

# **Heavy and light quark interaction in instanton liquid model**

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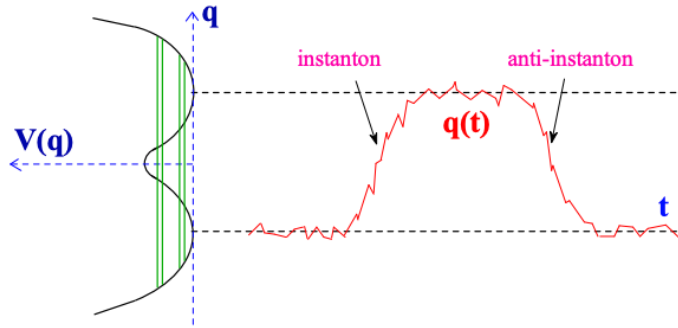
Reviews from the works of  
D.Diakonov, V.Petrov, P.Pobylitsa,  
E.Shuryak, I.Zahed,  
M.Musakhanov, others(see ref.s)

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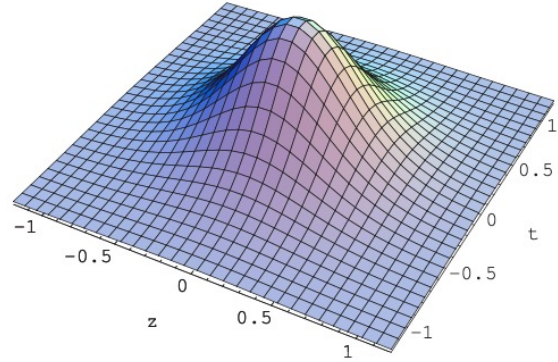
# Outline

- ❑ Introduction
- ❑ Light quarks in ILM
- ❑ Heavy quarks in ILM
- ❑ Heavy-light quarks interaction in ILM
- ❑ Conclusions and Future remarks

# What are the instantons?



*Tunneling trajectories in a double-well potential*



*Action density of YM instanton*

- ❑ Tunneling process in Minkowski space, pseudoparticle in Euclidean space
- ❑ Classical solutions, self-dual
- ❑ Topologically charged  $\frac{1}{32\pi^2} \int_x \tilde{F}F = \pm 1$  , strong field inside  $(F^2)^{1/4} \simeq 1.5 \text{ GeV}$

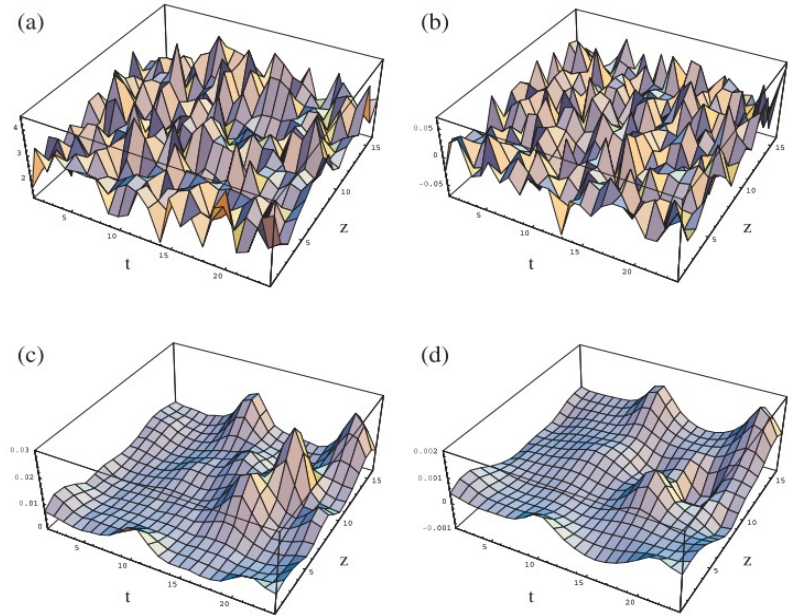
# The ensemble of instantons and anti-instantons

$$A_\mu(x) = \sum_I^{N_+} A_\mu^I(\xi_I, x) + \sum_{\bar{I}}^{N_-} A_\mu^{\bar{I}}(\xi_{\bar{I}}, x), \quad \xi = (\rho, z, U)$$

- average size  $\bar{\rho} \approx 0.3 \text{ fm}$ , separation  $\bar{R} \approx 1 \text{ fm}$
- $\bar{\rho}/\bar{R} \sim 1/3$  a few percent of 4D space occupied
- Interactions are big enough  $\exp |\delta S_{int}| \sim 20 \gg 1$

The ensemble of  $I$ 's &  $\bar{I}$ 's – Instanton Liquid Model

[D.Diakonov, V.Petrov 1984; E.Shuryak, T.Schafer 1993 ]



[Chu et al., 1994]

# Motivation

- ❑ An analytical approach to non-perturbative QCD
- ❑ Lead to the formation of gluon condensate, provide a mechanism of spontaneous symmetry breaking, solution to U(1) problem
- ❑ Small packing parameter  $\rho^4/R^4 \sim 0.01 - 0.03$  to develop perturbation theory
- ❑ Few number of input parameters and obtaining data without fitting

# Quark observables in instanton vacuum

- ❑ To compute an observable for a given configuration of instantons and anti-instantons, then average over the ensemble
- ❑ First, average over the ensemble, and obtain an effective theory written in terms of interacting quarks only. Then, compute observables from this effective theory

# Light quarks in ILM

□ In the 1-instanton background:

□ Spectrum contain zero & nonzero modes:  $S^I(x, y) = -\frac{\phi_0(x)\phi_0^\dagger(y)}{im} + S^{\text{nzsm}}(x, y)$

□  $p \ll 1/\rho$  :zero modes dominate,  $p \rightarrow \infty$  :free propagator

□ Approximate Green's function:  $S^I(x, y) \simeq -\frac{\phi_0(x)\phi_0^\dagger(y)}{im} + S_0(x, y)$

□ Action:  $\exp(-A^I[\psi^\dagger, \psi]) = \exp\left(\int d^4x \psi^\dagger i\hat{\partial}\psi\right) (im - V_I[\psi^\dagger, \psi])$

□ In the background of  $N_+$   $I$ 's &  $N_-$   $\bar{I}$ 's

$$\exp(-A[\psi^\dagger, \psi]) = \prod_f^{N_f} \exp\left(\int d^4x \psi_f^\dagger i\hat{\partial}\psi_f\right) \prod_{I, \bar{I}} (im - V_I[\psi_f^\dagger, \psi_f])$$


# Light quarks in ILM

□ Partition function: 
$$\mathcal{Z}_{QCD} = \int D\psi D\psi^\dagger \langle \exp(-A[\psi^\dagger, \psi]) \rangle$$

where  $\langle \dots \rangle$  means averaging over  $I\bar{I}$  ensemble

□ Averaging over the ensemble  $\Rightarrow$  averaging over collective coordinates of each  $I$  &  $\bar{I}$

$$\int DA \rightarrow \int \prod_{I, \bar{I}} dz_I dU_I d\rho_I d_{\text{eff}}(\rho_I) \rightarrow \int \prod_{I, \bar{I}} dz_I dU_I$$

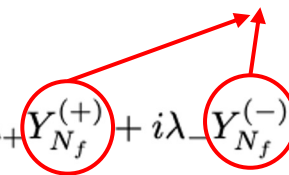


replace all (anti)instantons with average-size one

size dist. func.  $d_{\text{eff}}(\rho_I) \xrightarrow{\text{large } N_c} \delta(\rho_I - \bar{\rho})$

□ After averaging:

$$\mathcal{Z} = \int d\lambda_+ d\lambda_- \int D\psi_f D\psi_f^\dagger \exp \left( i \int d^4x \sum_{f=1}^{N_f} \psi_f^\dagger i \hat{\partial} \psi_f + i\lambda_+ Y_{N_f}^{(+)} + i\lambda_- Y_{N_f}^{(-)} \right)$$



t'Hooft vertex

□  $N_c \rightarrow \infty$  : saddle point  $\lambda_s$  defines dynamical quark mass  $M(k)$ .




# Instanton induced effective interaction

$$Y_{N_f}^{(\pm)} \sim \int d[k_f] d[l_f] \delta\left(\sum k_f - \sum l_f\right) dU [U^\dagger U]^{N_f} \prod_f^{N_f} \sqrt{M(k_f)} \psi_f^\dagger(k_f) \gamma_\pm \sqrt{M(l_f)} \psi_f(l_f)$$

□  $N_f = 1$ : mass term       $Y_1^{(\pm)} \sim M(k) \psi^\dagger(k) \gamma_\pm \psi(k)$

□  $N_f = 2$ : 4-fermion interaction

NJL type Lagrangian

$$Y_2^{(+)} + Y_2^{(-)} \sim c_i \left( \sqrt{M(k)} \psi^\dagger(k) \Gamma_i \sqrt{M(l)} \psi(l) \right)^2, \quad \Gamma_i = (\mathbf{1}, \gamma_5, i\tau^a, i\tau^a \gamma_5, \dots)$$


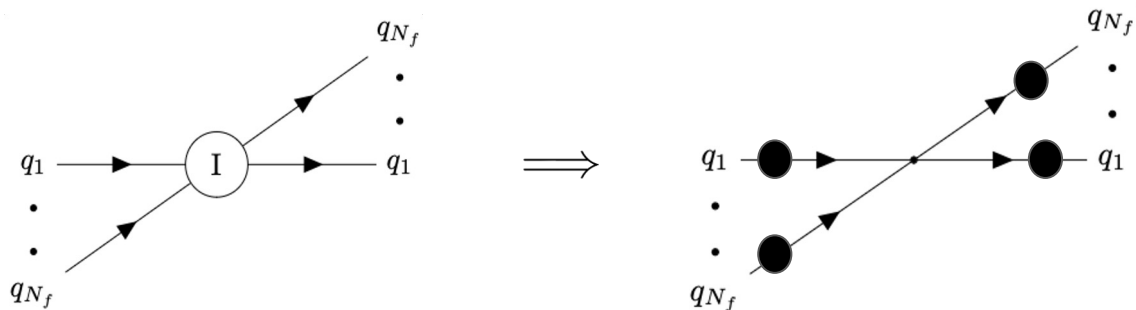
□ Any  $N_f$ :

$$Y_{N_f}^{(\pm)} \stackrel{N_c \rightarrow \infty}{\cong} \left( \frac{2V}{N} \right)^{N_f - 1} \int d^4x \det J^{(\pm)}$$

$$J_{fg}^{(\pm)} = \int \frac{d^4k d^4l}{(2\pi)^8} e^{i(k-l)x} \sqrt{M(k)M(l)} \psi_f^\dagger(k) \gamma_\pm \psi_g(l)$$

# Instanton induced effective interaction

□ Diagrammatic interpretation:



form factor function  $\sqrt{M(k\bar{\rho})}$  attached each quark leg

[t'Hooft 1976; D.Diakonov, V.Petrov 1986; M.Nowak 1991;  
R.Rapp, T.Schafer, E.Shuryak, M.Velkovsky 1999 and others]

# Low energy QCD

- QCD degrees of freedom with  $m \ll 1/\bar{\rho}$  : light quarks and pseudoscalar mesons

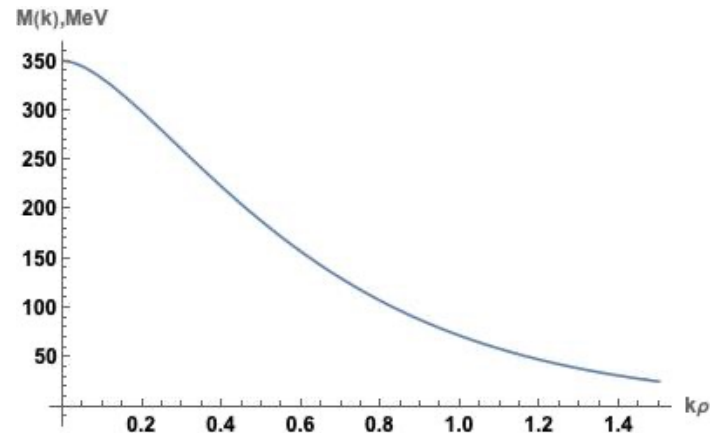
$$Z = \int D\psi D\psi^\dagger \exp \left\{ \int_x \psi^\dagger i\hat{\partial}\psi + \lambda \det \psi^\dagger \gamma_\pm \psi \right\}$$
$$\rightarrow \int D\pi^A D\psi D\psi^\dagger \exp \left\{ \int_x \psi^\dagger \left( i\hat{\partial} + iM e^{i\pi^A \tau^A \gamma_5} \right) \psi \right\}$$

Effective interaction between dynamically massive quarks and massless pions

- Cutoff is  $1/\bar{\rho}$
- Effective chiral Lagrangian

$$S_{\text{eff}}[\pi] = -N_c \text{Tr} \ln \left( i\hat{\partial} + iM e^{i\pi^A \tau^A \gamma_5} \right)$$

[D.Diakonov, V.Petrov, P.Pobylitsa 1996-1990]



# Heavy Quark Effective Theory

□ Static HQET Lagrangian :  $\mathcal{L}_{\text{HQET}_0} = Q^\dagger iD_0 Q + \mathcal{O}(m_Q^{-1})$

□ HQ symmetry: 1. Conservation of HQ spin  $\frac{1 + \gamma_0}{2} Q = Q$

2. In leading order on  $m_Q^{-1}$ , the results are the same for all HQ flavors

□ Infinitely HQ interacts 0<sup>th</sup> component of gauge fields (in Minkowski space)

□ HQ propagator:  $w_A = (i\partial_0 + A_0)^{-1}$

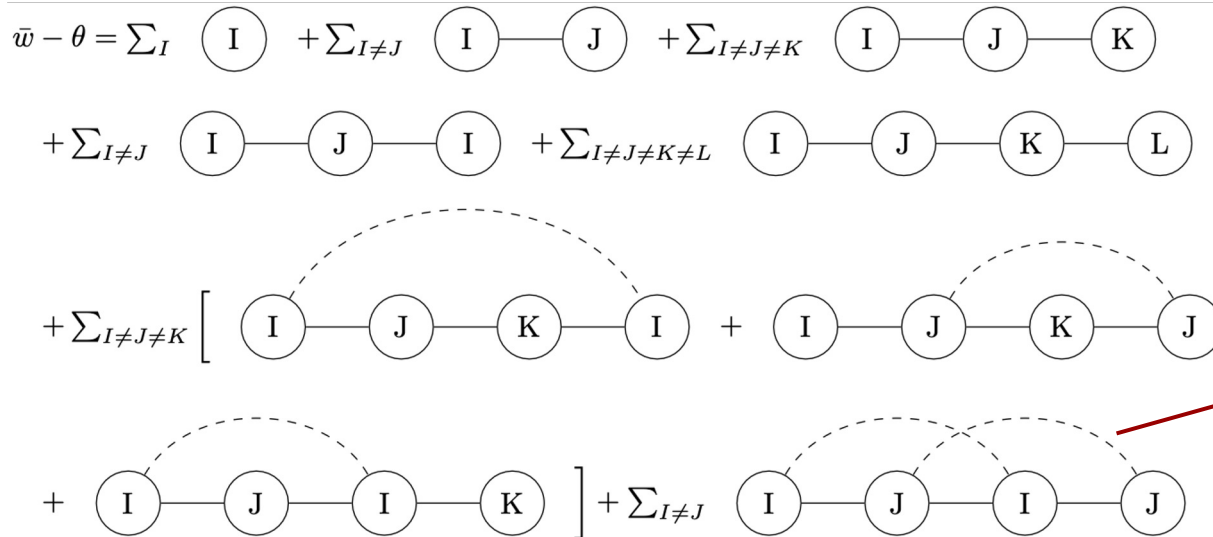
$$w_A(\vec{x}, x_0; \vec{x}', x'_0) = -i\theta(x_0 - x'_0)\delta^{(3)}(\vec{x} - \vec{x}')P \exp\left(-ig \int_{x'_0}^{x_0} d\tau A_a^0(\vec{x}, \tau)\lambda^a\right)$$

[papers by H.Georgi, M.Wise, N.Isgur and others]

# Heavy quark propagator in an instanton ensemble

□ HQ propagator in instanton ensemble:  $\bar{w} = \left\langle \left( \theta^{-1} - \sum_I a_I \right)^{-1} \right\rangle$

□ In terms of single instanton propagators:  $\bar{w} = \theta + \sum_I \langle w_I - \theta \rangle + \sum_{I \neq J} \langle w_I - \theta \rangle \theta^{-1} \langle w_J - \theta \rangle + \dots$



additional  $1/N_c$  factor

# Heavy quark in an instanton ensemble

$$\bar{w} - \theta = \sum_I \text{---} \textcircled{I} \text{---} + \sum_I \text{---} \textcircled{I} \text{---} \textcircled{I} \text{---} + \sum_I \text{---} \textcircled{I} \text{---} \textcircled{I} \text{---} \textcircled{I} \text{---}$$

- In the ensemble of  $N/2$  instantons and  $N/2$  anti-instantons:

$$\bar{w}^{-1} - \theta^{-1} = \frac{N}{2} \langle (\bar{w} - a_I^{-1})^{-1} \rangle + \frac{N}{2} \langle (\bar{w} - a_{\bar{I}}^{-1})^{-1} \rangle$$

- After averaging:  $\bar{w}^{-1} - \theta^{-1} = \frac{N}{2VN_c} \text{tr}_c \left( \int d^4 z_I (\bar{w} - a_I^{-1})^{-1} + \int d^4 z_{\bar{I}} (\bar{w} - a_{\bar{I}}^{-1})^{-1} \right)$

- Perturbative parameter:  $\frac{\bar{\rho}^4 N}{VN_c} = \frac{\bar{\rho}^4}{\bar{R}^4 N_c} \simeq 0.004$

- HQ propagator in the instanton ensemble:

$$\bar{w}^{-1} = \theta^{-1} - \frac{N}{2VN_c} \text{tr}_c \left( \int d^4 z_I \theta^{-1} (w_I - \theta) \theta^{-1} + (I \rightarrow \bar{I}) \right) + \mathcal{O} \left( \left( \frac{N}{VN_c} \right)^2 \right)$$

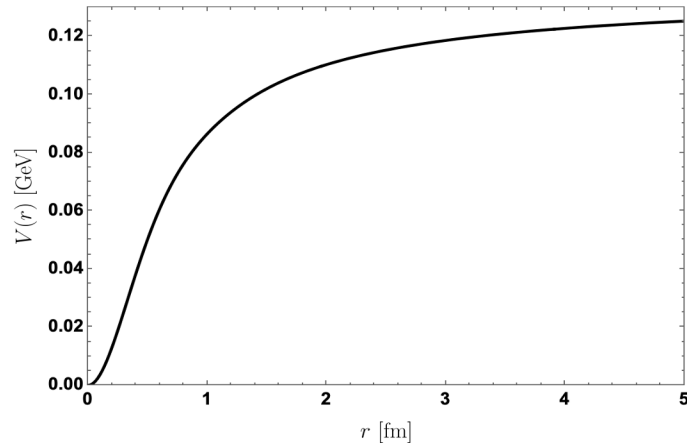
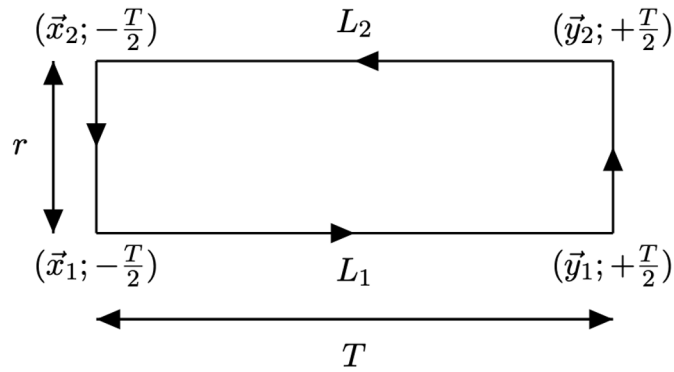
# Application of Pobylytsa equation

- Direct instanton contribution to HQ mass:

$$\Delta M_Q^{\text{dir}} = \frac{N}{2VN_c} \sum_{\pm} \int d^3 z_{\pm} \text{tr}_c \left( 1 - P \exp \left( i \int d\tau A_{4,\pm}(\tau) \right) \Big|_{z_{4,\pm}=0} \right) \simeq 70 \text{ MeV}$$

- Instanton induced quark – anti-quark potential:

$$V^{\text{dir}}(r) = \frac{N}{2VN_c} \sum_{\pm} \int d^4 z_{\pm} \text{tr}_c \left[ 1 - P \exp \left( i \int_{L_1} d\tau A_{4,\pm}(\tau) \right) P \exp \left( -i \int_{L_2} d\tau A_{4,\pm}(\tau) \right) \right]_{z_{4,\pm}=0}$$



[D.Diakonov, V.Petrov, P.Pobylitsa 1989]

# Heavy quark propagator in the presence of light quarks

□ QCD partition function:

$$Z_{\text{QCD}} \sim \int D\psi^\dagger D\psi DQ_\pm^\dagger DQ_\pm \left\langle \exp \left( \int d^4x \left[ \sum_f^{N_f} \psi_f^\dagger i\hat{\partial}\psi_f + Q_\pm^\dagger (\theta^{-1} - \sum_{I,\bar{I}} a_I) Q_\pm \right] \right) \prod_f^{N_f} \prod_{I,\bar{I}} (im_f - V_I[\psi_f^\dagger, \psi_f]) \right\rangle$$

□ Heavy quark propagator:

$$\bar{w} \sim \int D\psi^\dagger D\psi e^{-S_q} \left\langle \prod_f^{N_f} \prod_{I,\bar{I}} (-V_I[\psi_f^\dagger, \psi_f]) \left( \theta^{-1} - \sum_I a_I \right)^{-1} \right\rangle$$

develop Pobylitsa type eq.

$$\int D\psi D\psi^\dagger \exp \left[ \int d^4x \sum_f^{N_f} \psi_f^\dagger i\hat{\partial}\psi + iY_{N_f}^{(+)} + iY_{N_f}^{(-)} \right]$$

“heavy quark functional”

□ Pobylitsa eq. for HQ functional:  $\bar{w}^{-1}[\psi^\dagger, \psi] = \theta^{-1} - \frac{N}{2} \sum_{\pm} \left\langle \prod_f^{N_f} (-) V_{\pm}[\psi_f^\dagger, \psi_f] \right\rangle^{-1} \Delta_{H,\pm}[\psi^\dagger, \psi] + \mathcal{O}\left(\frac{N^2}{V^2}\right)$

where

$$\Delta_{H,\pm}[\psi^\dagger, \psi] = \int d\zeta_{\pm} \prod_f^{N_f} (-) V_{\pm}[\psi_f^\dagger, \psi_f] \theta^{-1} (w_{\pm} - \theta) \theta^{-1}$$



# Heavy and light quarks interaction

- QCD partition function can be rewritten as

$$\mathcal{Z}_{QCD} \sim \int D[\text{fermions}] \exp \left( -S_q - S_Q + \int d^4x Q^\dagger i\lambda \sum_{\pm} \Delta_{H,\pm} [\psi^\dagger, \psi] Q + [\text{sources}] \right)$$

$$S_{Qq} \sim \sum_{\pm} \int d[\zeta_{\pm}, k, l, x, y] \prod_f^{N_f} \sqrt{M(k_f)M(l_f)} \psi_f^\dagger(k_f) \psi_f(l_f) Q^\dagger(x) \langle x | \theta^{-1} (w_{\pm} - \theta) \theta^{-1} | y \rangle Q(y)$$

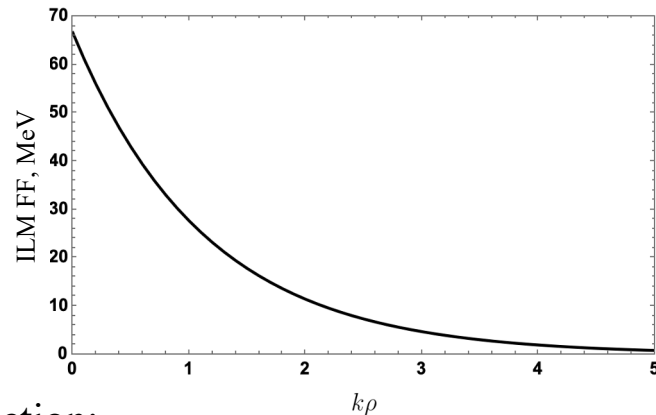
- Effective HQ &  $N_f$  light quarks interaction vertex

$$\int d[k_f] d[l_f] d[p] \delta^{(4)} \left( \sum k_f - \sum l_f + p \right) dU [U^\dagger U]_q^{N_f} [U^\dagger U]_Q \\ \times \sqrt{M(k_f)} \psi_f^\dagger(k_f) \gamma_{\pm} \sqrt{M(l_f)} \psi_f(l_f) \cdot Q_+^\dagger(p_1) \underbrace{\langle p_1 | \theta^{-1} (w_{\pm} - \theta) \theta^{-1} | p_2 \rangle}_{\text{non-slashed term}} Q_+(p_2)$$

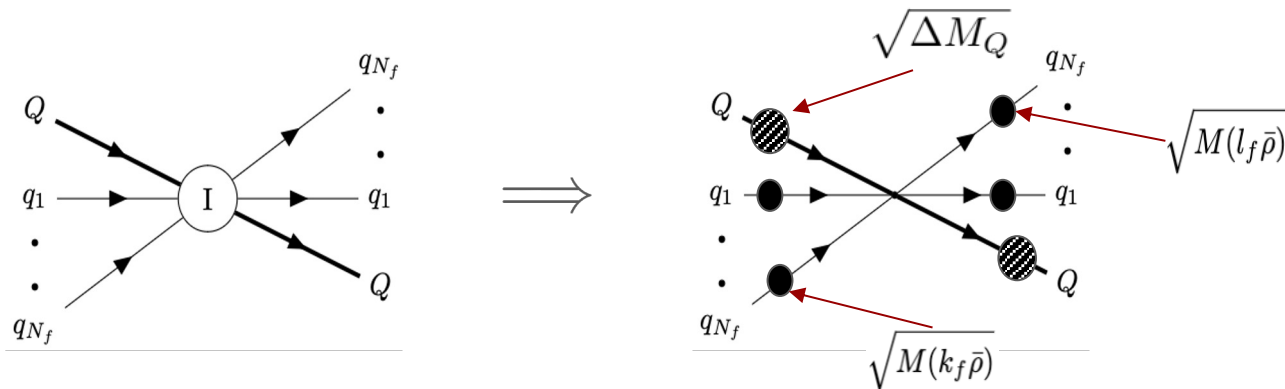
After color integration leads to momentum dependent ‘non-slashed’ term

# Heavy and light quarks interaction

$$\lim_{|\vec{p}| \rightarrow 0} \frac{N}{2VN_c} \text{tr}_c \langle 0 | \theta^{-1} (w_{\pm} - \theta) \theta^{-1} | p \rangle = \Delta M_Q$$



□ Diagrammatic representation of the effective interaction:



# Heavy-light quark interaction

□  $N_f = 1$  case:

$$S_{Qq} \propto \int \frac{d^4 k_1 d^4 k_2}{(2\pi)^8} \frac{d^4 p_1 d^4 p_2}{(2\pi)^8} (2\pi)^4 \delta^{(4)}(k_1 - k_2 + p_1 - p_2) \sqrt{M(k_1)M(k_2)} \frac{\Delta M_Q}{N/V}$$

$$\times \left[ \frac{N_c^2}{N_c^2 - 1} \left(1 - \frac{1}{2N_c}\right) (\psi^\dagger(k_1)\psi(k_2)) (Q^\dagger(p_1)Q(p_2)) \right.$$

$$\left. + \frac{N_c^2}{8(N_c^2 - 1)} \left(1 - \frac{2}{N_c}\right) \sum_i (\psi^\dagger(k_1)\Gamma_i Q(p_1)) (Q^\dagger(p_2)\Gamma_i \psi(k_2)) \right]$$

where  $\Gamma_i = (\mathbf{1}, \gamma_5, \gamma_\mu, i\gamma_\mu\gamma_5, \sigma_{\mu\nu}/\sqrt{2})$

□  $N_f = 2$  case, interaction terms:

$$S_{Qq} \sim C_{SSS}(u^\dagger u)(d^\dagger d)(Q^\dagger Q) + C_{\Gamma\Gamma S}(u^\dagger \Gamma_i d)(d^\dagger \Gamma_i u)(Q^\dagger Q)$$

$$+ C_{\Gamma S\Gamma}(u^\dagger \Gamma_i Q)(d^\dagger d)(Q^\dagger \Gamma_i u) + C_{S\Gamma\Gamma}(u^\dagger u)(d^\dagger \Gamma_i Q)(Q^\dagger \Gamma_i d) + \dots$$

□ Applications you will see in Mr. KiHoon Hong's talk (this workshop)

# Heavy-light quark interaction

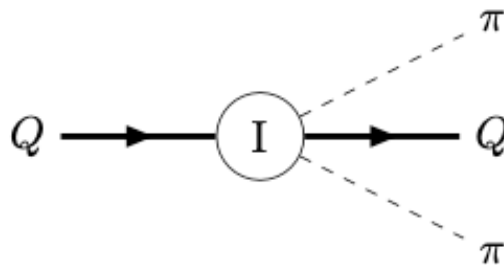
- In  $N_f \geq 2$  case many-fermion vertices can be linearized introducing integration over boson fields

$$\mathcal{Z} \sim \int DU \int D\psi^\dagger D\psi \int DQ^\dagger DQ \exp \left\{ \int \psi^\dagger i \hat{D} \psi + Q^\dagger \theta^{-1} Q + iM\psi^\dagger U \psi \Delta M_Q Q^\dagger Q \right\}$$

- Integrating out light quark fields leads to effective HQ & light mesons interaction

$$S_{Q\pi} \sim F_{\pi Q}^2 \text{Tr} [\partial_\mu U \partial_\mu U^\dagger] \Delta M_Q Q^\dagger Q$$

$$F_{\pi Q}^2 = 2N_c \int \frac{d^4 p}{(2\pi)^4} \frac{p^2 M^2(p)}{[p^2 + M^2(p)]^3}$$



# Heavy quarkonium light quark interaction

- Repeat the same procedure which was done for HQ propagator to obtain heavy  $Q\bar{Q}$  functional
- Use perturbation theory over  $\lambda = \rho^4/R^4$  (Pobylitsa type equation)
- Write corresponding partition function and extract interaction action using saddle point method

$$S_{Q\bar{Q}q} \sim \int d[k]d[l] Q^\dagger(k_2)\bar{Q}^\dagger(l_2) V(|\vec{k}_2 - \vec{l}_2|, |\vec{k}_1 - \vec{l}_1|, \omega_k, \omega_l) Q(k_1)\bar{Q}(l_1) \cdots$$

$$\text{FT} \left\{ V(|\vec{k}_2 - \vec{l}_2|, |\vec{k}_1 - \vec{l}_1|, 0, 0) \right\} \rightarrow V^{\text{dir}}(r)$$

- $N_f \geq 2$  case do bosonization procedure to linearize multi-fermion vertex. Integrating out light quark fields leads to heavy quarkonium and meson interaction

$$S_{Q\bar{Q}\pi} \sim F_{\pi Q}^2 \text{Tr} [\partial_\mu U \partial_\mu U^\dagger] Q^\dagger \bar{Q}^\dagger V Q \bar{Q}$$

# Conclusions and Future remarks

- ❑ Instanton-induced multi light quark interaction is effective interaction of light quarks that a form factor  $\sqrt{M(k)}$  attached each quark leg. In case of instanton induced heavy –light quark effective interaction heavy quarks also get a form factor ( $\sqrt{\Delta M_Q}$  -instanton generated dynamical contribution to the mass).
- ❑ Bosonization (integrating out light quark degrees of freedom) leads to heavy quark and light mesons effective interaction.
- ❑ Instantons generate heavy quarkonium light mesons effective interaction which is obtained through bosonization of heavy quark – anti-quark and light quarks interaction
- ❑ Develop the calculations to real world case ( $N_f = 3$ ).
- ❑ Develop to HQ and light diquark interaction.
- ❑ Add flavor number to HQ ( $N_f^q$  light and  $N_f^Q$  heavy quarks interaction).

Thanks for the attention