

# Non-diagonal GPDs and the structure of hadrons

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## A plan for today

- 1 Introduction and (some) general motivation
- 2 Kinematics of non-diagonal DVCS
- 3 A simple example:  $N \rightarrow \Delta$  non-diagonal DVCS
- 4  $N \rightarrow \pi N$  transition GPDs
- 5 Some lessons from  $\pi \rightarrow \pi\pi$  transition GPDs;
- 6 Omnes solution for dispersion relation;
- 7 Conclusions and Outlook.

In collaboration with [Stefan Diehl](#), [Hyeondong Son](#), [Sangyeong Son](#), [Paweł Sznajder](#) and [Marc Vanderhaeghen](#)

## What is non-diagonal DVCS/DVMP?

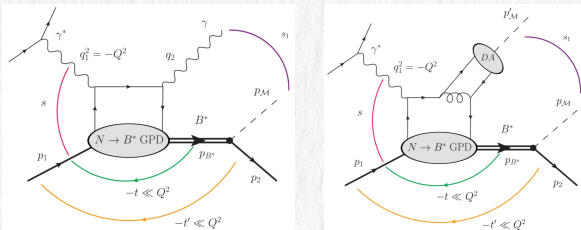
$$\gamma^*(q_1) + N(p_1) \rightarrow \left\{ \gamma^*(q_2) \right\}_{\mathcal{M}'(p'_{\mathcal{M}})} + \left[ \mathcal{M}(p_{\mathcal{M}}) N(p') \right]; \mathcal{M} = \pi, \eta, \rho, \omega \dots$$

- Factorized description in terms of  $N \rightarrow B^*$  GPDs in the generalized Bjorken kinematics:

$$-q_1^2; (p_1 + q_1)^2 - \text{large}; \quad x_B = \frac{-q_1^2}{2p_1 \cdot q_1} - \text{fixed};$$

$$-t = -(p_{B^*} - p_1)^2; \quad -t' = -(p_2 - p_1)^2; \quad W_{\mathcal{M}N}^2 = (p_1 + p_{\mathcal{M}})^2 \quad \text{of hadronic scale.}$$

- Meson-nucleon system resonates at  $W_{\mathcal{M}N} = M_{B^*}$ .



- Status of factorization: same as for the DVCS&DVMP: X. Ji et al.'98, J. Collins et al.'97,99.

## Some motivation

- Main goal is to understand  $B^*$  in terms of  $q$ ,  $\bar{q}$  and gluons.
- Available probes and their QCD structure:

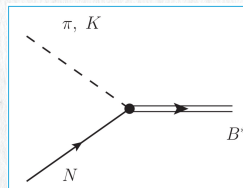
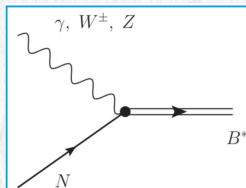
E.m./weak probe :

QCD structure :

$$\gamma \Leftrightarrow \langle B^* | \bar{q} \hat{Q}_{\text{e.m.}} \gamma_\mu q | N \rangle$$

$$W^\pm, Z^0 \Leftrightarrow \langle B^* | \bar{q} \hat{Q}_w \gamma_\mu (1 - \gamma_5) q | N \rangle$$

- Only  $C = -1$  probe;
- Local in space-time;
- No direct access to gluon d.o.f.



Hadronic probe :

QCD structure :

$$\pi, K \Leftrightarrow \langle B^* | ??? | N \rangle$$

- QCD structure of the probe unknown;



# Graviton probe and QCD Energy-Momentum Tensor

- Gravitproduction of resonances I. Kobzarev and L. Okun'62

SOVIET PHYSICS JETP

VOLUME 16, NUMBER 5

MAY, 1963

## GRAVITATIONAL INTERACTION OF FERMIONS

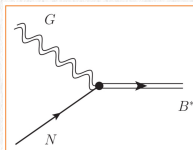
I. Yu. KOBZAREV and L. B. OKUN'

Institute of Theoretical and Experimental Physics, Academy of Sciences, U.S.S.R.

Submitted to JETP editor June 14, 1962

J. Exptl. Theoret. Phys. (U.S.S.R.) **43**, 1904-1909 (November, 1962)

Gravitational interaction of spin-1/2 particles is considered in the linear approximation. It is shown that if gravitational interaction is taken into account, the question whether a free neutrino is two- or four-component acquires a physical meaning. The vertex part for the interaction between fermions and the gravitational field is shown to possess properties analogous to those of the electrodynamic vertex described by the Ward theorem. Observable effects due to spins are considered.



G probe : QCD structure :

$$G \Leftrightarrow \underbrace{\langle B^* | \bar{q} \gamma_\mu (\partial_\nu - A_\nu) q + \frac{1}{4} F_{\mu\alpha}^a F_{\nu\alpha}^a | N \rangle}_{\text{QCD EMT}}$$

- Gluon d.o.f. enter explicitly!
- No good source of  $G$  (:

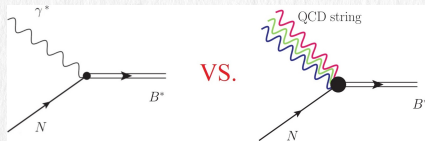
$$\frac{\text{Rate of } GN \rightarrow B^*}{\text{Rate of } \gamma N \rightarrow B^*} \simeq \frac{m_N}{M_{\text{Pl}}} \frac{1}{\alpha_{\text{em}}} \simeq 10^{-17}$$

## Some remarks

- Short distance part of the process creates a low-energy QCD string = a tower of local probes ( $\gamma$ ,  $G$ , ...);
- Spin  $J$  expansion of the QCD string operator:

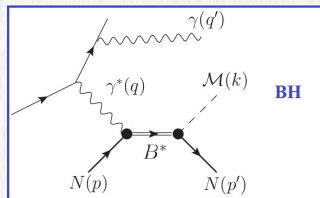
$$\bar{\Psi}(n) P \exp \left( i \int_{-n}^n dz^\mu A_\mu(z) \right) \Psi(-n) = \bar{\Psi} \text{---} \Psi = \sum_{J=0}^{\infty} \left[ \text{---} \right]_J Y_{JM}$$

- Although non-diagonal DVCS is a **hard** process it probes a **soft**  $B^*$  excitation by low-energy QCD string;
- More analogous to  $B^*$  photoexcitation rather than hard electroproduction (qualitatively different physics);



### Feasibility:

- Rates are the same order as in usual DVCS/DVMP;
- In case of DVCS: interference with the Bethe-Heitler process provides enhancement of signal;



# Physical contents I

Gravitational FFs of the proton, see e.g. **V.D. Burkert et al. 2303.08347**

$$T^{\mu\nu} = \begin{bmatrix} \text{Energy density} & \text{Momentum density} & & \\ \text{Energy flux} & \text{Momentum flux} & & \\ \text{Shear stress} & & & \\ \text{Normal stress (pressure)} & & & \end{bmatrix} = \begin{bmatrix} T_{00} & T_{01} & T_{02} & T_{03} \\ T_{10} & T_{11} & T_{12} & T_{13} \\ T_{20} & T_{21} & T_{22} & T_{23} \\ T_{30} & T_{31} & T_{32} & T_{33} \end{bmatrix}$$

M. Polyakov' 03:

$$T^{ij}(\vec{r}) = \left( \frac{r^i r^j}{r^2} - \frac{1}{3} \delta^{ij} \right) s(r) + \delta^{ij} p(r)$$

$$s(r) = -\frac{1}{4M_N} r \frac{d}{dr} \frac{1}{dr} \tilde{D}(r)$$

$$p(r) = \frac{1}{6M_N} \frac{1}{r^2} \frac{d}{dr} r^2 \frac{d}{dr} \tilde{D}(r)$$

$$\tilde{D}(r) = \int \frac{d^3\Delta}{(2\pi)^3} e^{-i\vec{\Delta}\cdot\vec{r}} D(-\vec{\Delta}^2).$$

**Burkert, Elouadrhiri, Girod, Nature 557(2018)**

The image shows the cover of the journal 'LETTER' with the title 'The pressure distribution inside the proton' and a sub-headline '10x the pressure @ center of neutron stars'. The cover features a graph showing the pressure distribution inside the proton, with a peak at the center. The graph is titled 'Pressure distribution inside the proton' and shows the pressure in units of GeV/fm³ as a function of the distance from the center in fm. The pressure is highest at the center and decreases towards the periphery. The sub-headline '10x the pressure @ center of neutron stars' is written in a large, bold font.

- Study of QCD EMT  $N \rightarrow B^*$  transition matrix elements complements the studies of e.m. transition FFs;
- Possible access to transition spin contents (for  $N \rightarrow N^*$ ,  $\Delta$ ), pressure and shear forces (for  $N \rightarrow N^*$ ) and new insight for resonance formation;
- **Studies underway.** Cf. transition angular momentum  $N \rightarrow \Delta$ , **J.-Y. Kim et al.'23.**

## Physical contents II: a unique option for baryon spectroscopy

Important advantages with respect to the usual electroproduction:

- 1 Excitation of resonances by non-local QCD quark light-cone operators:

$$\left\langle N^* \left| \bar{\psi}_\alpha(0) P e^{ig \int_0^z dx_\mu \lambda^c A_c^\mu} \psi_\beta(z) \right| N \right\rangle$$

- ★ excitation by probes of arbitrary spin (not just  $J = 1$ );
- 2 Possible generalization to the gluon light-cone operators. ★ explicit access to the gluonic DOFs.
- 3 Direct access to **Im** (spin asymmetry) and **Re** (charge asymmetry) of the amplitude  $A_{N \rightarrow B^*}^{\text{DVCS}}$ . **Without complicated PWA!**

2008 White paper

Baryon spectroscopy in non-diagonal DVCS

M. Amarian<sup>1</sup>, M.V. Polyakov<sup>2</sup>, K.M. Semenov-Tian-Shansky<sup>2</sup>, I. Strakovsky<sup>3</sup>

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### Physical contents III: **baryon spectroscopy: hunt for exotics**

- Possible access to non-usual spin-flavor configurations: e.g. SU(6)  $[20, 1^+]$ :  $N = 2$  orbital excitation of the SU(6) 20-plet.

- SU(3) classification:  $3 \otimes 3 \otimes 3 = \underbrace{10}_S \oplus \underbrace{8}_X \oplus \underbrace{8}_X \oplus \underbrace{1}_A$

$$\text{SU}(6) \quad |S\rangle = \underbrace{4 10}_{S \cdot S}, \underbrace{2 8}_{X \cdot X} : \quad 56 \text{ states}; \quad |A\rangle = \underbrace{4 1}_{A \cdot S}, \underbrace{2 8}_{X \cdot X} : \quad 20 \text{ states};$$

$$|X\rangle = \underbrace{2 1}_{A \cdot X}, \underbrace{2 8}_{X \cdot X}, \underbrace{4 8}_{X \cdot S}, \underbrace{2 10}_{S \cdot X} \quad 70 \text{ states};$$

- How to combine with internal orbital motion to make **completely symmetrical state**?
  - $N = 0$ : usual  $[56, 0^+]$
  - $N = 1$  (orbital excitation has X-symmetry):  $|S\rangle = X \cdot \underbrace{70}_X = [70, 1^-]$
  - $N = 2$  (two orbital excitation has X-symmetry make S, X, A with total angular momentum 2, 1, 0)
    - 20-plet antisymmetric in SU(6) indices ( $J = S + L, L = -1, 0, 1$ ):

$$[20, 1^+] = 4 \ 1_{\frac{1}{2}}; \quad 4 \ 1_{\frac{3}{2}}; \quad 4 \ 1_{\frac{5}{2}}; \quad 2 \ 8_{\frac{1}{2}}; \quad 2 \ 8_{\frac{3}{2}};$$




- Symmetry argument by **R. Feynman'1972**: *“Two quark at least must have their motion changed to get to the  $[20, 1^+]$  from the fundamental  $[56, 0^+]$ .”*

## Physical contents III: Chiral dynamics in gravitational interaction

- More general description:  $N \rightarrow \pi N$  transition GPDs, **M. Polyakov** and **S. Stratmann**, [arXiv:hep-ph/0609045](https://arxiv.org/abs/hep-ph/0609045).
- A new test ground for  $\chi$ PT - low energy EFT of QCD, **First principle calculations!**

PHYSICAL REVIEW D **102**, 076023 (2020)

### Chiral theory of nucleons and pions in the presence of an external gravitational field

H. Alharazin<sup>1</sup>, D. Djukanovic<sup>2,3</sup>, J. Gegelia,<sup>1,4</sup> and M. V. Polyakov<sup>1,5</sup>


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<sup>4</sup>*Tbilisi State University, 0186 Tbilisi, Georgia*

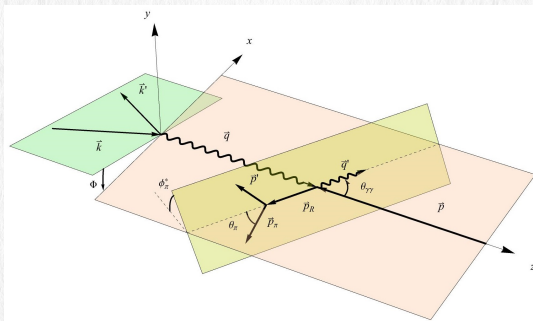
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We extend the standard second order effective chiral Lagrangian of pions and nucleons by considering the coupling to an external gravitational field. As an application we calculate one-loop corrections to the one-nucleon matrix element of the energy-momentum tensor to fourth order in chiral counting, and next-to-leading order tree-level amplitude of the pion-production in an external gravitational field. We discuss the relation of the obtained results to experimentally measurable observables. Our expressions for the chiral corrections to the nucleon gravitational form factors differ from those in the literature. That might require to revisit the chiral extrapolation of the lattice data on the nucleon gravitational form factors obtained in the past.

## Kinematics and decay angular distribution

$$e(k) + N(p_N) \rightarrow e'(k') + \gamma^*(q) + N(p_N) \rightarrow e'(k') + \gamma(q') + \pi(p_\pi) + N'(p'_N)$$



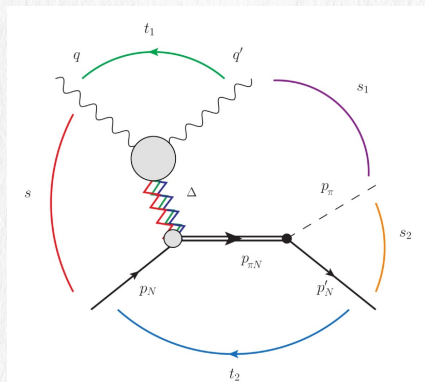
- $\gamma^* N \rightarrow B^* \gamma$ :  $\gamma^* N$  CMS;
- $B^* \rightarrow \pi N'$ :  $\pi N'$  CMS  $\equiv (\pi N')$  at rest;

$$\frac{d^7 \sigma}{\underbrace{dQ^2 dx_B}_{\text{lepton side}} \underbrace{dt d\Phi}_{\gamma^* N \rightarrow \gamma B^*} \underbrace{dW_{\pi N}^2 d\Omega_\pi^*}_{B^* \rightarrow \pi N}}$$



## Kinematics: invariants

- Invariant variables for  $\gamma^* N \rightarrow \gamma \pi N'$



In addition to  $s = (p_N + q)^2 \equiv W^2$  and  $t_1 = (q - q')^2 \equiv \Delta^2$ :

- $\gamma\pi$  invariant mass:  $s_1 = (p_\pi + q)^2$ ;
- $\pi N$  invariant mass:  $s_2 = (p_\pi + p'_N)^2 \equiv W_{\pi N}^2$ ;
- $t_2 = (p'_N - p_N)^2$ ;

## A test ground: $N \rightarrow \Delta(1232)$ DVCS

$$\gamma^*(q) + N^P(p_N) \rightarrow \gamma(q') + \Delta^+(p_\Delta) \rightarrow \gamma(q') + \pi^0(p_\pi) + N^P(p'_N)$$

K. Goeke, M. Polyakov and  
M. Vanderhaeghen'01:

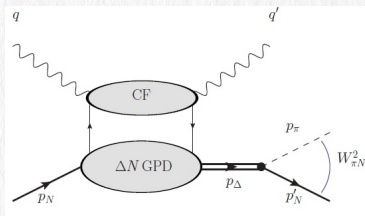
- 3 +1 unpolarized+4 polarized leading twist  $N \rightarrow \Delta$  GPDs;
- 1 + 2 relevant in the large  $N_c$  limit;
- Early analysis: P. Guichon, L. Mossé and M. Vanderhaeghen'03;

A. Belitsky and A. Radyushkin'05:

- 4 unpolarized+4 polarized leading twist  $N \rightarrow \Delta$  GPDs;

K.S. and M. Vanderhaeghen, PRD 108 (2023)

- **Important goal:** work out of angular dependencies of  $|\text{DVCS}|^2$ ,  $|\text{BH}|^2$  and interference term.
- **Implications for experiment:** necessary coverage in the cm angle of the final  $\pi N$  state.



## $N \rightarrow \Delta$ GPDs I

- Leading twist-2: 4 unpolarized and 4 polarized GPDs;
- Unpolarized isovector  $N \rightarrow \Delta$  GPDs (K. Goeke et al.2001):

$$\begin{aligned} & \frac{1}{2\pi} \int dy^- e^{ixP^+y^-} \langle \Delta(p_\Delta) | \bar{\psi}(-y/2) \gamma \cdot n \tau_3 \psi(y/2) | N(p_N) \rangle \Big|_{y^+ = \bar{y}_\perp = 0} \\ &= \sqrt{\frac{2}{3}} \bar{u}^\beta(p_\Delta) \left\{ H_M(x, \xi, t) (-\mathcal{K}_{\beta\mu}^M) n^\mu + H_E(x, \xi, t) (-\mathcal{K}_{\beta\mu}^E) n^\mu \right. \\ & \left. + H_C(x, \xi, t) (-\mathcal{K}_{\beta\mu}^C) n^\mu + H_4(x, \xi, t) \underbrace{(\Gamma_{\beta\mu}^4)}_{\text{omitted structure}} n^\mu \right\} u(p_N), \end{aligned}$$

Jones-Scadron covariants ( $\bar{P} = \frac{p_N + p_\Delta}{2} = p_\Delta - \frac{\Delta}{2}$ ,  $\Delta = p_\Delta - p_N$ ,  $t \equiv \Delta^2$ ):

$$\begin{aligned} \mathcal{K}_{\beta\mu}^M &= -i \frac{3(m_\Delta + m_N)}{2m_N((m_\Delta + m_N)^2 - t)} \varepsilon_{\beta\mu\lambda\sigma} \bar{P}^\lambda \Delta^\sigma; \\ \mathcal{K}_{\beta\mu}^E &= -\mathcal{K}_{\beta\mu}^M - \frac{6(m_\Delta + m_N)}{m_N Z(t)} \varepsilon_{\beta\sigma\lambda\rho} \bar{P}^\lambda \Delta^\rho \varepsilon_{\mu\kappa\delta}^\sigma \bar{P}^\kappa \Delta^\delta \gamma^5; \\ \mathcal{K}_{\beta\mu}^C &= \not{t} \frac{3(m_\Delta + m_N)}{m_N Z(t)} \Delta_\beta (t \bar{P}_\mu - \Delta \cdot \bar{P} \Delta_\mu) \gamma^5; \\ \Gamma_{\beta\mu}^4 &= \frac{1}{m_N m_\Delta} \left[ \Delta_\beta - \frac{(\Delta \cdot p_\Delta)}{p_\Delta^2} p_{\Delta\beta} \right] \Delta_\mu \gamma^5. \end{aligned}$$

## $N \rightarrow \Delta$ GPDs II

- Polarized  $N \rightarrow \Delta$  GPDs:

$$\frac{1}{2\pi} \int dy^- e^{ixP^+y^-} \langle \Delta(p_\Delta) | \bar{\psi}(-y/2)\gamma \cdot n \gamma^5 \tau^3 \psi(y/2) | N(p_N) \rangle =$$

$$\sqrt{\frac{2}{3}} \bar{U}^\beta(p_\Delta) \left[ C_1(x, \xi, t) g_{\beta\mu} n^\mu + C_2(x, \xi, t) \frac{\Delta_\beta \Delta_\mu}{m_N^2} n^\mu + C_3(x, \xi, t) \frac{1}{m_N} [g_{\beta\mu} \Delta - \Delta_\beta \gamma_\mu] n^\mu \right.$$

$$\left. + C_4(x, \xi, t) \frac{2}{m_N^2} [\bar{P} \cdot \Delta g_{\beta\mu} - \Delta_\beta \bar{P}_\mu] n^\mu \right] u(p_N).$$

### Relation to form factors

- Unpolarized GPDs are related to e.m. form factors **Jones and Scadron'73**:

$$\int_{-1}^1 dx H_{M,E,C}(x, \xi, t) = 2G_{M,E,C}^*(t); \quad \int_{-1}^1 dx H_4(x, \xi, t) = 0;$$

- Polarized transition GPDs are related to axial form factors **Adler'75**;
- These FFs can be accessed in neutrino-production reactions;

$$\int_{-1}^1 dx C_{1,2,3,4}(x, \xi, t) = 2C_{5,6,3,4}^A(t).$$

## Large $N_c$ relations and sum rule

- Large  $N_c$  relations for octet-to-decuplet transition GPDs, Goeke et al.'01:

$$H_M(x, \xi, t) = \frac{2}{\sqrt{3}} \left[ E^u(x, \xi, t) - E^d(x, \xi, t) \right];$$

$$C_1(x, \xi, t) = \sqrt{3} \left[ \tilde{H}^u(x, \xi, t) - \tilde{H}^d(x, \xi, t) \right];$$

$$C_2(x, \xi, t) = \frac{\sqrt{3}}{4} \left[ \tilde{E}^u(x, \xi, t) - \tilde{E}^d(x, \xi, t) \right];$$

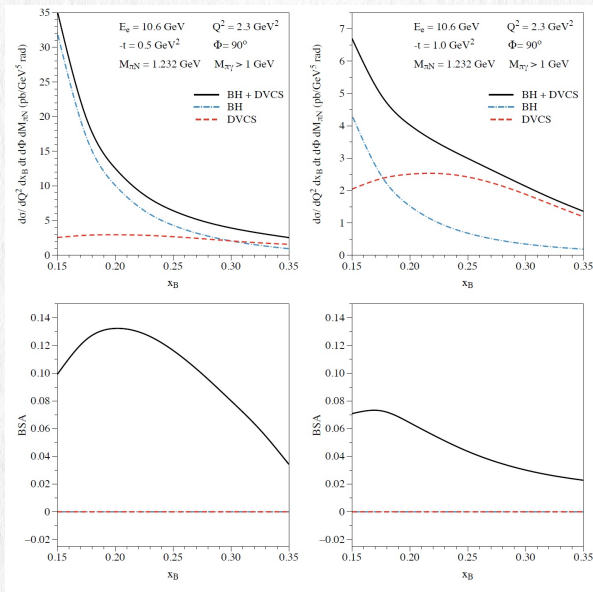
- Pion pole contribution into  $C_2$ :

$$\lim_{t \rightarrow m_\pi^2} C_2(x, \xi, t) = \sqrt{3} \frac{g_A m_N^2}{m_\pi^2 - t} \theta[\xi - |x|] \frac{1}{\xi} \Phi_\pi \left( \frac{x}{\xi} \right);$$

- Angular momentum sum rule:

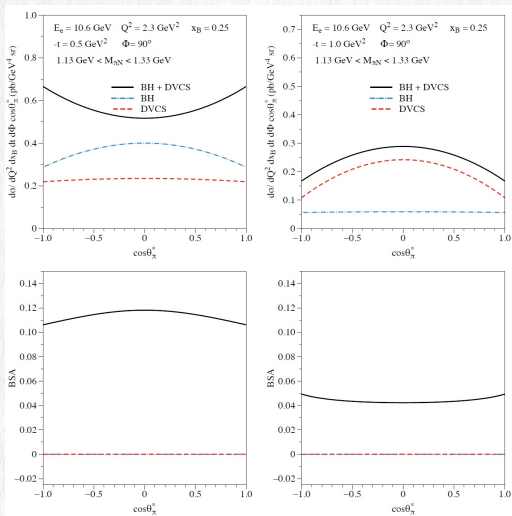
$$\lim_{t \rightarrow 0, N_c \rightarrow \infty} \int_{-1}^1 dx x H_M(x, \xi, t) = \frac{2}{\sqrt{3}} \left[ 2 \left( J^u - J^d \right) - M_2^u + M_2^d \right].$$

# Cross sections and BSA for JLab@12 GeV I



## Cross sections and BSA for JLab@12 GeV II

- $\Delta$  in helicity  $\pm 1/2$  state:  $\frac{1}{4} (1 + 3 \cos^2 \theta_\pi^*)$
- $\Delta$  in helicity  $\pm 3/2$  state:  $\frac{3}{4} \sin^2 \theta_\pi^*$





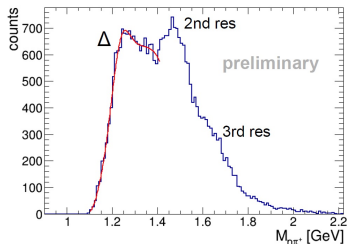
# Experimental status I: resonance spectrum for $N^* \rightarrow n\pi^+$

Stefan Diehl, CLAS collaboration, preliminary

$en\pi^+\gamma$

$$\langle Q^2 \rangle = 2.3 \text{ GeV}^2$$

$$\langle x_B \rangle = 0.25$$

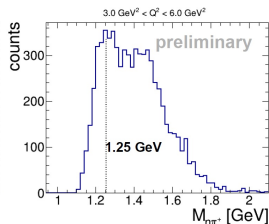
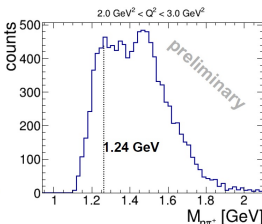
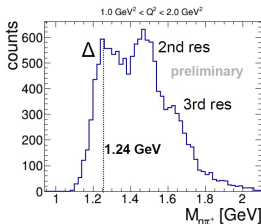


$\Delta$ -fit: Breit-Wigner  
+ polyn. backgr.

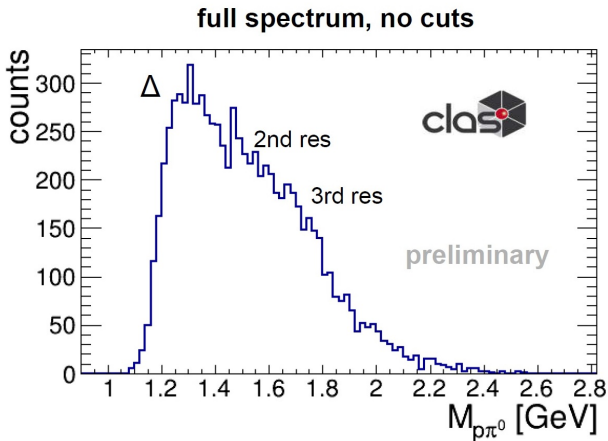
$$\mu = 1.235 \text{ GeV}$$

$$\Gamma = 0.15 \text{ GeV}$$

$Q^2$  dependence:

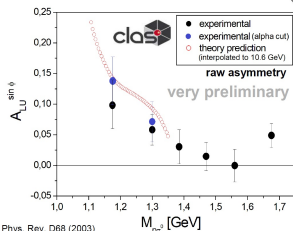
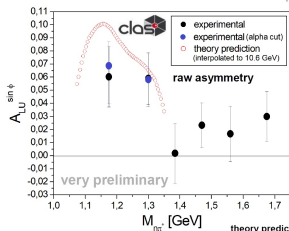
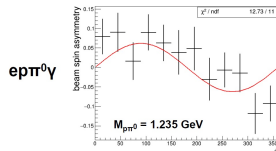
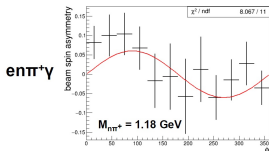


## Experimental status II: resonance spectrum for $N^* \rightarrow p\pi^0$



# Experimental status III: Beam Spin Asymmetry

$$A = \frac{1}{P} \frac{N^+ - N^-}{N^+ + N^-} \approx A_{LU}^{\sin \phi} \sin \phi$$



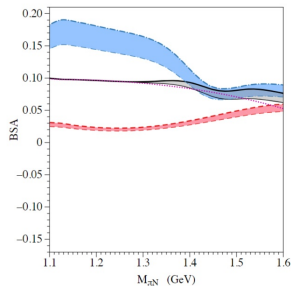
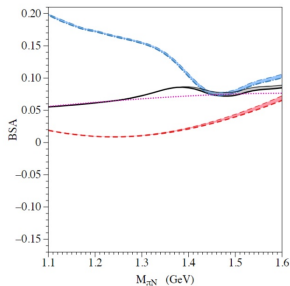
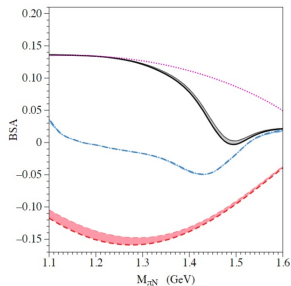
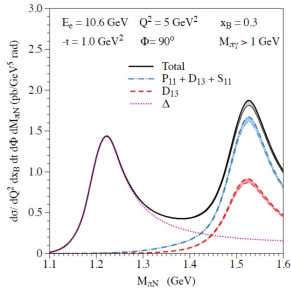
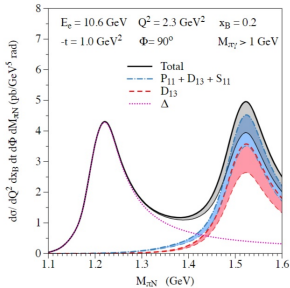
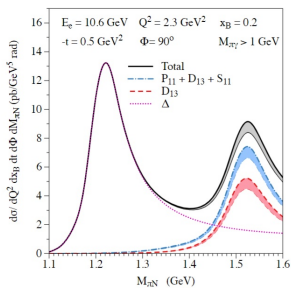
theory prediction: Phys. Rev. D68 (2003)

●  $BSA \sim T^{\text{BH}} \times \text{Im} T^{\text{N}\Delta \text{DVCS}}$

## Going to the 2nd resonance region

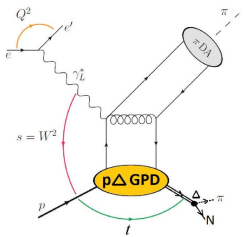
- Formalism extended to  $N \rightarrow N^*$  DVCS for  $N^* = P_{11}(1440)$ ,  $D_{13}(1520)$ ,  $S_{11}(1535)$ :
  - for spin- $\frac{1}{2}$  resonances at twist-2: 2 unpolarized GPDs (vector operator), 2 polarized GPDs (axial-vector operator);
  - for spin- $\frac{3}{2}$  resonances at twist-2: 4 unpolarized GPDs (vector operator), 4 polarized GPDs (axial-vector operator);
- $t$ -dependence of GPDs (first moments):
  - - unpolarized GPDs: first moments constrained by data on e.m. transition FFs (CLAS@6 GeV)
  - - polarized GPDs: 2 dominant axial FFs constrained using PCAC + pion pole dominance:
    - normalization at  $t = 0$  given by  $(f_{\pi NN^*}/m_{\pi})2f_{\pi}$ ;
    - $t$ -dependence: dipole ( $M_A = 1 \text{ GeV}$ ) and pion-pole  $\sim 1/(t - m_{\pi}^2)$ ;
    - isoscalar axial FF neglected;
- $x$  &  $\xi$  dependence of GPDs: RDDA  $b = 1$  and  $b = \infty$  with  $q(x) \sim x^{-0.5}(1-x)^3$

# Cross section and BSA



# Hard exclusive $\Delta\pi$ production

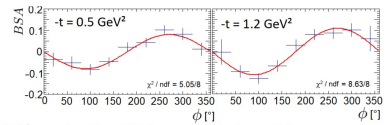
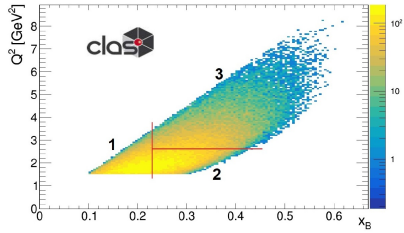
S. Diehl et al. '23



$$ep \rightarrow e\Delta^0\pi^+ \rightarrow e(p\pi^-)\pi^+ \rightarrow e(n\pi^0)\pi^+$$

$$ep \rightarrow e\Delta^+\pi^0 \rightarrow e(n\pi^+)\pi^0 \rightarrow e(p\pi^0)\pi^0$$

$$ep \rightarrow e\Delta^{++}\pi^- \rightarrow ep\pi^+\pi^-$$



BSA as a function of  $\phi$  for representative  $-t$  bins ( $Q^2 = 2.48 \text{ GeV}^2$ ,  $x_B = 0.27$ ). The red line shows the  $\sin \phi$  fit.

- Amplitude involves polarized GPDs  $C_{1,2,3,4}(x, \xi, \Delta^2)$ ;
- BSA is a twist-3 effect;

## Experimental perspectives

- $N\Delta$  DVCS and  $\pi\Delta$  can be measured at CLAS. Analysis underway.
- Present status: 3-4 bins in  $-t$ . With extra angular variables 2-3 bins in each variable;
- Statistics increase by a factor 3 in 3-4 years;
- BSA  $\pi^-\Delta^{++}$  extracted;
- Possible JLab@20 upgrade: statistics may increase by a factor 100 - 1000;

arXiv:2306.09360v1 [nucl-ex]

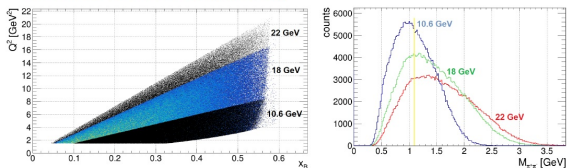


Figure 40: Comparison of the available phase space, accessible with the present CLAS12 setup, in  $Q^2 - x_B$  or the  $\pi^-\Delta^{++}$  process under forward kinematics ( $-t < 1.5$  GeV<sup>2</sup>) (left) and for the  $\pi^+\pi^-$  invariant mass of the same process, which is used to suppress the dominant  $\rho$  production background by the cut on  $M(\pi^+\pi^-) > 1.1$  GeV, indicated by the yellow line (right) for a 10.6 GeV, 18 GeV and 22 GeV electron beam.

- Can we get access to the complete angular distribution of  $N\Delta$  DVCS/DVMP and  $\pi\Delta$  production cross section?
- A sizable  $\pi^-\Delta^{++}$  BSA a challenge for theory: twist-3 observable;
- Extension to small- $x_B$  and studies for the EIC conditions necessary;



## $N \rightarrow \pi N$ transition GPDs

M. Polyakov and S. Stratmann, arXiv:hep-ph/0609045

- Unpolarized  $N \rightarrow \pi N$  GPDs:

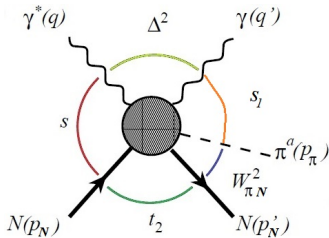
$$\int \frac{d\lambda}{2\pi} e^{i\lambda x \bar{P} \cdot n} \langle N(p'_N) \pi^a(p_\pi) | \bar{\psi}(-\lambda n/2) \not{n} \psi(\lambda n/2) | N(p_N) \rangle = \frac{ig_A}{m_N f_\pi} \sum_{i=1}^4 \bar{U}(p'_N) \Gamma_i \tau^a H_i^{(0)} U(p_N)$$

$$\Gamma_1 = \gamma_5; \quad \Gamma_2 = \frac{m_N \not{n}}{n \cdot \bar{P}} \gamma_5; \quad \Gamma_3 = \frac{\not{k}}{m_N} \gamma_5; \quad \Gamma_4 = \frac{\not{k} \not{n}}{m_N} \gamma_5; \quad (\bar{P} = \frac{p'_N + p_N + p_\pi}{2})$$

A guide to the kinematical variables of  $H_i^{(0)}(x, \xi, \Delta^2; W_{\pi N}^2, \alpha, t_2)$ :

- $\pi N$  invariant mass  $W_{\pi N}^2 = (p' + p_\pi)^2$
- $t_1 = (p'_N + p_\pi - p_N)^2 = (q - q')^2 \equiv \Delta^2$
- $t_2 = (p'_N - p_N)^2$
- Skewness  $\xi = -\frac{n \cdot \Delta}{2n \cdot \bar{P}}$
- Relative pion longitudinal momentum of the  $\pi N$  system:

$$\alpha = \frac{n \cdot p_\pi}{n \cdot (p'_N + p_\pi)}$$



## On physical meaning of $\alpha$

- ★ Related to  $\pi N$  decay angle  $\theta_\pi^*$  defined in the  $\pi N$  CMS  $\equiv B^*$  rest frame:

$$\alpha = \frac{W_{\pi N}^2 - m_N^2 + m_\pi^2 + \Lambda(W_{\pi N}^2, m_N^2, m_\pi^2) \cos \theta_\pi^*}{2W_{\pi N}^2} + O(1/Q^2),$$

where  $\Lambda$  is the Mandelstam function

$$\Lambda(x, y, z) = \sqrt{x^2 - 2xy - 2xz + y^2 - 2yz + z^2}.$$

- On the pion threshold  $W_{\pi N} = m_N + m_\pi$ :

$$\alpha \Big|_{\text{threshold}} = \frac{m_\pi}{m_N + m_\pi}.$$

## $N \rightarrow \pi N$ transition GPDs: polynomiality I

- First Mellin moment of  $N \rightarrow \pi N$  GPD  $\Leftrightarrow$  FFs of pion emission induced by the e.m. current;
- Isoscalar current:

$$\langle N(p'_N) \pi^a(p_\pi) | \bar{\psi} \gamma^\mu \psi | N(p_N) \rangle = \frac{ig_A}{m_N f_\pi} \sum_{i=1}^8 \bar{U}(p'_N) \tau^a A_i^{(0)} \Gamma_i^\mu U(p_N),$$

- 8 structures  $\{\Gamma_1^\mu, \dots, \Gamma_8^\mu\} = \{\bar{P}^\mu, \Delta^\mu, p_\pi^\mu, \gamma^\mu, \hat{p}_\pi \bar{P}^\mu, \hat{p}_\pi \Delta^\mu, \hat{p}_\pi p_\pi^\mu, \hat{p}_\pi \gamma^\mu\} \gamma_5$ ;
- 8 form factors  $A_i$  are functions of  $t, t', W_{\pi N}^2$ ; 2 current conservation constraints  $\Rightarrow$  non-trivial relations for  $A_i$ ;
- Polynomiality conditions; polynomials both in  $\xi$  and in  $\bar{\alpha} \equiv \alpha(1 - \xi)$ :

$$\int_{-1}^1 dx H_1 = A_1 - 2\xi A_2 + \bar{\alpha} A_3, \quad m_N \int_{-1}^1 dx H_2 = A_4,$$

$$\frac{1}{m_N} \int_{-1}^1 dx H_3 = A_5 - 2\xi A_6 + \bar{\alpha} A_7, \quad \int_{-1}^1 dx H_4 = A_8;$$

## $N \rightarrow \pi N$ transition GPDs: polynomiality II

- Second Mellin moment of  $N \rightarrow \pi N$  GPD  $\Leftrightarrow$  FFs of pion emission induced by the EMT

$$\mathcal{T}^{\mu\nu} = \frac{\beta}{2} \bar{\psi} \gamma^{\mu} (\vec{D} - \overleftarrow{D})^{\nu} \psi + \frac{g^{\mu\nu}}{4} F^{\rho\sigma} F_{\rho\sigma} + F^{\mu\rho} F_{\rho}^{\nu}$$

$$\langle N(p') \pi^a(p_{\pi}) | \mathcal{T}^{\mu\nu} | N_i(p) \rangle = \frac{ig_A}{m_N f_{\pi}} \sum_{i=1}^{20} \bar{U}(p') \tau^a \Gamma_i^{\mu\nu} B_i U(p)$$

- 20 Dirac structures built from  $g^{\mu\nu}$ ,  $\Delta^{\mu}$ ,  $p_{\pi}^{\mu}$ ,  $\bar{P}^{\mu}$ ;
- the form factors  $B_i$  are functions of  $t$ ,  $t'$ ,  $W_{\pi N}^2$ ;
- 8 constraints for energy-momentum conservation; hence 12 independent EMT FFs;

### Example of the polynomiality condition

- Polynomials both in  $\xi$  and in  $\bar{\alpha} \equiv \alpha(1 - \xi)$ :

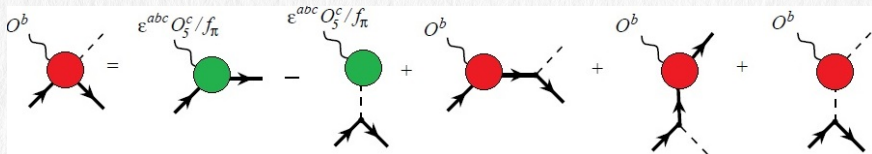
$$\int_{-1}^1 dx \times \left( H_1^{(0)} + \frac{1}{2} H_1^{(G)} \right) = B_2 + B_3(2\xi)^2 + B_4 \bar{\alpha}^2 + B_5(-2\xi) + B_6 \bar{\alpha} + B_7(-2\xi \bar{\alpha})$$

## Some properties of $N \rightarrow \pi N$ transition GPDs

- Soft pion theorems **P. Pobylitsa, M. Polyakov, and M. Strikman'01** fix  $N \rightarrow \pi N$  GPDs at the threshold  $W = (M_N + m_\pi)$  in terms of nucleon GPDs and pion DA;
- *E.g.* soft pion theorem for  $N \rightarrow \pi N$  transition matrix element **M. Polyakov and S. Stratmann, arXiv:hep-ph/0609045**

$$\langle N(p') \pi^a(k) | O^b(\lambda) | N(p) \rangle$$

of the isovector light cone operator  $O^b = \bar{\psi}(-\lambda n/2) \not{n} \tau^b \psi(\lambda n/2)$ :



- $N \rightarrow \pi N$  transition GPDs are real at the threshold but generally not necessarily real functions;
- $N \rightarrow \pi N$  transition GPDs contain information on  $\pi N$  resonance spectrum. **Can we take it out?**

## $N \rightarrow \pi N$ GPDs and PW analysis of the $\pi N$ system

- **M. Polyakov'98:**  $H_i(x, \xi, \alpha, t, W^2) \rightarrow H^{I,L,J}(x, \xi, \Delta^2; W^2, t_2)$  PW expansion in  $\alpha$

$I$  : isospin;  $L$  : PW in  $\alpha$ ;  $i \rightarrow J = L \pm 1/2$  (total angular momentum).

- N.B.  $N \rightarrow \pi N$  GPDs develop Im part above  $\pi N$  threshold. Relation to  $\pi N$  scattering amplitude (**Watson theorem**):

$$\text{Im}H^{I,L,J}(x, \xi, \Delta^2; W^2, t_2) = \tan \left[ \delta_{\pi N}^{I,L,J}(W^2) \right] \text{Re}H^{I,L,J}(x, \xi, \Delta^2; W^2, t_2);$$

$\delta_{\pi N}^{I,L,J}(W^2)$  –  $\pi N$  phase shifts.

- A solution **R. Omnes'1958:**

$$H^{I,L,J}(x, \xi, W^2) = H^{I,L,J}(x, \xi, W_{\text{th}}^2) \exp \left\{ \sum_{k=1}^{N-1} c_k W^{2k} + \frac{W^{2N}}{\pi} \int_{W_{\text{th}}^2}^{\infty} ds \frac{\delta_{\pi N}^{I,L,J}(s)}{s^N (s - W^2 - i0)} \right\}.$$

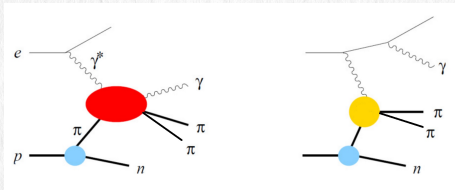
- $H^{I,L,J}(x, \xi, W_{\text{th}}^2)$  and  $c_k$  fixed by near **threshold behavior** & **chiral physics**.
- Known  $\pi N$  phase shifts  $\delta_{\pi N}^{I,L,J}(s)$  from  $\pi N$  scattering.
- $N^*$  resonances built in the solution! **How to get them out?**

## A test ground for the formalism: $\pi \rightarrow \pi\pi$ ND DVCS

More details: talk by S. Son this afternoon;

$$e(l) + p(p) \rightarrow e(l') + \gamma(q') + \pi^+(k_1) + \pi^0(k_2) + n(p')$$

- Can be studied through the Sullivan-type process:



- No complications due to spin- $\frac{1}{2}$ .
- Access to the meson spectrum:  $\rho(770)$ ,  $f_2(1270)$  etc.
- An option for the EIC?

### Some experimental prospects?

Few-Body Syst (2023) 64:38  
<https://doi.org/10.1007/s00001-023-01812-1>



J. M. Morgado Chávez · V. Bertone · F. De Soto ·  
M. Defurne · C. Mezrag · H. Mustarde ·  
J. Rodríguez Quintero · J. Segovia

Generalized Parton Distributions of Pions  
at the Forthcoming Electron-Ion Collider

- **N.B.**  $\gamma^* N \rightarrow \rho N' \rightarrow \pi\gamma N'$  a background for  $N \rightarrow \Delta$  DVCS.



## $\pi \rightarrow \pi\pi$ transition GPDs

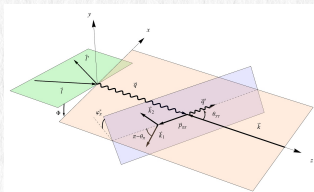
- $\pi \rightarrow \pi\pi$  unpolarized transition GPD ( $\bar{P} \equiv \frac{k+k_1+k_2}{2}$ ):

$$\begin{aligned} & \frac{1}{2} \int \frac{d\lambda}{2\pi} e^{i\lambda x \bar{P} \cdot n} \langle \pi(k_1) \pi(k_2) | \bar{\psi} \left( -\frac{\lambda n}{2} \right) \not{n} \psi \left( \frac{\lambda n}{2} \right) | \pi(p_\pi) \rangle \\ &= \frac{1}{2\bar{P} \cdot n} i\epsilon(n, \bar{P}, \Delta, k_1) \frac{1}{f_\pi^3} H_{\pi \rightarrow \pi\pi}(x, \xi, \Delta^2, W_{\pi\pi}^2, \theta_\pi^*, \varphi_\pi^*); \end{aligned}$$

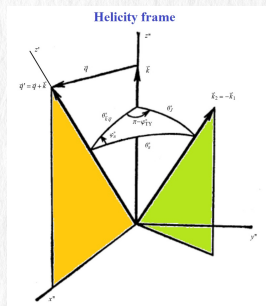
- $\pi \rightarrow \pi\pi$  polarized transition GPD:

$$\begin{aligned} & \frac{1}{2} \int \frac{d\lambda}{2\pi} e^{i\lambda x \bar{P} \cdot n} \langle \pi(k_1) \pi(k_2) | \bar{\psi} \left( -\frac{\lambda n}{2} \right) \not{n} \gamma_5 \psi \left( \frac{\lambda n}{2} \right) | \pi(p_\pi) \rangle \\ &= \frac{1}{2\bar{P} \cdot n} (\bar{P} \cdot n) \frac{1}{f_\pi} \tilde{H}_{\pi \rightarrow \pi\pi}(x, \xi, \Delta^2, W_{\pi\pi}^2, \theta_\pi^*, \varphi_\pi^*); \end{aligned}$$

- Transition GPD arguments:  $x, \xi, \Delta^2 = t$  and of the invariant mass of  $\pi\pi$  system  $W_{\pi\pi}^2$  and the helicity frame pion decay angles  $\theta_\pi^*, \varphi_\pi^*$ .



## Angles in the helicity frame



- $\cos \theta_\pi^*$  is linear in  $s_1 = (q' + p_\pi)^2$ ;
- $\cos \varphi_\pi^*$  is linear in  $t_2 = (p'_N - p_N)^2$ ;
- Polar and azimuthal angle through the Gram determinants:

$$\cos \theta_\pi^* = \frac{G_2 \left( \begin{array}{c} k_1 + k_2, q' \\ k_1 + k_2, k_1 \end{array} \right)}{\{\Delta_2(k_1 + k_2, q') \Delta_2(k_1 + k_2, k_2)\}^{\frac{1}{2}}};$$

$$\sin^2 \varphi_\pi^* = \frac{\Delta_2(k + q, q') \Delta_4(k + q, q', k, k_2)}{\Delta_3(k + q, q', k) \Delta_3(k + q, q', k_2)};$$

- Gram determinants:

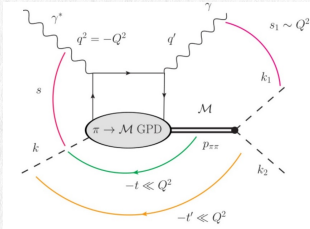
$$G_n \left( \begin{array}{c} p_1, \dots, p_n \\ q_1, \dots, q_n \end{array} \right) = \det(p_i \cdot q_j);$$

- Symmetric Gram determinants:

$$\Delta_n(p_1, \dots, p_n) = G_n \left( \begin{array}{c} p_1, \dots, p_n \\ p_1, \dots, p_n \end{array} \right) = \det(p_i \cdot p_j)$$

# How to treat the angular structure? Real-valued spherical harmonics.

- Partial wave expansion both in  $\theta_{\pi}^* \Leftrightarrow \alpha$  and  $\varphi_{\pi}^*$ .



$$Y_{\ell}^m(\theta_{\pi}^*, \varphi_{\pi}^*) = (-1)^m \sqrt{\frac{(2\ell+1)(\ell-m)!}{4\pi(\ell+m)!}} P_{\ell}^m(\cos\theta - \pi^*) e^{im\varphi_{\pi}^*}$$

the real-valued spherical harmonics read :

$$Y_{\ell}^m = \begin{cases} \frac{1}{\sqrt{2}} (Y_{\ell,|m|} - i Y_{\ell,-|m|}) & \text{if } m < 0; \\ Y_{\ell,0} & \text{if } m = 0; \\ \frac{(-1)^m}{\sqrt{2}} (Y_{\ell,|m|} + i Y_{\ell,-|m|}) & \text{if } m > 0; \end{cases}$$

l:	$P_{\ell}^m(\cos\theta) \cos(m\varphi)$	$P_{\ell}^{ m }(\cos\theta) \sin( m \varphi)$
0 S		
1 p		
2 d		
3 f		
4 g		
5 h		
6 i		
m:	6 5 4 3 2 1 0	-1 -2 -3 -4 -5 -6



## PW expansion of $\pi \rightarrow \pi\pi$ GPDs

- PW expansion in angles  $\theta_\pi^*$  and  $\varphi_\pi^*$  for unpolarized GPD:

$$H_{\pi \rightarrow \pi\pi}(x, \xi, t, W_{\pi\pi}^2, \theta_\pi^*, \varphi_\pi^*) = \frac{1}{\sqrt{1 - \cos^2 \theta_\pi^* \sin \varphi_\pi^*}} \sum_{\ell=1}^{\infty} \sum_{m=-\ell}^{-1} H_{\pi \rightarrow \pi\pi}^{\ell m}(x, \xi, t, W_{\pi\pi}^2) Y_{\ell m}(\theta_\pi^*, \varphi_\pi^*);$$

**N.B.** Spherical harmonics in are odd under  $\varphi_\pi^* \rightarrow -\varphi_\pi^*$ .

- PW expansion in angles  $\theta_\pi^*$  and  $\varphi_\pi^*$  for polarized GPD:

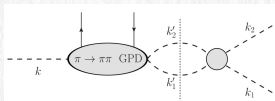
$$\tilde{H}_{\pi \rightarrow \pi\pi}(x, \xi, t, W_{\pi\pi}^2, \theta_\pi^*, \varphi_\pi^*) = \sum_{\ell=0}^{\infty} \sum_{m=0}^{\ell} \tilde{H}_{\pi \rightarrow \pi\pi}^{\ell m}(x, \xi, t, W_{\pi\pi}^2) Y_{\ell m}(\theta_\pi^*, \varphi_\pi^*);$$

**N.B.** Spherical harmonics in are even under  $\varphi_\pi^* \rightarrow -\varphi_\pi^*$ .

## How to go beyond the threshold? I (in collaboration with H. Son)

- The Watson'54 final state interaction theorem for  $\pi \rightarrow \pi\pi$  transition GPD:

$$\begin{aligned} & \text{for } W_{\pi\pi}^2 < 16m_\pi^2 : \quad \text{Im } \tilde{H}_{\pi \rightarrow \pi\pi}^I(x, \xi, w^2, \theta_\pi^*, \varphi'_\pi) \\ &= \frac{1}{2!} \int d(\text{phase space}) \left( \tilde{H}_{\pi \rightarrow \pi\pi}^I(x, \xi, w^2, \theta'_\pi, \varphi'_\pi) \right)^* A_{\pi\pi}^I(k_1, k_2 | k'_1, k'_2) \end{aligned}$$



- $\pi\pi$ -scattering amplitude:

$$A_{\pi\pi}^I = 8\pi W_{\pi\pi} \sum_{\ell} (2\ell + 1) a_{\ell}^I(W_{\pi\pi}^2) P_{\ell}[\cos(\theta_{\text{cm}})].$$

- Elastic unitarity condition:

$$\text{Im } a_{\ell}^I(W_{\pi\pi}^2) = |\vec{k}_1| |a_{\ell}^I(W_{\pi\pi}^2)|^2;$$

- $\delta_{\ell}^I(W_{\pi\pi}^2)$  are the  $\pi\pi$  scattering phases:

$$a_{\ell}^I(W_{\pi\pi}^2) = \frac{1}{|\vec{k}_1|} \sin \left[ \delta_{\ell}^I(W_{\pi\pi}^2) \right] e^{i\delta_{\ell}^I(W_{\pi\pi}^2)}.$$

## How to go beyond the threshold? II

- The equation for the expansion coefficients  $\tilde{H}'_{\ell,m}$ :

$$\text{Im } \tilde{H}'_{\ell,m}(x, \xi, w^2) = \tan \left[ \delta'_\ell(w^2) \right] \text{Re } \tilde{H}'_{\ell,m}(x, \xi, w^2).$$

- **Omnes'58**:  $N$ -subtracted dispersion relation

$$\begin{aligned} & \tilde{H}'_{\ell,m}(x, \xi, w^2) \\ &= \sum_{k=0}^{N-1} \frac{w^{2k}}{k!} \frac{d^k}{dw^{2k}} \tilde{H}'_{\ell,m}(x, \xi, w^2 = 0) + \frac{w^{2N}}{\pi} \int_{4m_\pi^2}^{\infty} ds \frac{\tan(\delta'_\ell(s)) \text{Re} \left\{ \tilde{H}'_{\ell,m}(x, \xi, s) \right\}}{s^N (s - w^2 - i\epsilon)}. \end{aligned}$$

- The Omnes solution (for  $N = 0$ ):

$$\tilde{H}'_{\ell,m}(x, \xi, W^2) = \tilde{H}'_{\ell,m}(x, \xi, W^2 = 4m_\pi^2) \exp \left[ \frac{1}{\pi} \int_{4m_\pi^2}^{\infty} ds \frac{\delta'_\ell(s)}{s - m_\pi^2 - i\epsilon} \right]$$

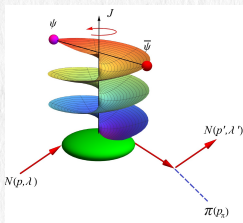
- **Transition GPDs are complex functions above threshold!**

## Can we handle with QCD string for the non-diagonal case?

- Hard part of DVCS creates a **soft** QCD string.

$$\bar{q}(z)\gamma_{\mu}P\exp\left\{i\int_0^1 dx^{\nu}A_{\nu}(x)\right\}q(0)\Big|_{z\rightarrow 0} = z^{\nu}\underbrace{\bar{q}\gamma_{\mu}\nabla_{\nu}q}_{\text{Spin-2: } q\text{-part of EMT}} + z^{\nu}z^{\rho}\underbrace{\bar{q}\gamma_{\mu}\nabla_{\nu}\nabla_{\rho}q}_{\text{Spin-3}} + \dots$$

- How to decompose QCD string into probes of different spin? A tool is provided by the Froissart-Gribov projection  $\Leftrightarrow$  Abel tomography [K.S. and P. Sznajder, 2312.09624](#).
- $N(x, t, W, t', \alpha)$  is a complex function;
- The Abel tomography machinery is general and be applied for  $N(x, t, W, t', \alpha)$ ;
- $x$ -dependence is inherited from the  $x_B$  dependence of the DVCS amplitude.





## Summary and Outlook

- 1 New tool for baryon spectroscopy: arbitrary spin- $J$  probe and PW analysis of excited states.
- 2 A new bridge between PW analysis and QCD.
- 3 Access to  $N \rightarrow N^*$  EMT matrix elements: mechanical properties of resonances.
- 4 A lab for chiral perturbation theory on the light cone: soft pion theorems and chiral expansion.
- 5 GPD formalism worked out for  $N \rightarrow \Delta(1232)$ ,  $P_{11}(1440)$ ,  $D_{13}(1520)$ ,  $S_{11}(1535)$ . Can be studied at JLab@12 GeV and an option for JLab@22 GeV.
- 6 A development for hyperons  $N \rightarrow \Lambda, \Sigma$  and production of strange mesons?
- 7  $\pi \rightarrow \pi\pi$  and  $N \rightarrow \pi N$  transition GPDs emerge as a tool to study the spectrum of hadrons.
- 8 First step: development of the formalism for  $\pi \rightarrow \pi\pi$  transition GPDs: Abel tomography, threshold theorems and the Omnes dispersion relations.

Thank you for your attention!