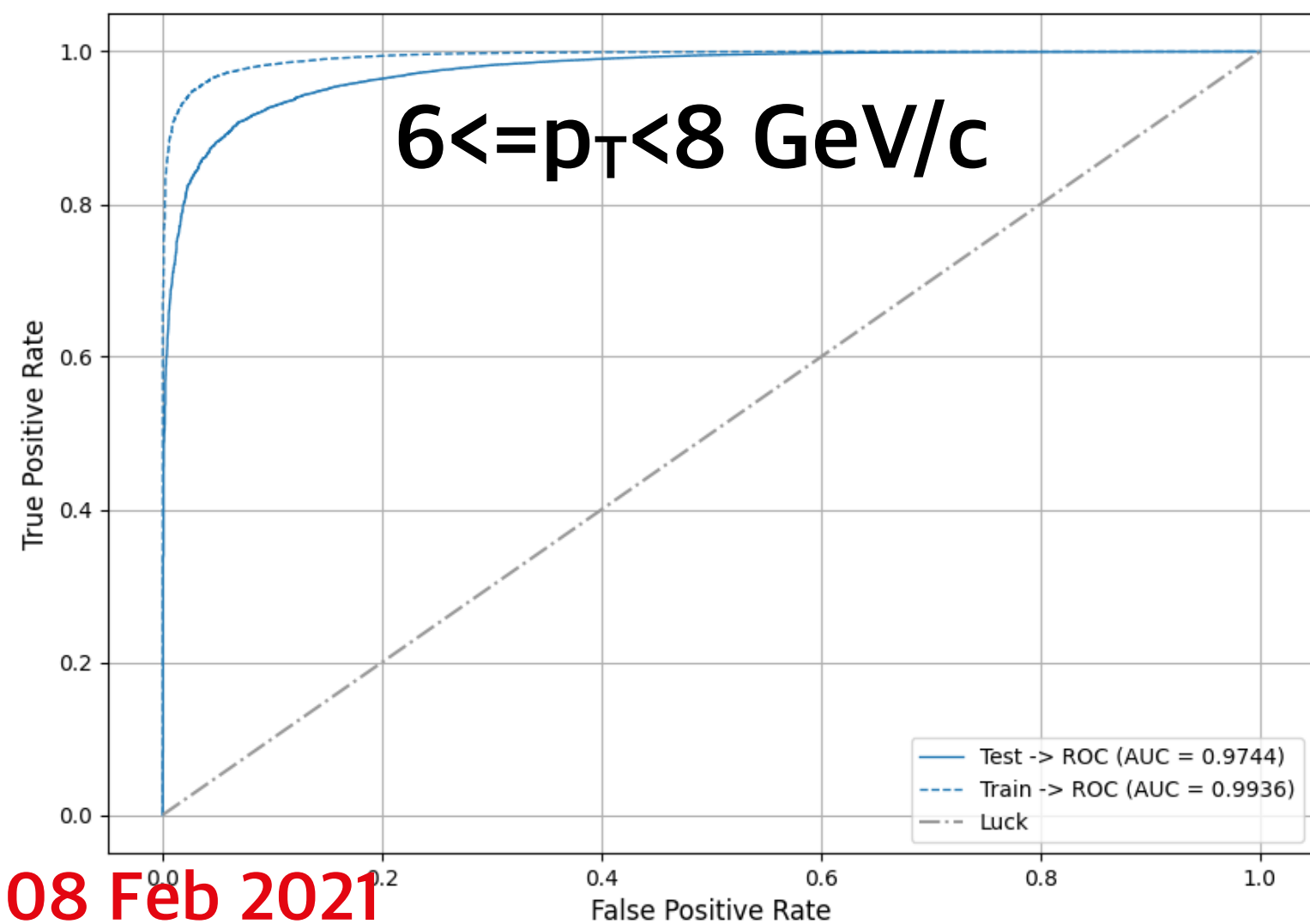
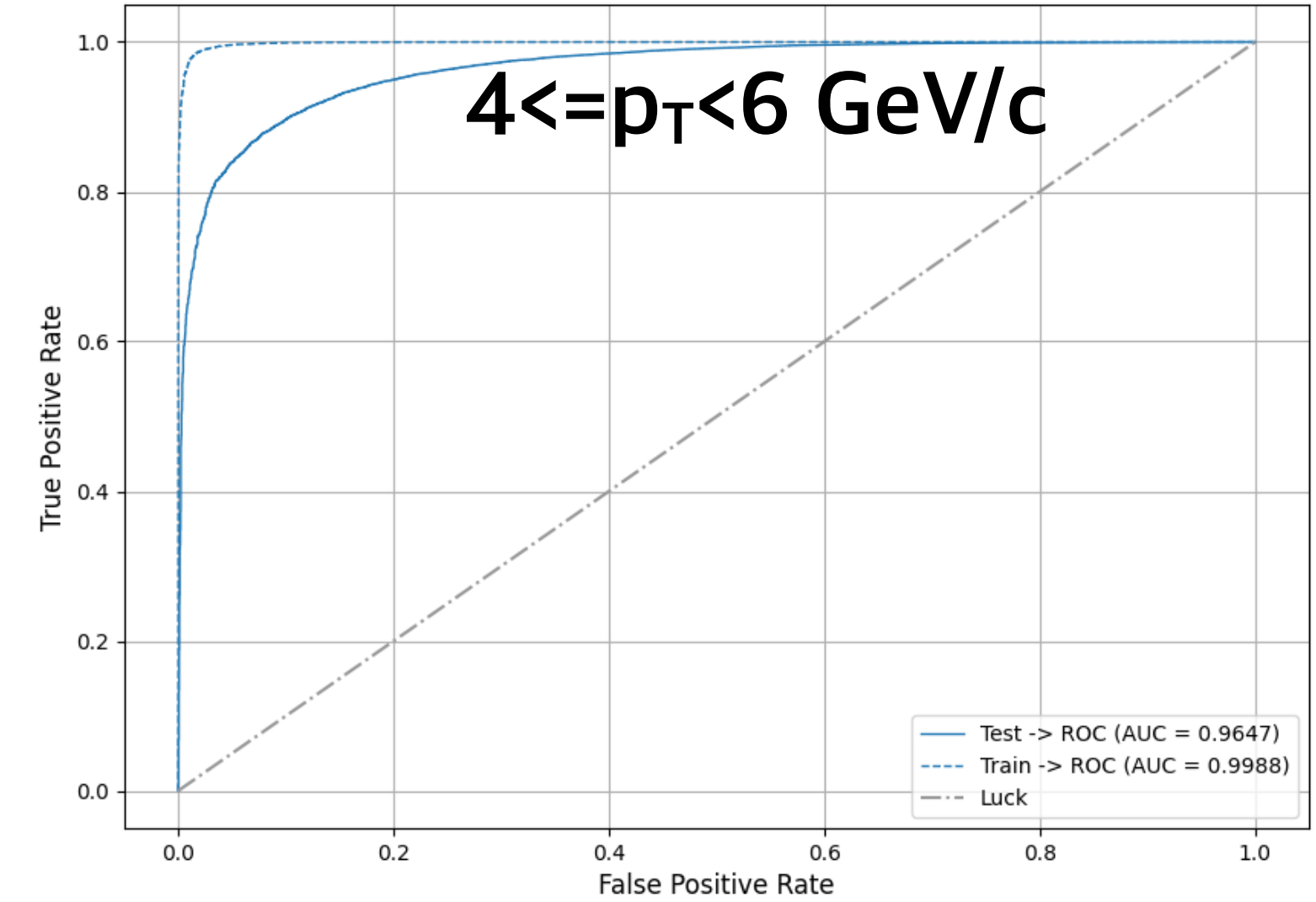
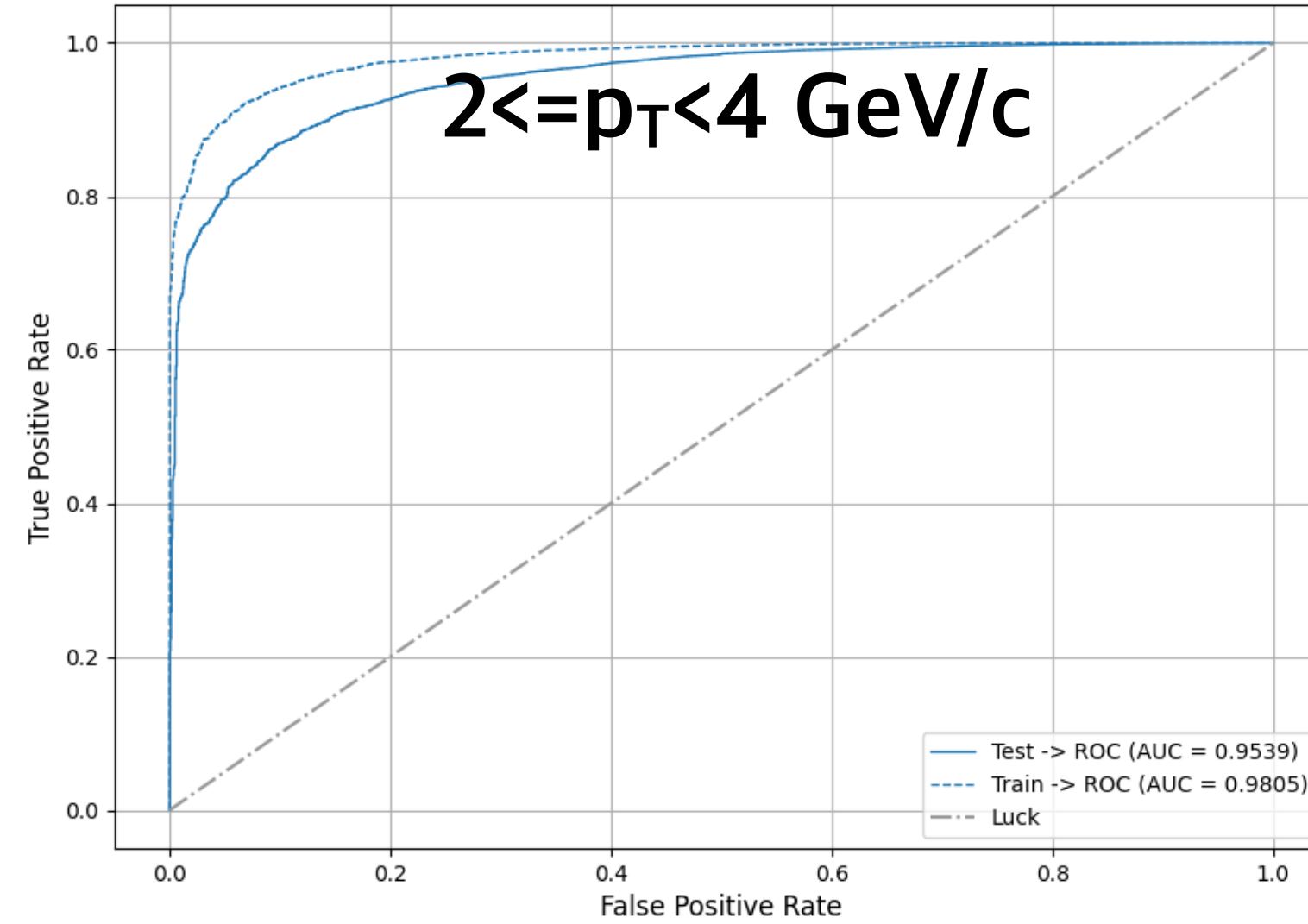
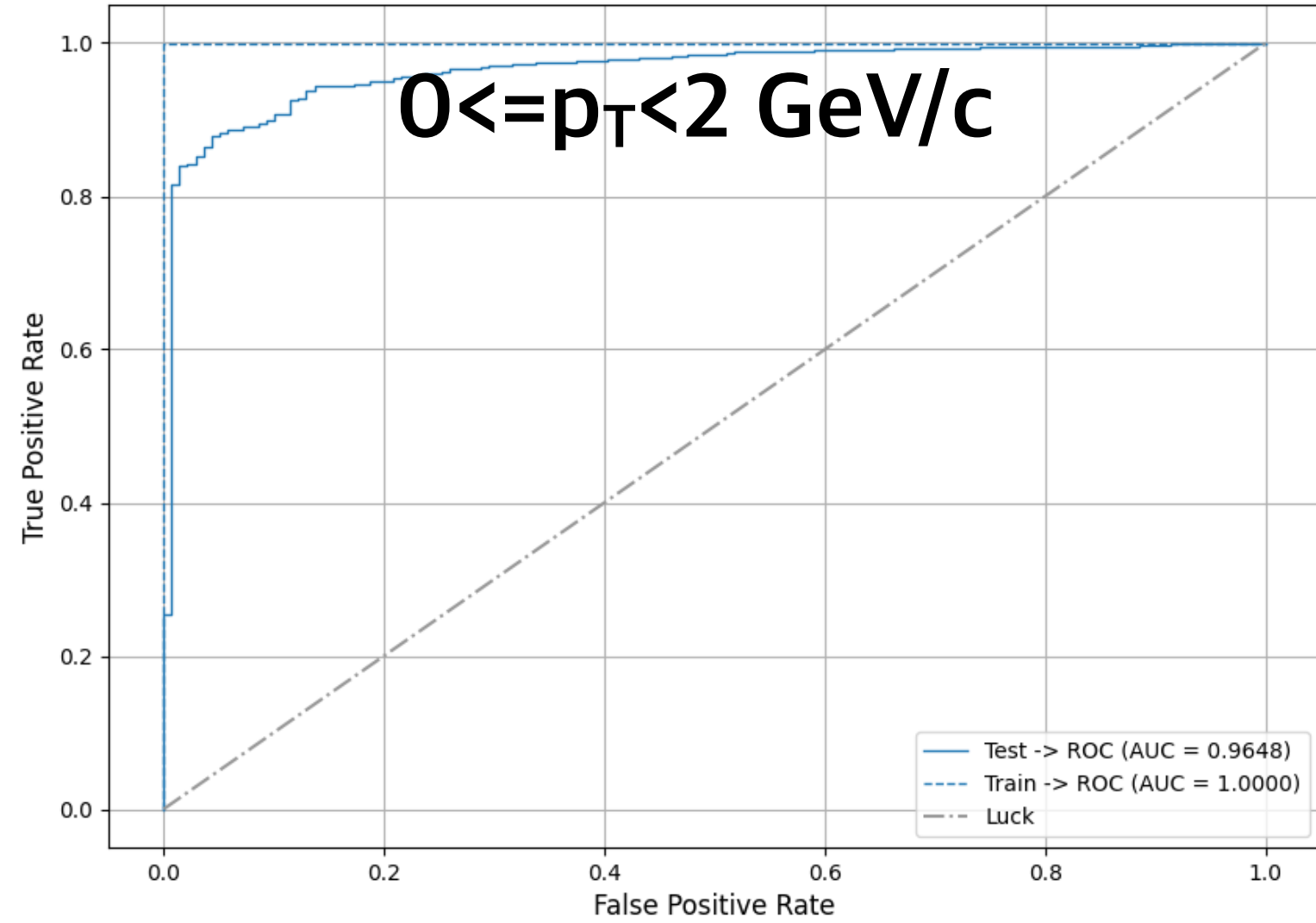
## • Model output





# ROC curve

- ROC curve





# Results

- **Performance study :  $\Xi_{cc}^{++}$  on non-strangeness decay**

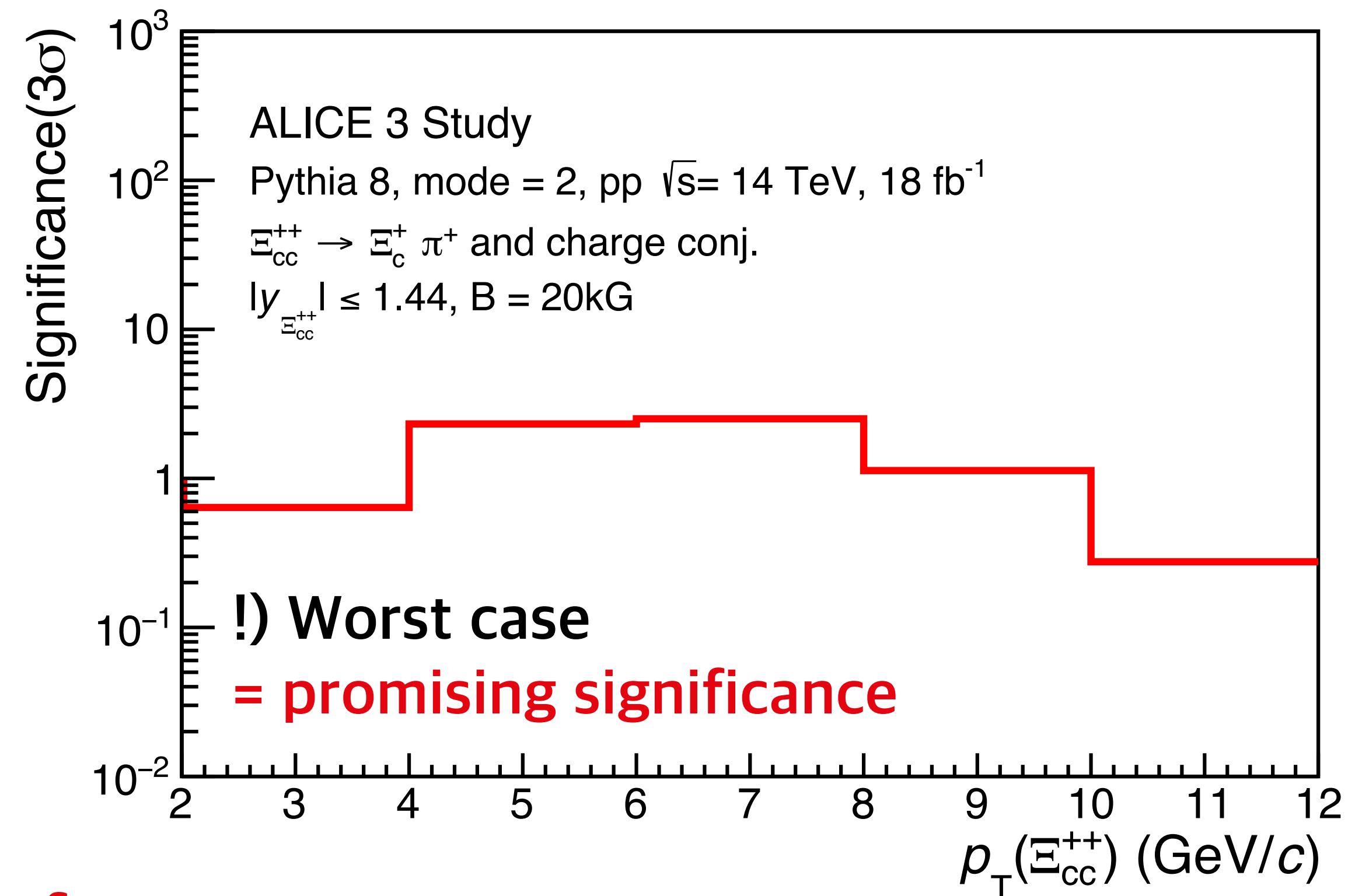
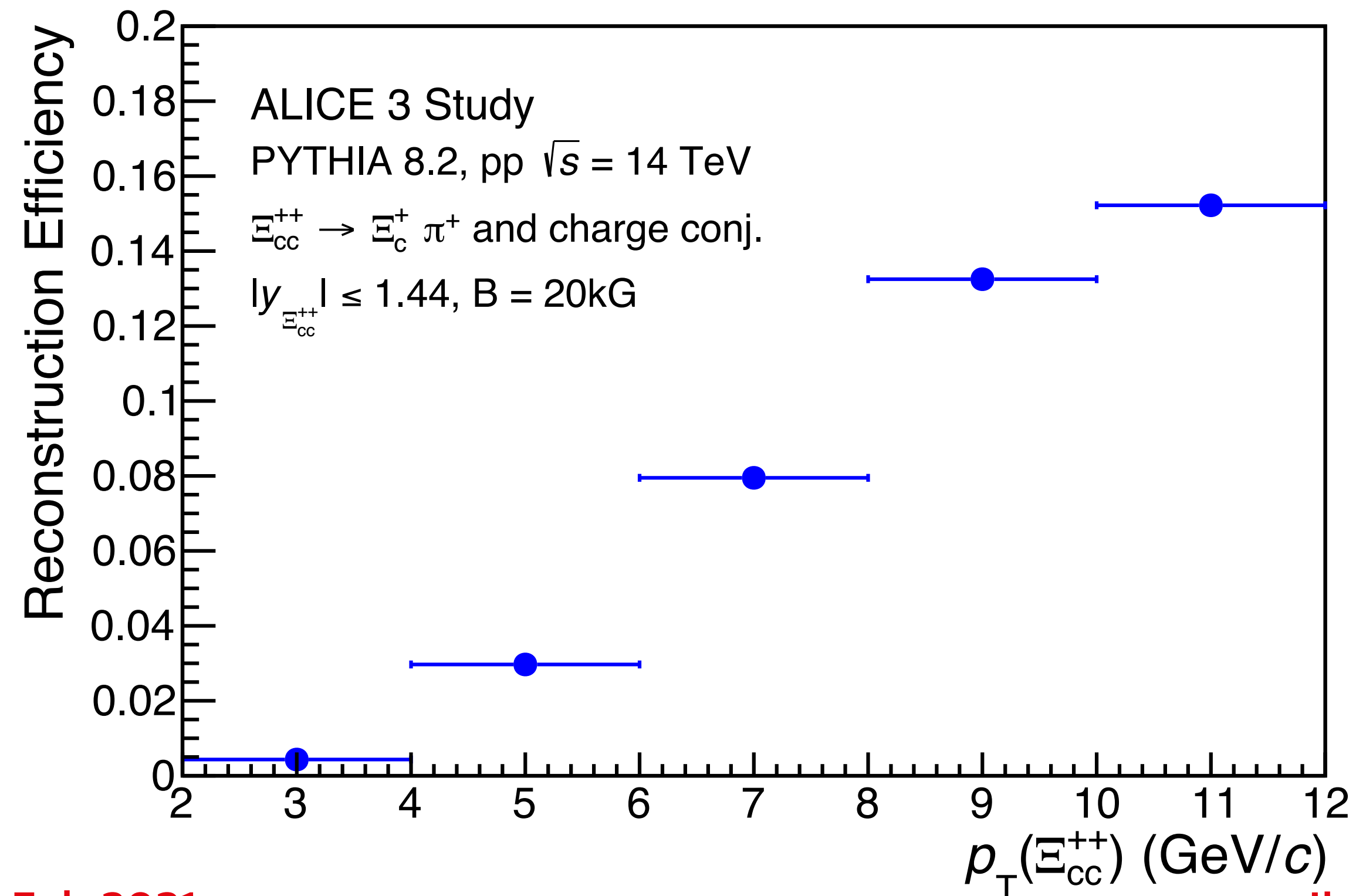
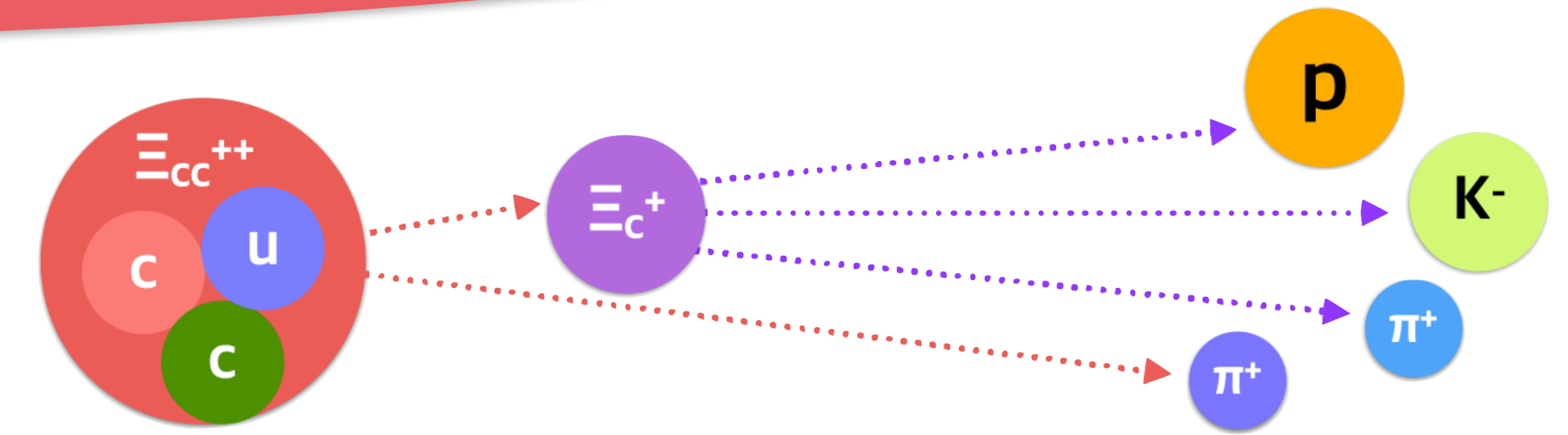
- **Efficiency** : Increases with  $p_T$  due to higher momentum  $\pi^+$

- **Significance**

[arXiv:hep-ph/9710339](https://arxiv.org/abs/hep-ph/9710339)

- Signal/event : Theoretical expectation(cross section, 39 nb) + PYTHIA 8 ( $p_T$  shape) + efficiency + BR(0.03%)

- Large uncertainty on production cross section(factor 200) and branching ratio(factor 5)





# Multi-HF hadron

$\Xi_{cc}^{++} \rightarrow \Lambda_c^+ + K^- + \pi^+ + \pi^-$ $\Lambda_c^+ \rightarrow p + K^- + \pi^+$	<b>B.R.</b>	$\Xi_{cc}^{++} \rightarrow \Xi_c^+ + \pi^+$ $\Xi_c^+ \rightarrow p + K^- + \pi^+$	<b>B.R.</b>
	5%		5%
	6.35%		$2.2 \pm 0.8\%$ <sup>(1)</sup>
	0.32%		0.11%
$\Xi_{cc}$ decays: BR from 1703.09086 (authors claim $\sim 1\% < \text{BR} < \sim 10\%$ )			

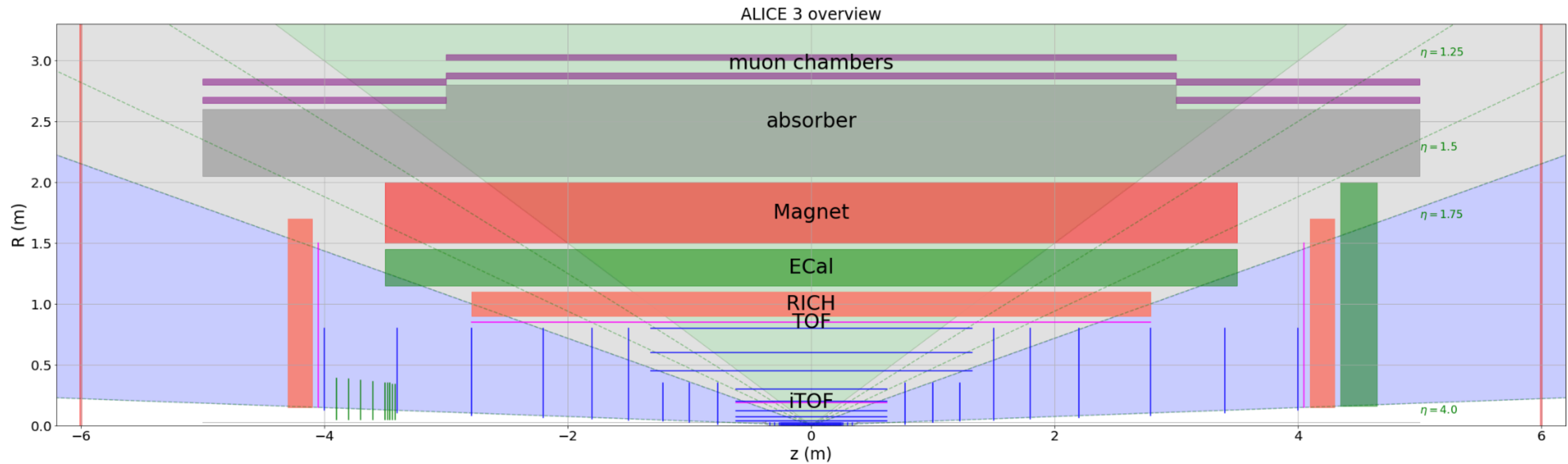
$\Omega_{ccc}^{++} \rightarrow \Xi_{cc}^{++} + \bar{K}^0$ $\Xi_{cc}^{++} \rightarrow \Lambda_c^+ + K^- + \pi^+ + \pi^-$ $\Lambda_c^+ \rightarrow p + K^- + \pi^+$ $\bar{K}^0 : 50\% K_s^0 \rightarrow \pi^+ + \pi^-$	<b>B.R.</b>	$\Omega_{ccc}^{++} \rightarrow \Xi_{cc}^{++} + \bar{K}^0$ $\Xi_{cc}^{++} \rightarrow \Xi_c^+ + \pi^+$ $\Xi_c^+ \rightarrow p + K^- + \pi^+$ $\bar{K}^0 : 50\% K_s^0 \rightarrow \pi^+ + \pi^-$	<b>B.R.</b>
	5% x 0.5		5% x 0.5
	5%		5%
	6.35%		$2.2 \pm 0.8\%$ <sup>(1)</sup>
	70%		70%
	0.0055%		0.0019%

$\Omega_{ccc}^{++} \rightarrow \Xi_c^+ + D^+$ $\Xi_c^+ \rightarrow p + K^- + \pi^+$ $D^+ \rightarrow K^- + \pi^+ + \pi^-$	<b>B.R.</b>	$\Omega_{ccc}^{++} \rightarrow \Omega_{cc}^+ + \pi^+$ $\Omega_{cc}^+ \rightarrow \Omega_c^0 + \pi^+$ $\Omega_c^0 \rightarrow \Omega^- + \pi^+$ $\Omega^- \rightarrow \Lambda + K^-$ $\Lambda \rightarrow p + \pi^-$	<b>B.R.</b>
	5%		5%
	$2.2 \pm 0.8\%$ <sup>(1)</sup>		5%
	9%		5%
	0.0099%		67.8%
			63.9%
			0.0054%

<sup>(1)</sup>Belle, B.R. =  $0.45 \pm 0.22\%$ , PRD 100 (2019) 031101

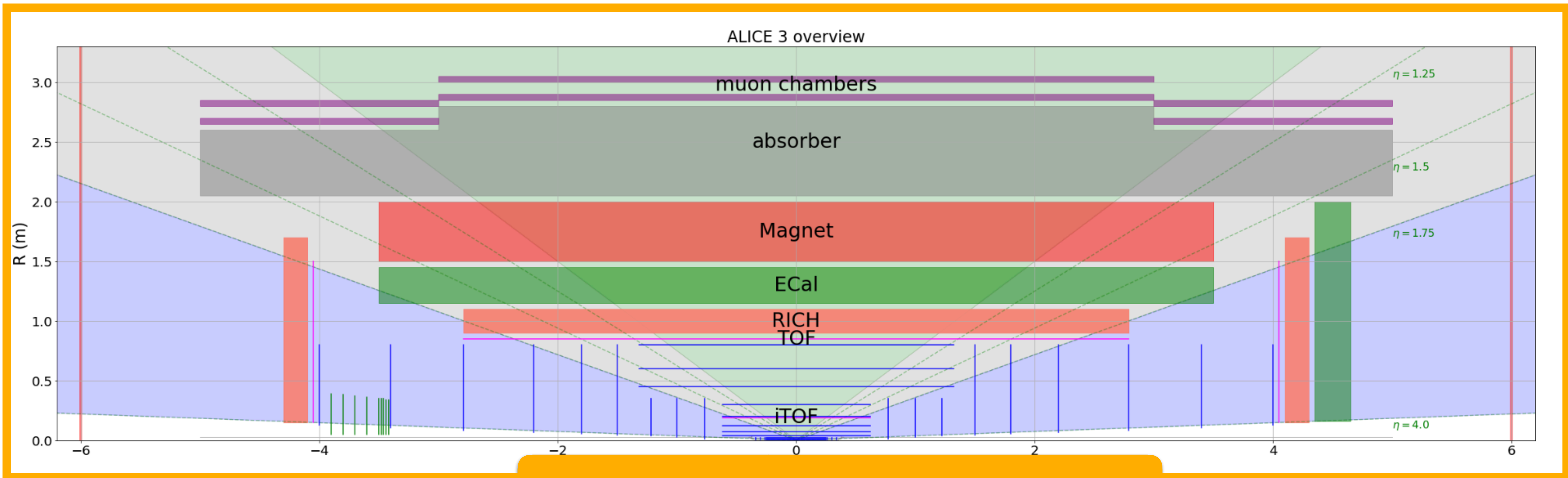


# ALICE 3 Detector





# ALICE 3 Detector

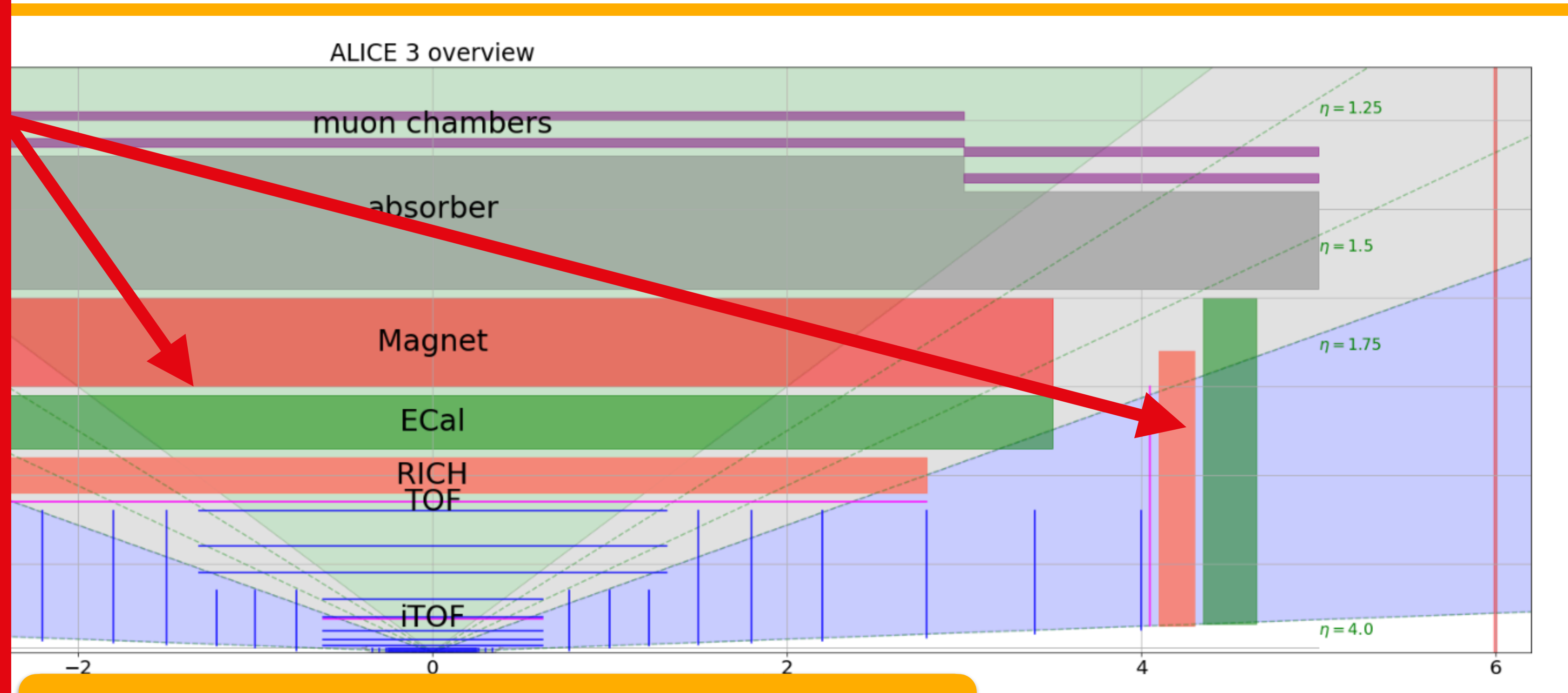
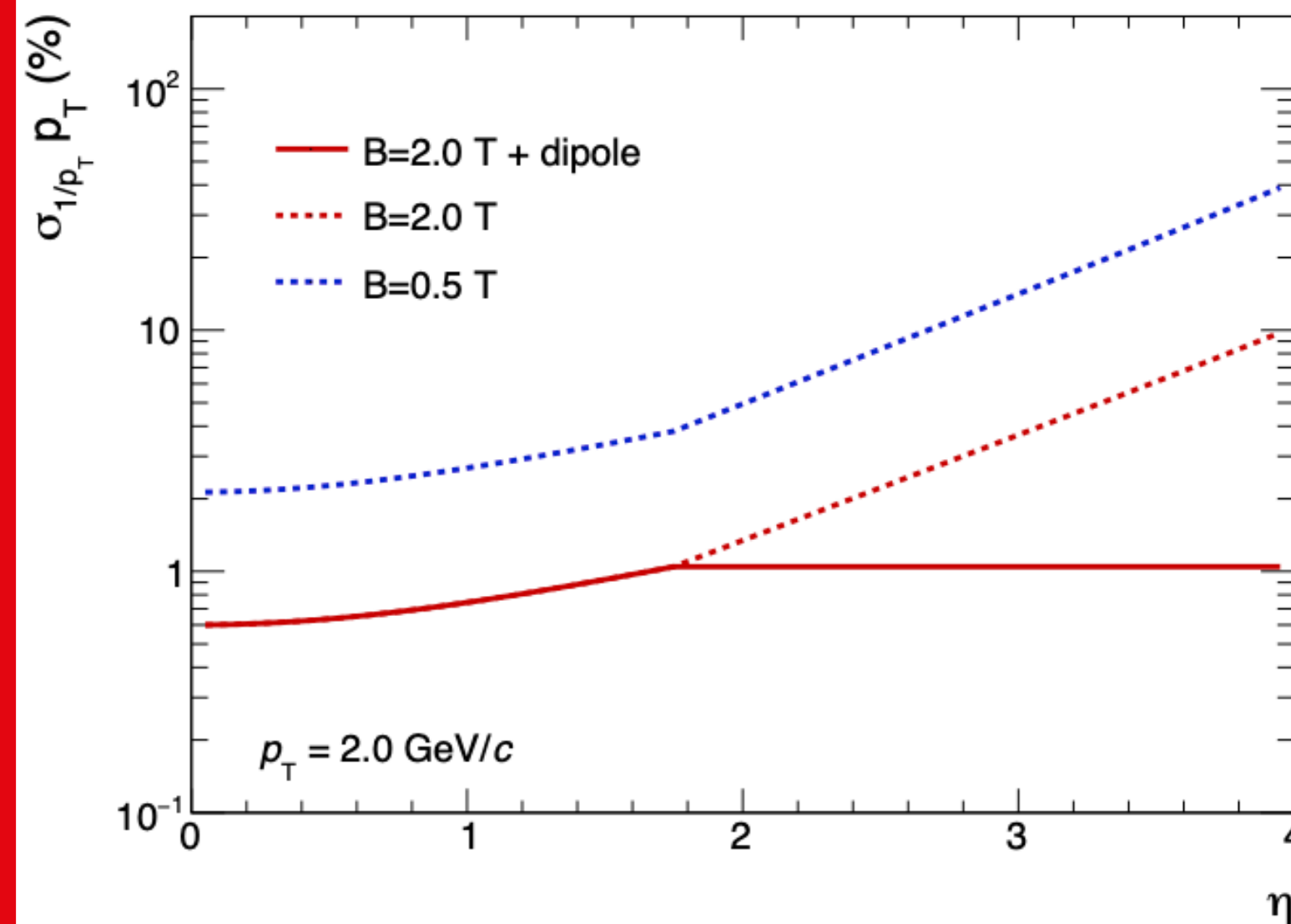
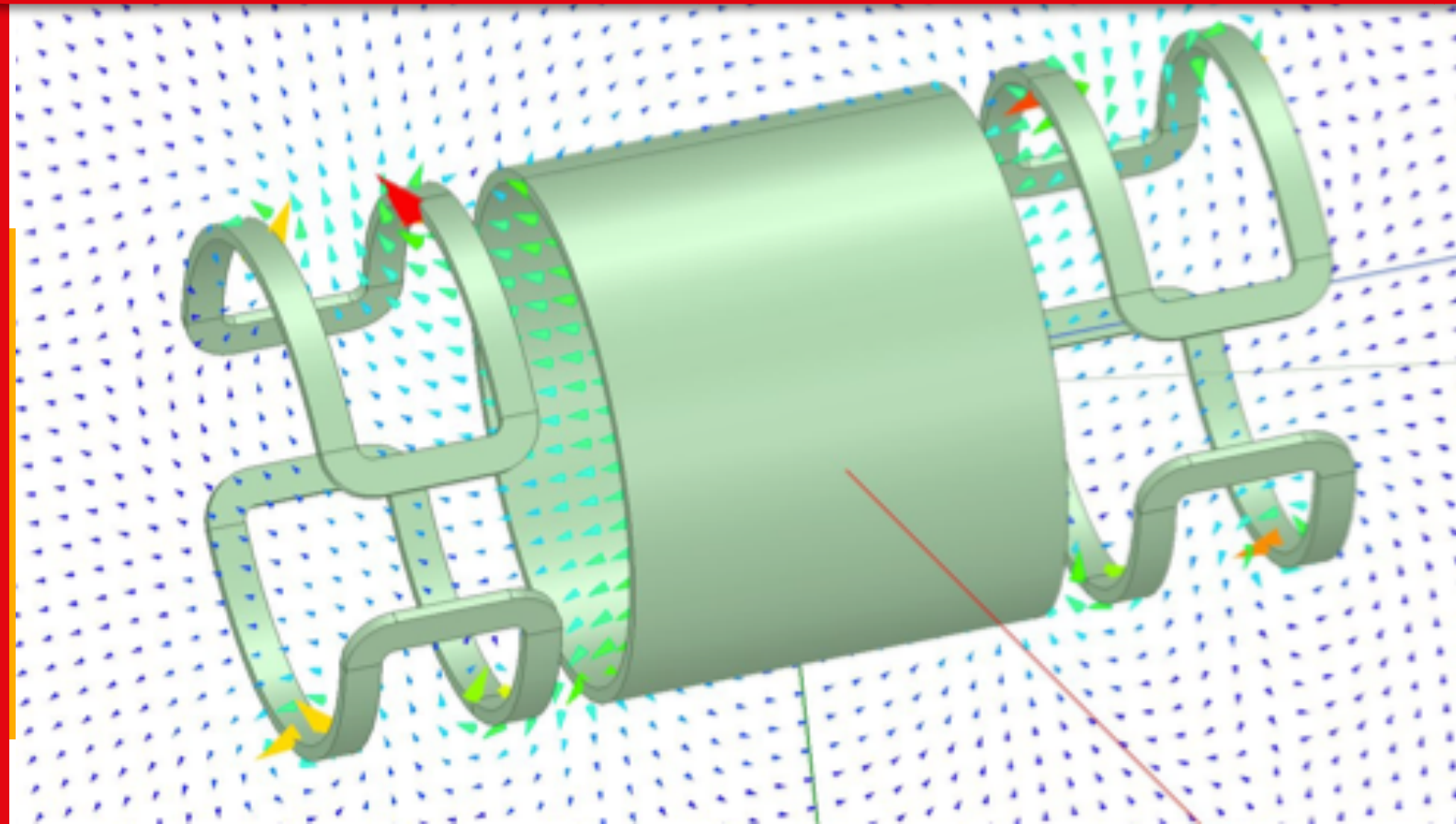


Large acceptance coverage



# ALICE 3 Detector

~1% over full  $\eta$  range

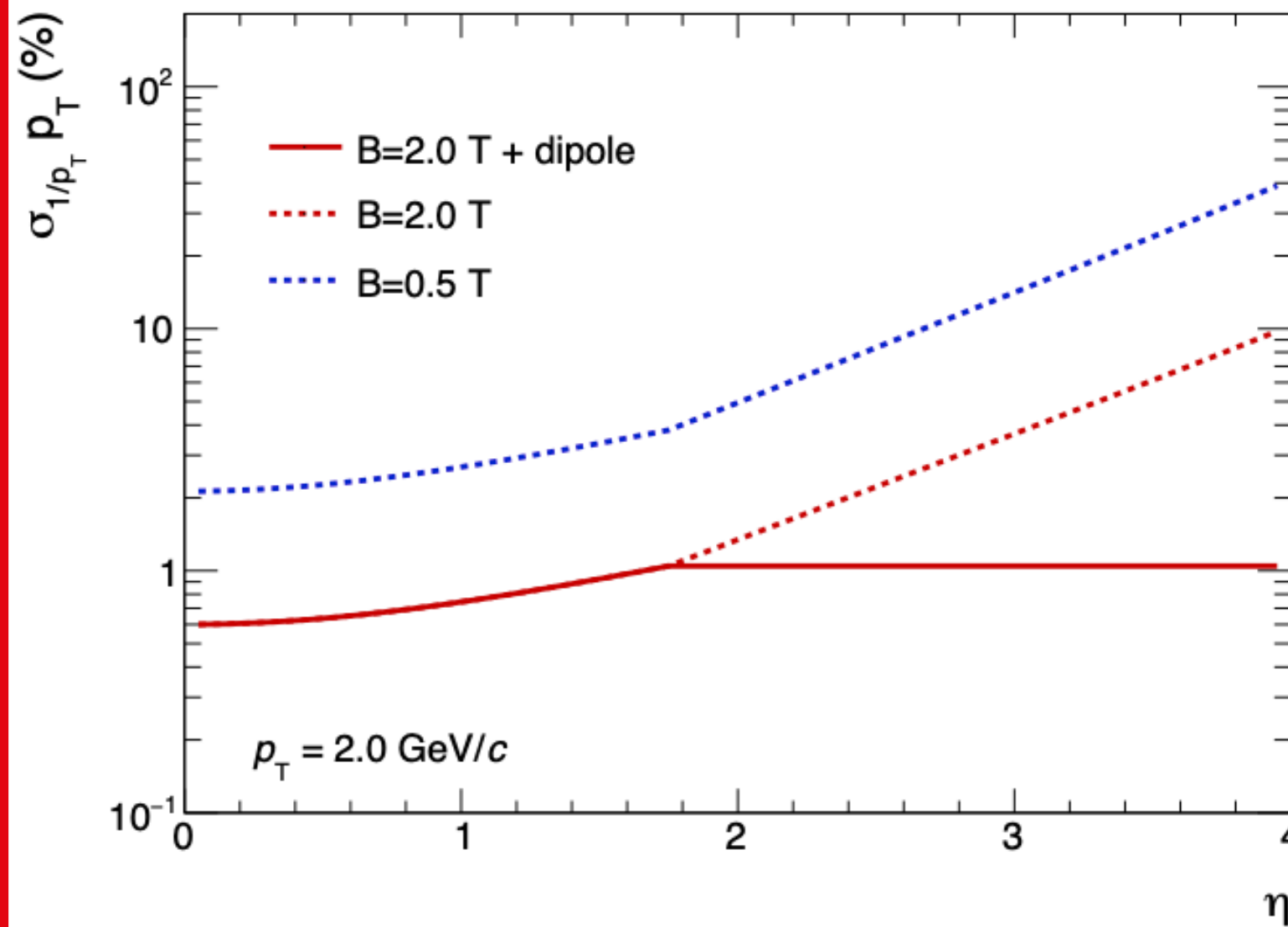
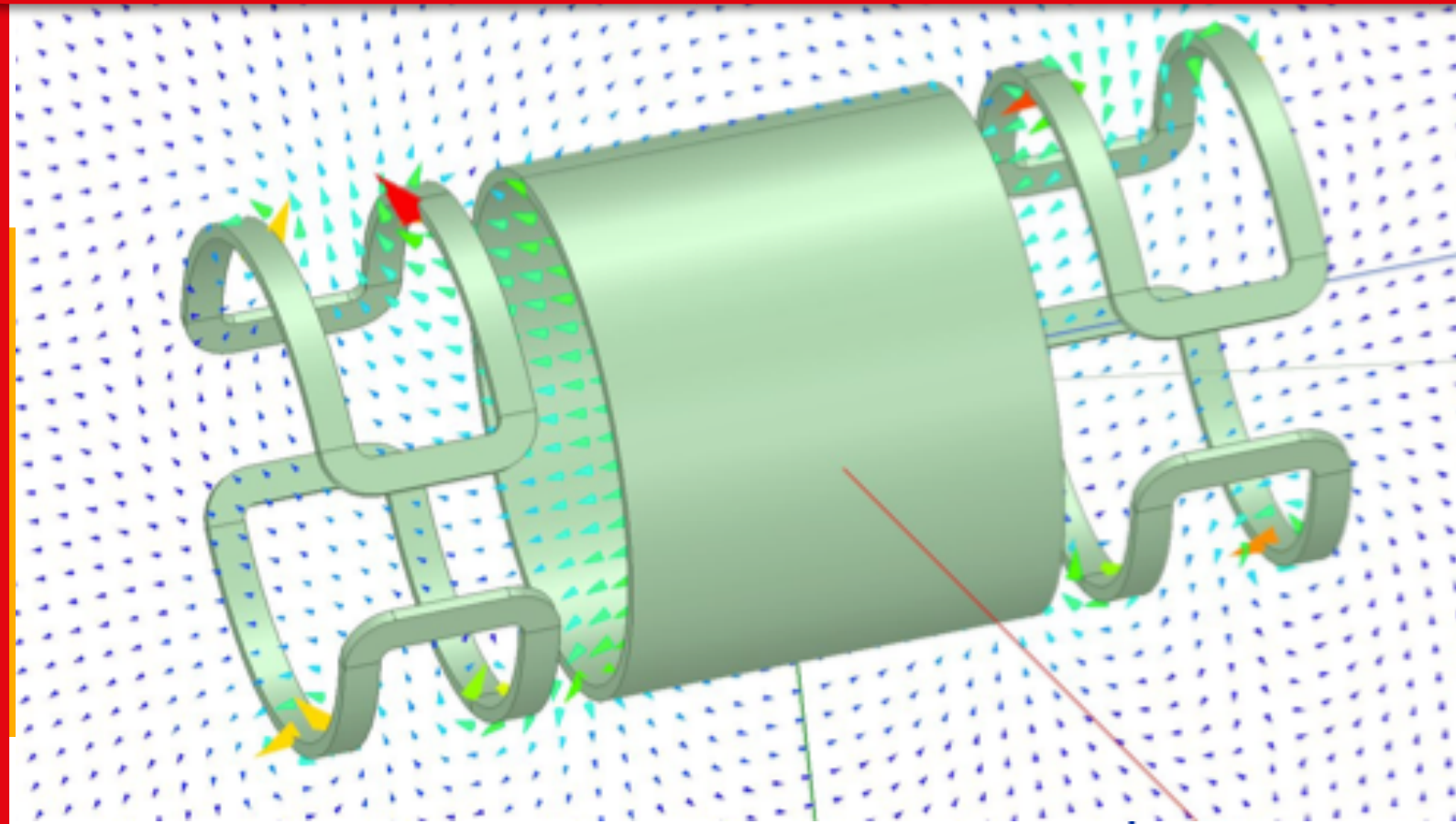


Large acceptance coverage

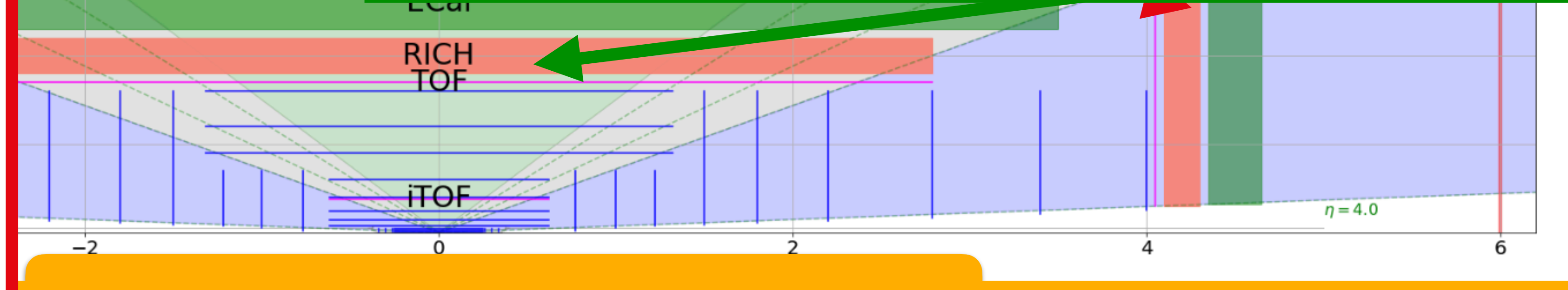
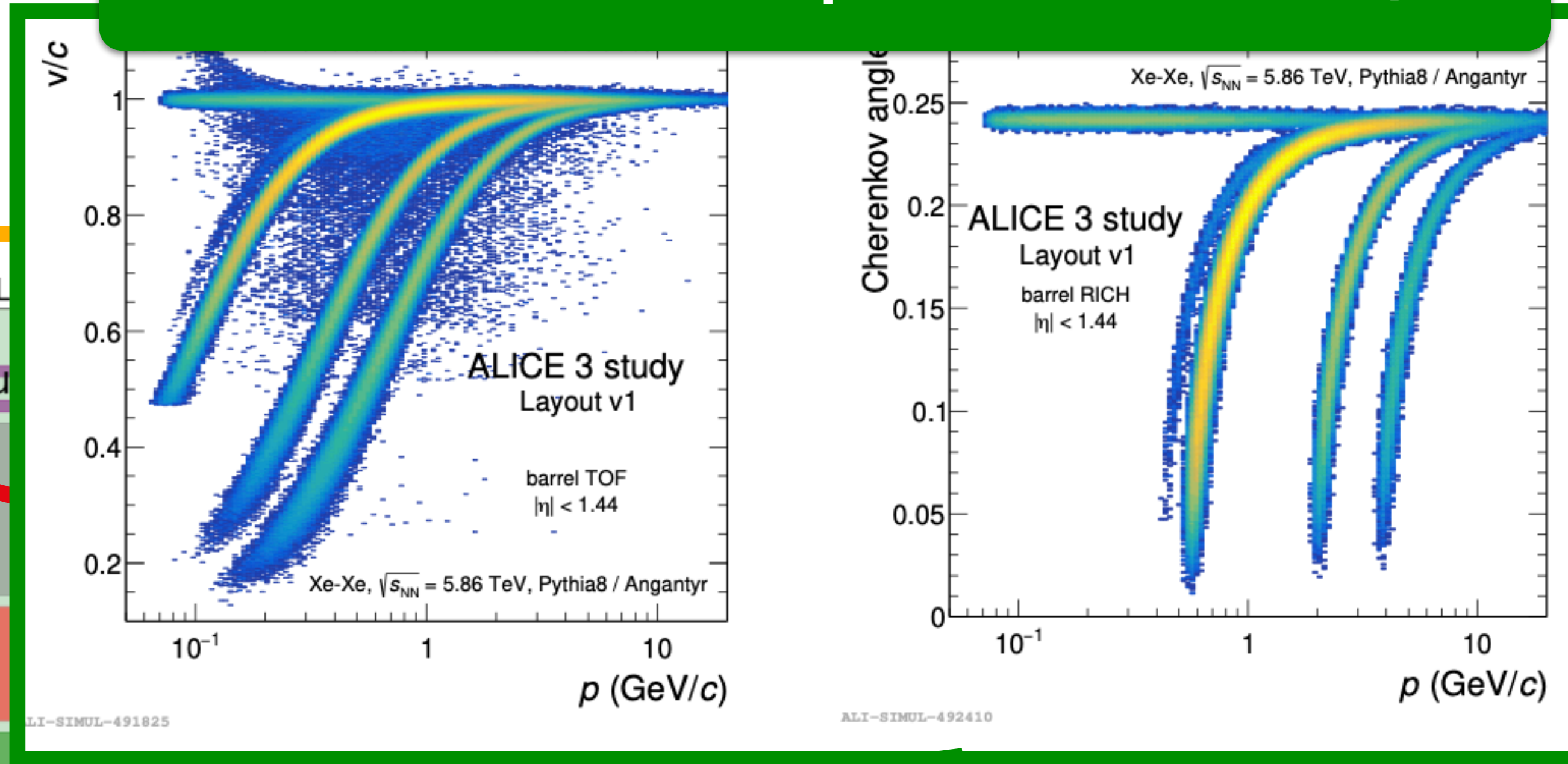


# ALICE 3 Detector

~1% over full  $\eta$  range



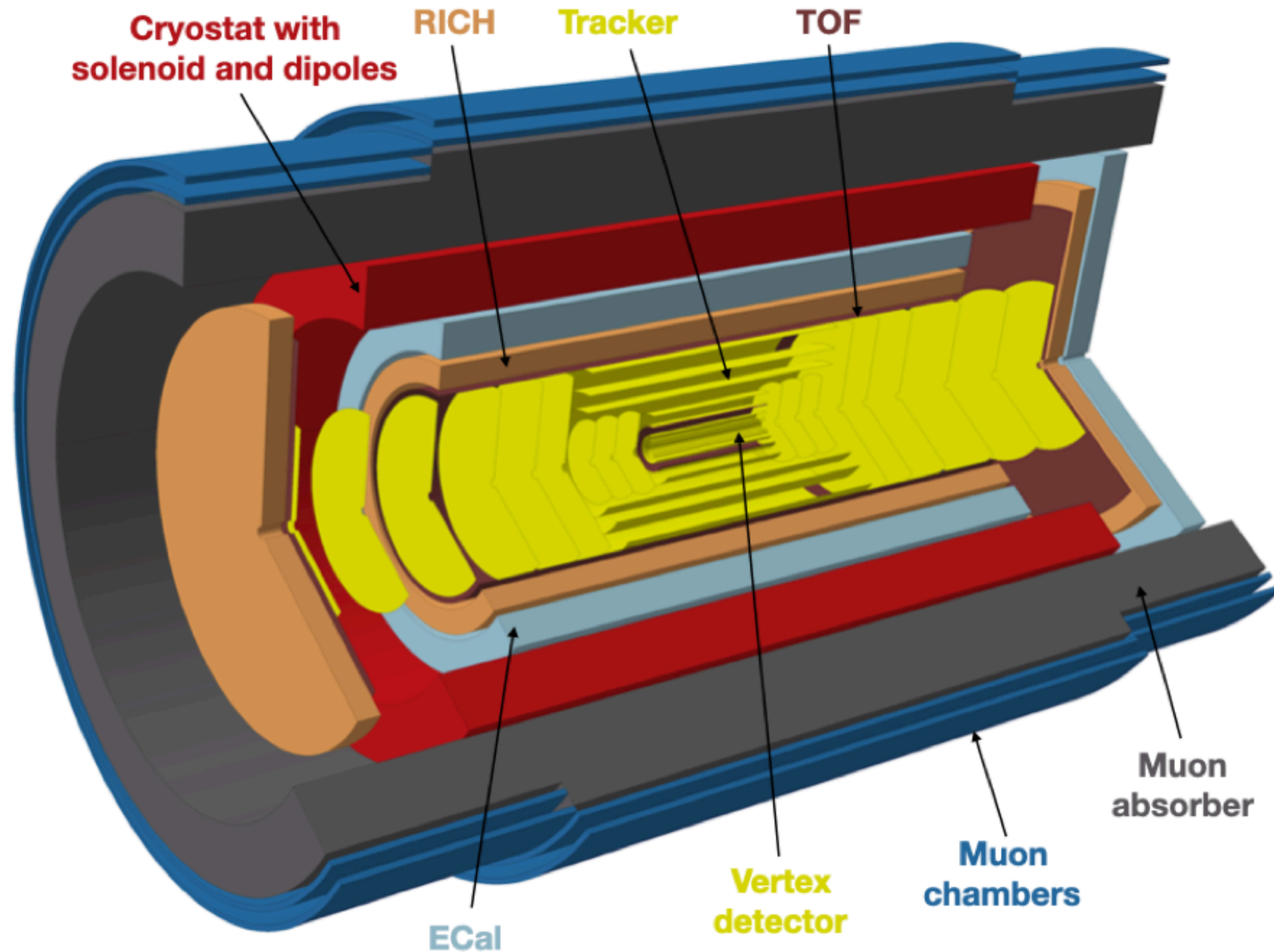
Hadron PID from  $p \sim 0.1$  to 8 GeV/c



Large acceptance coverage

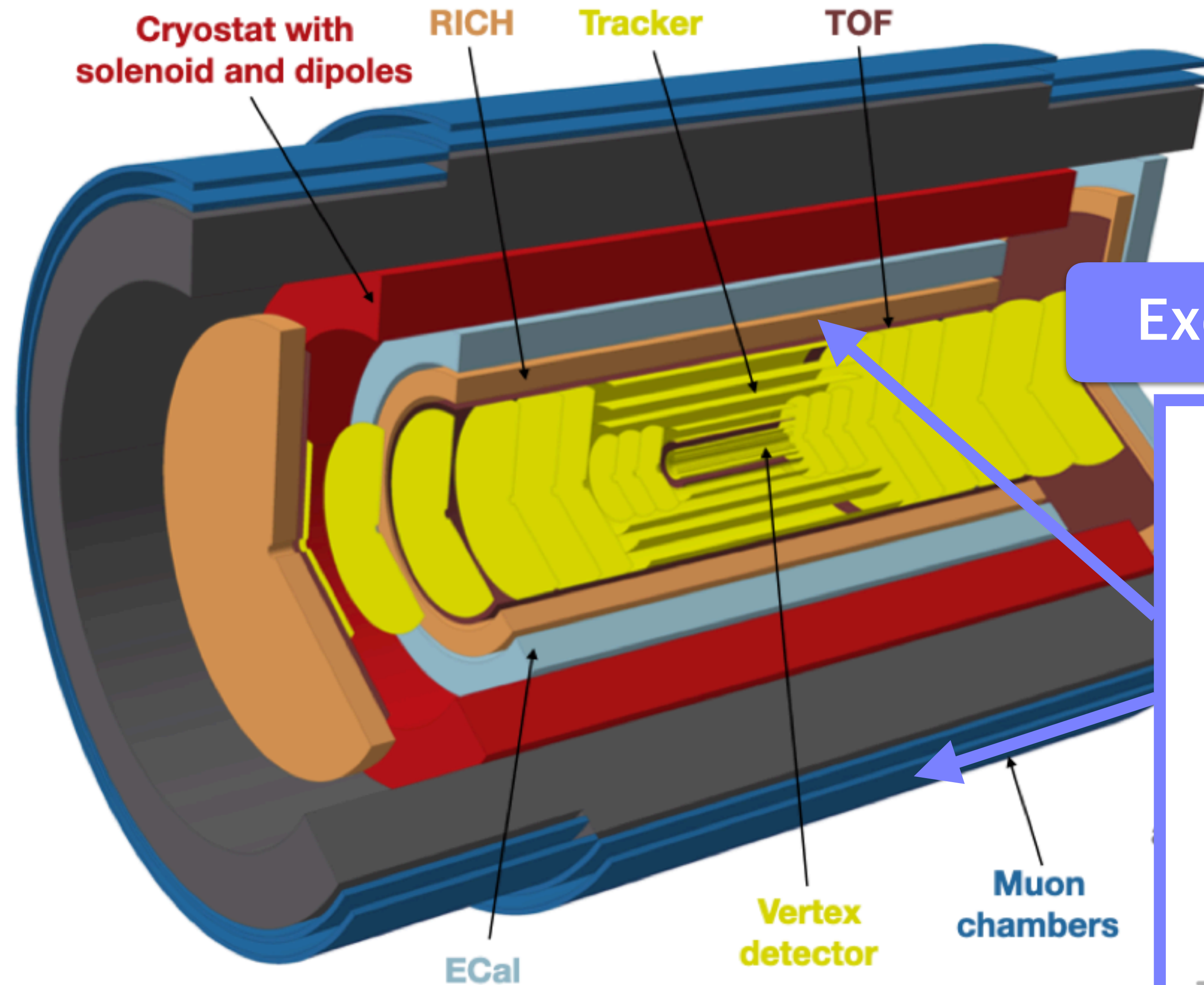


# ALICE 3 Detector

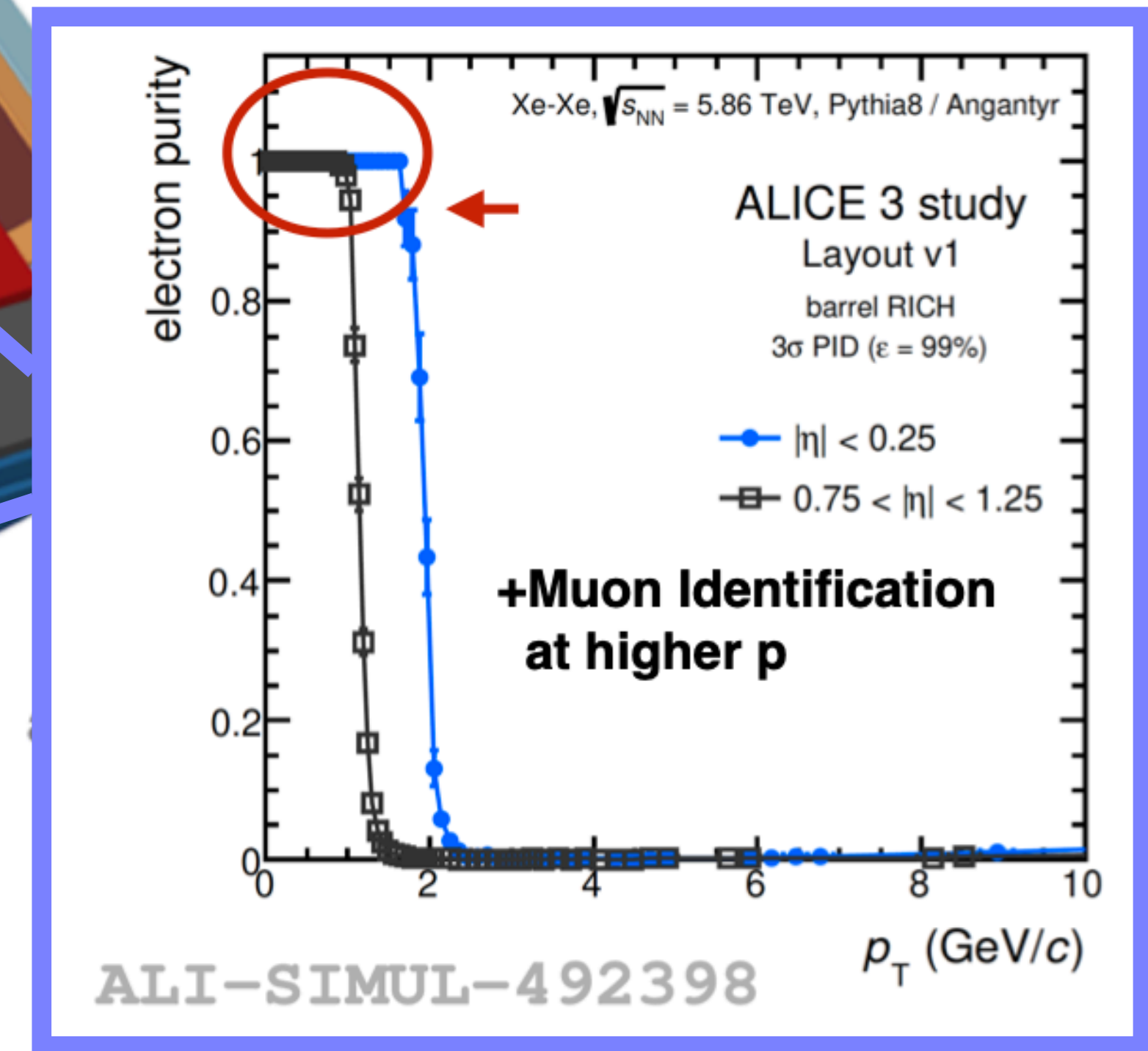




# ALICE 3 Detector

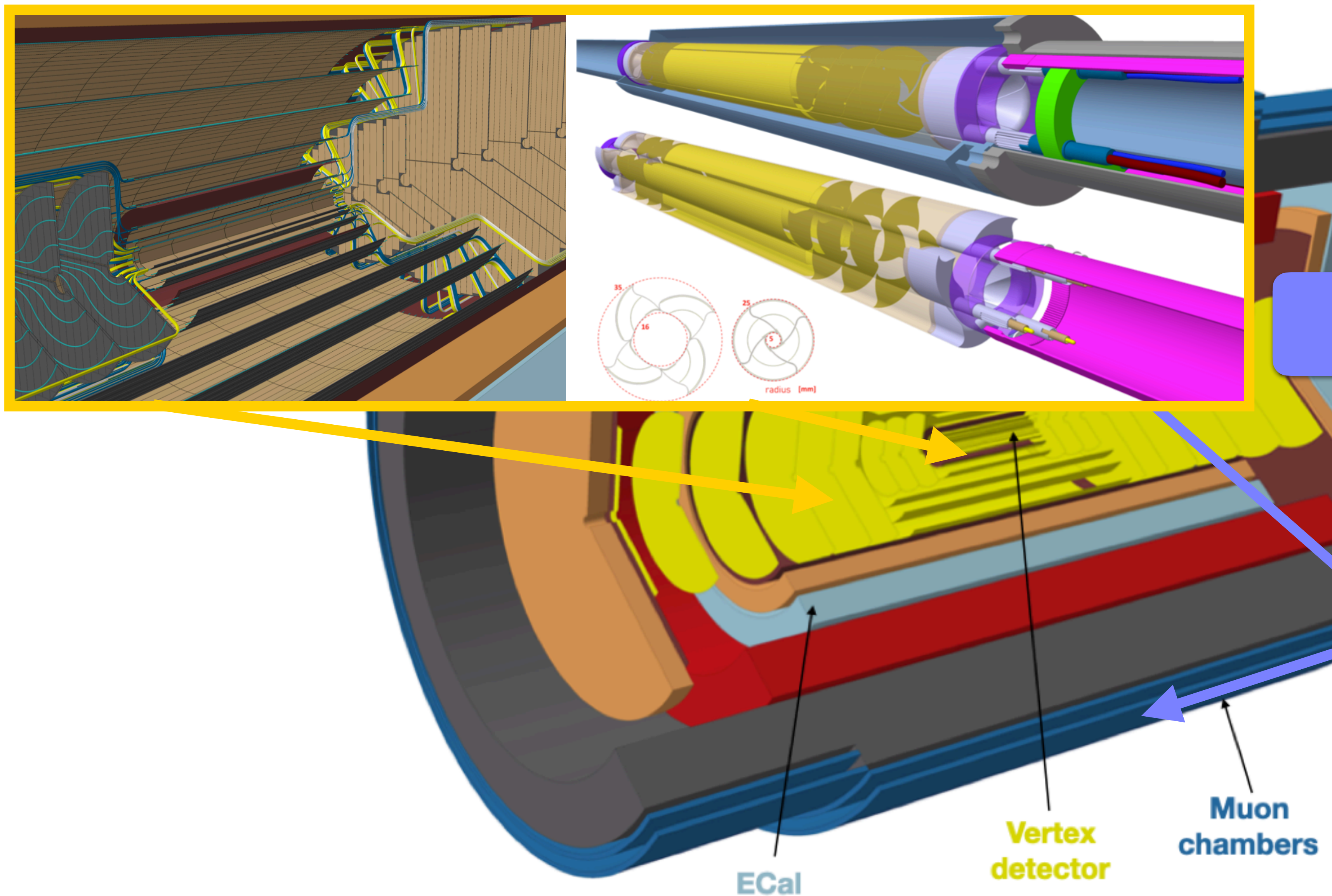


Excellent e/π separation

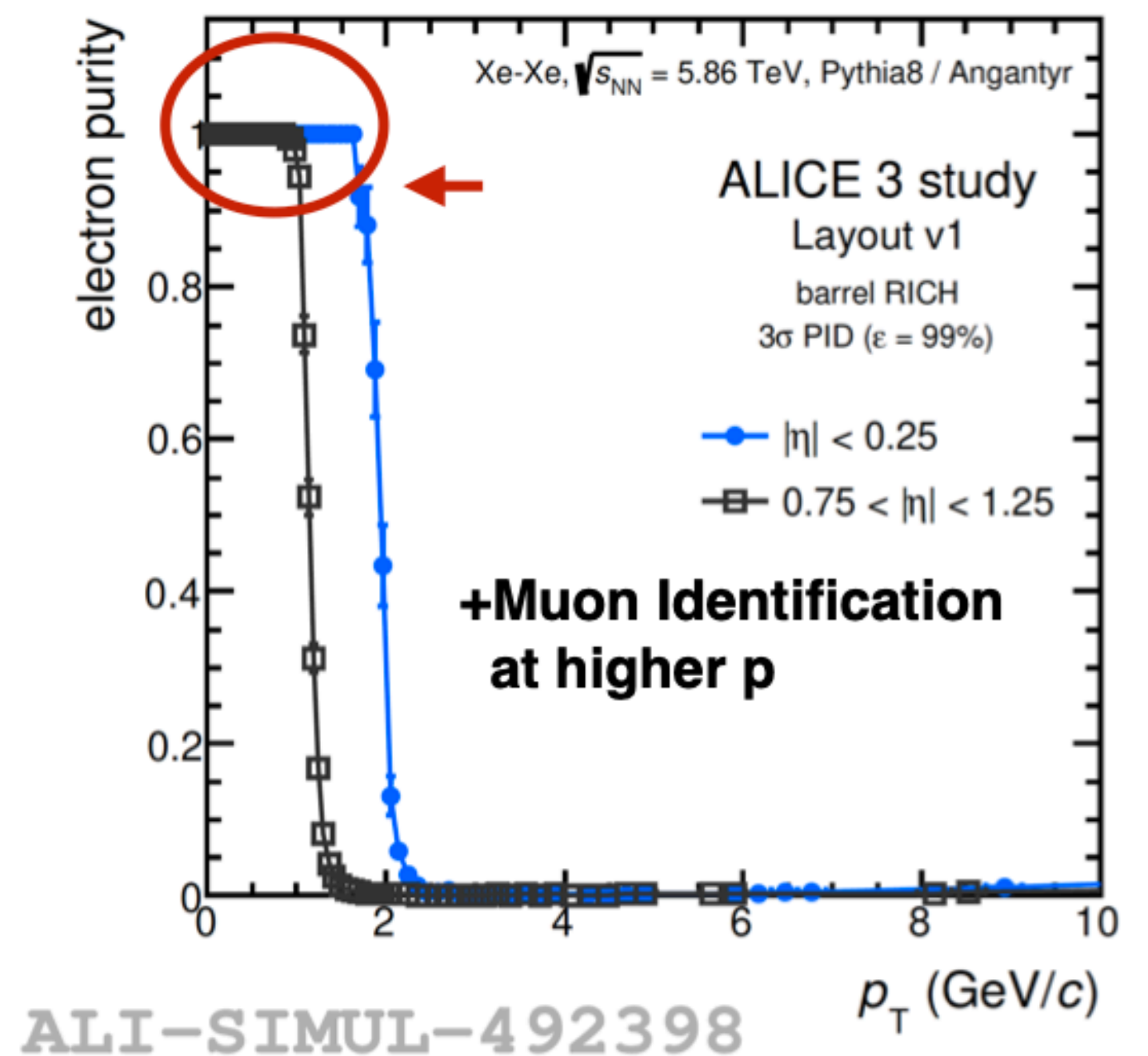




# ALICE 3 Detector

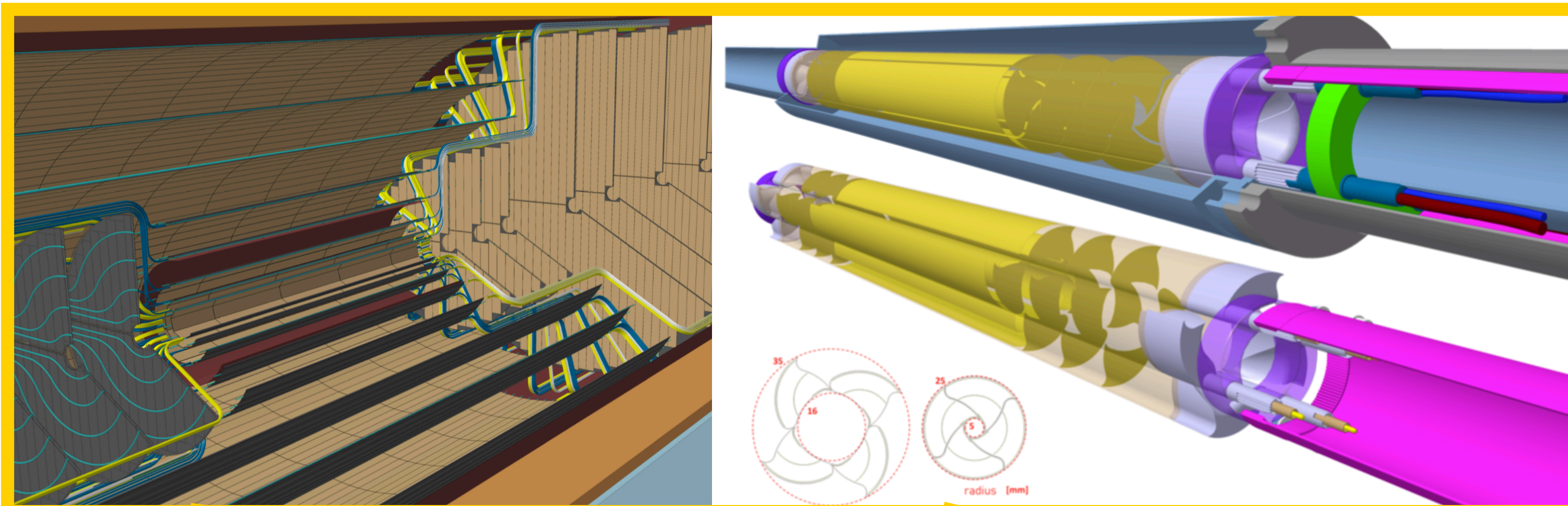


Excellent  $e/\pi$  separation

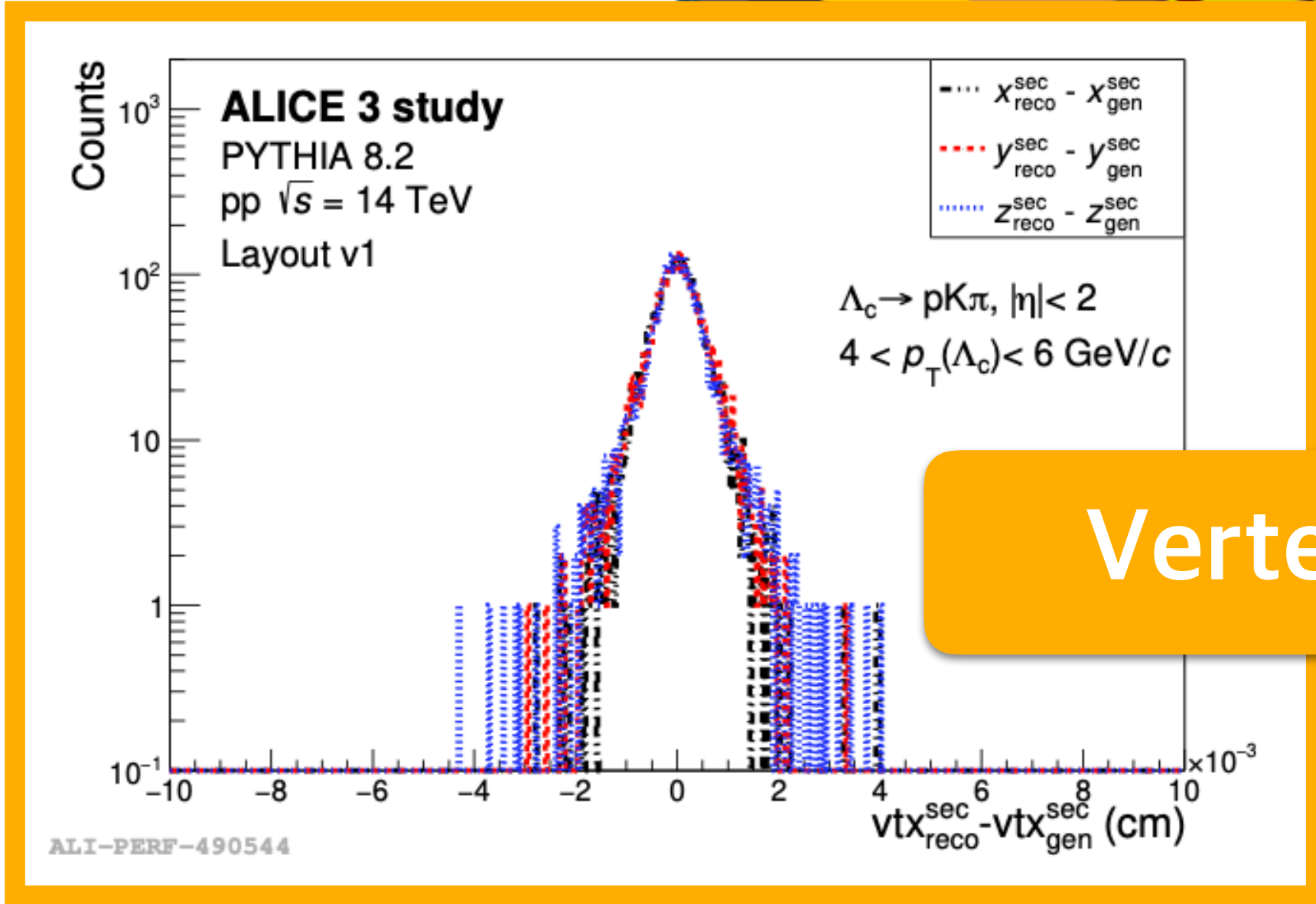




# ALICE 3 Detector



Excellent e/ $\pi$  separation



Vertex resolution < 5-10  $\mu$ m

